

# The problem-ladenness of theory

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## ABSTRACT

The cognitive sciences are facing questions of how to select from competing theories, or develop those that best suit their current needs. However, traditional accounts of theoretical virtues, focused on their epistemic justification, have not yet proven informative to theory development in these fields. We advance a problem-centric, or pragmatic, account by which theoretical virtues are heuristics we use to estimate the degree to which a theory increases the problem-solving efficacy of a field's body of knowledge. From this perspective, what are traditionally considered epistemic virtues can be couched in terms of their coverage of problems in a field's domain, or problem-space, and additional virtues come to light that reflect a theory's ability to facilitate its use by problem-solving agents and its context in a societally-embedded scientific system. This approach helps us understand why the different needs of different fields result in different kinds of theories, and allows us to formulate the challenges facing cognitive science in terms that we hope will facilitate their resolution through further theoretical development.

## Introduction

Cognitive science and its adjacent fields are facing a significant need for theory development. Psychology is experiencing simultaneous crises in replicability (Anvari & Lakens, 2018, Makel et al. 2012, Nosek et al. 2022), poor theory (Muthukrishna & Henrich 2019, Eronen & Bringmann 2021) and practical relevance (Giner-Sorolla 2019). Data from new experimental techniques in neuroscience have motivated multiple theoretical competitors (Richards et al. 2019, Barack & Krakauer 2021, Begley et al. 2012), which have failed to significantly impact clinical treatments of neuropsychiatric conditions despite their aim of doing so (Jorgenson et al 2015). Linguistics faces a disconnect between emerging technology and the field's theoretical development (Mitchell & Krakauer 2023), which has stagnated amid an increased focus on behavioral experiments and data analysis (Rawski & Beaumont 2023).

While these challenges may seem disparate, they each relate to the failure of existing theories to address the needs of the aforementioned fields (Devezer et al 2021, van Rooij & Baggio 2021, Nurse 2021, Goldrick 2022), and questions about how to evaluate the relative qualities of developing theories. In philosophy of science, these are known as 'theoretical virtues': the properties by which we judge, or should judge, scientific theories (Kuhn 1977, Laudan 1983). Theoretical virtues include properties such as accuracy, internal consistency, and simplicity (Keas 2018, Schindler 2018), and are sometimes construed as properties that provide epistemic justification, or support for belief, in a theory (Douglas 2014, Schindler 2018). While philosophers of science have debated how to balance competing virtues (Matthewson & Weisberg, 2009; McMullin 2009) and assess their relative importance (Mackonis 2013, Rosales & Morton 2021, Elliott & McKaughan 2014), this discourse has not yet had a large influence in the cognitive sciences (Roedelein 1997, Mizrahi 2021).

We contend that a pragmatic approach, which construes science as a social institution that progresses through solving certain kinds of problems (Laudan 1977, Doppelt 1981, Kitcher 2013), might be useful for informing scientific methodology in this regard. Growing out of classical projects of Dewey (1938), Peirce (1878), and James (1907), the pragmatist program has had a recent resurgence, e.g. with the work of Hasok Chang (2022), Philip Kitchner (2013), and others (Misak 2007). The core of this approach is 'the pragmatic maxim': that "to attain clearness of our thoughts on an object, we need only to consider what conceivable effects of a practical kind the object may involve" (James 1907, p 29). It's important to note that pragmatism is not limited to so-called 'practical' matters. It is simply a philosophical approach that concerns itself with practices (of which science is one, Brigandt 2013), which it sees as systems of activities defined by their aims (Chang 2022). It is thus particularly well-suited to the analysis of theoretical virtues, because in asking what properties make a theory good, we must inevitably ask what a theory is good-for. That is, we must acknowledge our aims with regard to scientific theories, and identify those properties that are virtuous *by virtue of their ability to further those aims* (Kuhn 1983).

It is our intent to 1) communicate a pragmatic perspective of science to researchers in the cognitive sciences, as we believe it will be valuable for making progress in those fields, and 2) advance a pragmatist account of theoretical virtues, using the cognitive sciences as a case study. Cognitive science is an interdisciplinary field at the intersection of multiple topics (e.g. psychology, neuroscience, linguistics, and artificial intelligence, Miller et al 2003), which are each a field of study in their own right. We refer to these fields, along with cognitive science, as "the cognitive sciences" (Sobel 2001), to emphasize a diverse set of research communities with motivations and problems of interest that overlap with, but are not identical to, those of cognitive science "proper". Each of the cognitive sciences are currently experiencing an increasing adjacency to emerging technology (e.g. neurotechnology, social media, and large language models). This in turn puts them in increasing adjacency to "non-scientific" issues such as misinformation, privacy, and engineering practices. Thus, the cognitive sciences provide a unique opportunity to study how

the different aims of different research communities, and their relationship to societal aims, can shape scientific research. We hope that the development of such a perspective and its communication to practicing researchers will be valuable to theory development in their respective fields.

The central premise of our account is that scientific theories are 'problem-laden' – they are developed with an aim of being effective for solving non-scientific problems, the primary method of their development is through the solution of scientific problems, and they are judged based on their problem-solving ability. Each of these sources of influence shape the content and form of a theory, from which it cannot be separated. We begin by developing an account of scientific problems that centers on the collective aims of a research community, while admitting a wide range of individual motivations for scientific research. We consider research communities to act as societal agents, whose component members are joined by a collective aim to develop a body of effective knowledge in a specific domain. We then argue that theoretical virtues are heuristics used by researchers to estimate a theory's impact on a field's ability to solve problems in its domain, or 'problem-space'. From this perspective, virtues that are often construed as reasons for belief in a theory can be seen as heuristics for a theory's ability to cover a field's problem-space, and additional theoretical virtues emerge that reflect a theory's ability to facilitate its use by problem-solving agents and its context in a societally-embedded scientific system. These "agential" virtues can involve operations a theory can perform on a field's problem-space, such as its ability to relate previously disparate problems, open new problems for investigation, or move a field's problem-space closer to societal interests. Throughout, we provide examples from cognitive science that illustrate the concepts introduced, which we hope will help guide theory development in the cognitive sciences.

## What's a scientific problem?

### *1. Science as a problem-solving institution*

Although accounts of science that emphasize problem-solving appear as early as Aristotle (Quarantotto 2020), the work of Larry Laudan (1977) is epicentral to their modern iterations. In contrast to an earlier focus on the logic of theory change and justification (e.g Popper 1959), Laudan proposed that science is "essentially a problem-solving activity", and that theories matter only "insofar as they provide adequate solutions to problems" (Laudan 1977). This approach developed ideas from Kuhn (1962) and Shapere (1969), which placed heavy emphasis on historical and sociological analysis and the "puzzle-solving" practices of "normal science".

The central role of problem-solving aligns with internal guidance on scientific methodology. When developing a research project, "constructing and formulating research questions is... perhaps the most critical aspect of all research" (Alvesson & Sandberg 2013, p. 1). When applying to fund that project, the primary piece is a specific aims page that "demonstrates a problem [and] proposes aims that work toward a defended solution" (Monte & Libby 2018, p. 1042). While conducting research, a scientific problem provides a strong guidance of directions to take and day-to-day decisions (Beveridge 1950); and when communicating the results of that work, the abstract and introduction must "communicate what is missing in the literature (i.e., the specific problem)" (Mensch & Kording 2017, p. 4) and "convince your readers that you have identified an important, open scientific question that they should care about" (Plaxco 2010, p. 2263).

Thus, there seems to be an agreement among scientists that (1) there are things called 'scientific problems', which (2) are the primary motivation for doing research. However, scientific guidance gives little treatment to what a problem actually is, or what makes one scientific. In other words, "choosing good problems is

essential for being a good scientist. But what is a good problem, and how do you choose one? The subject is not usually discussed explicitly within our profession.” (Alon 2009, p. 726). As a result, scientists frequently disagree whether something is “actually” a problem (e.g. Seth 2016), or whether a problem’s solution will be of benefit to the field. While these kinds of debates are part of healthy and productive scientific discourse, they would benefit from a common ground as to what is actually being debated.

*2. A problem is a state of affairs in which an agent’s aims are unmet, and is defined by the constraints under which it would be solved*

While Laudan extensively discussed the role that problems play in the evaluation of theories, he did not—like scientists themselves—provide extensive guidance as to what a problem actually is, or what makes one scientific (Nickles 1981). Instead, the modern treatment of problem-solving is generally thought to have originated with Newell & Simon (1972), who defined a ‘problem’ as a constrained search in possible configurations, or states, of a domain (see also Holyoak 1990). For example, the game of checkers is a problem in which players search to find a configuration in which all of their opponent’s pieces are captured or cannot move, under the constraints of movement. According to this account, a problem consists of an initial state, a goal state, and the allowable moves in the domain; and a solution is simply a sequence of operations that conforms to path constraints and leads to the goal state.

However, it’s difficult to know what corresponds to the goal state of a scientific problem, let alone the “allowable moves” in science (Feyerabend 1975, Nickles 1980). To address this concern, Nickles (1981) and Haig (1987) developed an account of problem-solving that emphasizes constraints on the solution itself. According to their account, a problem consists of: (1) a set of constraints, or criteria, on what counts as a solution, and (2) a demand that an object satisfying the constraints (i.e. a solution) be found. This accounts for cases in which, rather than a prespecified goal state, we have conditions that, if met, would constitute a solution of the problem.

By requiring a demand for solution, these accounts also recognize that not all constraints are necessarily problems; there must be some motivation or impetus to find a solution. This idea is further elaborated in agent-based accounts, according to which problems are only defined with respect to the circumstances and aims of invested agents (Elliott 2021). For example, a boy’s ruptured appendix is a problem for the parents interested in his well-being, while the treatment with antibiotics is a problem for the invading bacteria. In each case, the same situation presents a different problem for each agent, by virtue of their different aims. Agency is itself an active area of interdisciplinary research (Dennett 1989, Kauffman 2002, Nguyen 2020, Mitchell 2023), which goes beyond the scope of this work. However, as a working definition, we consider an ‘agent’ to be an entity in an environment which has one or more aims (due to internal or environmental states with higher or lower value), and abilities with which it can act to pursue those aims (Mitchell 2023). Agents can occur at various levels of complexity and organization, from single-celled organisms whose aims include the ingestion of nutrients until the next cell division, to a human whose aims include having more leisure time, to collective and/or societal agents such as an ant colony or a corporation whose aims include maximizing value for shareholders.

Elliot (2021, p. 1014) provides a concise and encompassing summary of these various accounts, stating that “a problem is a state of affairs in which something valued is harmed or is obstructed from reaching an end both valued and assigned to it.” According to this account, specifying a problem requires (1) a set of propositions that describe a **situation**, including obstructed aims, (2) a set of propositions listing the **agents** who have assigned value or desired ends to items in the state of affairs, and (3) a set of propositions that describe **constraints** on the problem’s solution. By spelling out the propositions necessary to claim ‘X is a problem’, this “general propositional model” captures key elements that are readily applied to the colloquial

sense in which we refer to problems in everyday life, as well as the technical problems encountered in professional contexts: problems have problem-havers (they are defined with respect to agents for whom the situation is a problem-for), problems are context-specific (they involve a specific state of affairs in which the agents' aims are unmet), and problems are solved as the constraints entailed by these aims are satisfied — one can even admit solutions of different degrees in which more constraints (of potentially varying degrees of importance) are satisfied to varying degrees.

### 3. *Scientific problems are problems for a research community*

While the propositional model provides a comprehensive account of what constitutes a problem, it's not immediately apparent how it might apply to the kinds of problems encountered in scientific research itself. For example, the stated motivation of a recent study (Sun et al 2023) is to solve the problem that "it's unclear why systems consolidation only applies to a subset of hippocampal memories". Who, in this case, are the relevant **agents**, what is the **situation** such that their **aims** are unmet, and what are the **constraints** on a successful solution?

Nominally, scientific problems are those of professional interest to scientists. Thus, it might seem that the problem-having agents for scientific problems are researchers themselves. However, a cursory analysis suggests that Sun et al. don't have a direct stake in the problems they solve (aside from curiosity and professional interest). Instead, scientific papers and grant applications are often framed to address an unmet need of society or a subset of its members, and the institution of science is often "justified" (e.g. by funding agencies or universities) by its potential for societal benefit (Douglas 2009). However, this emphasis on societal problems does not readily mesh with the textbook view that the aim of scientific research is knowledge for its own sake (Pâslaru 2023). Instead, scientific problems generally involve a gap in knowledge, a disconnect between existing theories, or a methodological challenge. While such problems *may* be motivated by potential action possibilities (e.g., curing a disease or building a new technology), these concerns are rarely the immediate aim of scientific problems, and critically, achieving these goals is rarely seen as a criterion for their solution.

To navigate this challenge, we consider a research community to be a collective societal "agent": a group of researchers whose communal aim is the development of a body of knowledge that can be effectively used to solve others' and future problems involving phenomena in a specific domain (Frankel 1980, Casadevall and Fang 2015). This body of knowledge is stored and communicated through publication, training, or institutional events like conferences, and can include e.g. descriptive and explanatory accounts of phenomena, models and conceptual frameworks by which they can be understood, or methodological know-how by which they can be observed and manipulated. To meet the aim that their body of knowledge be problem-useful (or 'operationally coherent', Chang 2017, 2022), a research community can take "actions" including e.g. additions or changes to its body of knowledge, or demonstrations of its problem-solving utility. Scientific problems can then be seen as problems-for a research community, or "field", which result from an unmet aim of a useful body of knowledge<sup>1</sup>, as well as problems-for researchers in that field by virtue of their adoption of its communal aim.

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<sup>1</sup> We note that the claim that a research community's primary aim is knowledge with problem-utility (or 'operational coherence' Chang 2017, 2022) doesn't imply this need be the motivation of its individual members, who may themselves be driven to develop knowledge purely for curiosity; and does not negate the various other aims of science such as understanding (Khalifa 2020), which can coexist with, or even be explained by, a primary aim of problem-utility. Further, the aim of problem-utility applies to a research community's body of knowledge as a whole, and not necessarily to any one piece of that knowledge. Just as the research community is an emergent societal-level agent, problem-utility is a societal-level goal that emerges from the social structures that propagate and maintain scientific institutions and their embeddedness in society. Historians of science have noted the key role research communities and their social structures and norms played in the development of science (Wootton 2015, Shapin 1994)

The “situation” of a scientific problem is thus comprised of the current state of a field’s knowledge and a proposition that changes to some aspect of that knowledge could increase its problem-solving efficacy, and the constraints on a scientific problem’s solution arise from the specific way(s) in which the situation could better satisfy the research community’s aims. Together, the situation and constraints are often presented as the “background” of a research project. For example, a gap in knowledge problem reflects a shortcoming that a field’s knowledge is incomplete. Describing this situation would include a description of any phenomena of relevance and related theories, and can be further motivated by any utility the field or an external agent might have for knowledge that filled the gap. The constraints are the properties a proposed piece of knowledge should have to fill that gap. The most important of these constraints is often designated by a “research question”: a concise interrogative sentence used to convey a problem, and serve as a shorthand for its central constraint (a solution should adequately answer the question). In **Box 1**, we present an analysis of the problem solved by Sun et al 2023, presented in the beginning of this section.

**Box 1: Problem - “Why does systems consolidation only apply to a subset of hippocampal memories?” (Sun et al 2023)**

**Agent:** Research community - Systems Neuroscience

**Situation:**

Systems consolidation theory (SCT, Squire and Alvarez 1995) and complementary learning systems theory (CLS, McClelland et al 1995) are prominent theories in the field of systems neuroscience. Roughly, CLS claims that the mammalian brain has two complementary learning systems: one, located in the neocortex, is the basis for the gradual acquisition of structured knowledge about an animal’s environment, while the other, located in the hippocampal formation, supports rapid learning of individual experiences. SCT maintains that the offline replay of hippocampally-stored memories supports the transfer of new information from those experiences into broadly distributed circuits across the neocortex.

These theories can account for a wide range of experimental phenomena. For example, they are used to explain the effects of anterograde amnesia following hippocampal lesions (Scoville and Milner 1957, Squire 1992) compared to the apparent lack of memory effects following localized cortical lesions (Lashley 1950); the presence and spatiotemporal coupling of replay and reactivation in hippocampal and cortical circuits during sleep (Wilson and McNaughton 1994, Ji and Wilson 2007, Girardeau and Lopes-dos-Santos 2021); the effects of targeted perturbations during sleep and learning via electrical or optical methods (Girardeau et al 2009, Maingret et al 2016); and the spatial localization and behavioral dependence on memory-associated “engram” cells after learning (Kitamura et al 2017). Further, CLS/SCT have been informative for a range of applied and extrascientific problems – for example, they have inspired the development of artificial neural network architectures (Mnih et al 2015) and explain patterns of memory deficits in epilepsy (Gelinas et al 2016) and Alzheimer’s disease (Zhen et al 2021), which has lead to potential therapeutic targets (Lee et al 2020).

However, it’s been observed that the ability to recall information learned during some experiences remains dependent on the hippocampus for the entire lifetime of an animal (Gilboa et al 2021). These observations are not accounted for by the theories, which say nothing about why some may not be transferred to the neocortex. This is a shortcoming of the systems neuroscience’s body of knowledge, as it indicates that the theory will not be able to effectively inform e.g. further experiments (how to design an experiment in a new memory paradigm when the predominant theory cannot predict whether the memory will be hippocampal or cortical-dependent?), or potential external agents who wish to use the theory (how to develop treatments to alleviate epilepsy-related memory symptoms when your theory on which your treatment is based is not reliable?).

**Constraints:**

The primary constraint is that a solution should answer the research question, by providing an explanation for the observations that systems consolidation only applies to a subset of hippocampal memories. The question is framed as a “why?” question, for which a frequent approach in the field is to provide a normative explanation which demonstrates that some state of affairs is optimal for the solution of some task under neurally-plausible conditions (Levenstein et al 2023). A solution should thus consist of a statement of the “task” being performed by systems consolidation, and a demonstration that the observations (selective memory consolidation) are beneficial for its solution. The task, as well as the conditions under which it’s optimized (which are also frequently called “constraints”), should be supportable by existing knowledge in the field. In addition, a solution would ideally not disrupt the ability of the field’s body of knowledge to account for other, already solved, problems using SCT/CLS.

**Proposed Solution:**

The authors propose a modification of systems consolidation theory (“Generalization-optimized systems consolidation”), according to which hippocampal memories are only consolidated when it aids generalization. In support of this solution, the authors introduce a new neural network formalization of systems consolidation which reveals an overlooked tension in SCT: unregulated neocortical memory transfer can cause overfitting and harm generalization in unpredictable environments. Thus, the observation of selective consolidation can be explained by a postulate that memories which remain hippocampal dependent are not generalizable.

However, the statement of a research question is insufficient to fully specify a problem's solution criteria (Nickles 1978). For example, when a developmental psychologist asks "How does visual acuity develop?", this question poses a different problem than the one posed by a physiologist with the same question. Where the psychologist may be looking for solutions in terms of experiences during critical stages of development, the physiologist is likely looking for solutions in terms of the response properties of neurons in the visual system. These "tacit constraints" (Polanyi 1966) are conditions on the solution which are not fully articulated, but whose presence is indicated by the judgements and actions of competent practitioners of a discipline.

The prevalence of tacit constraints suggests that scientific problems are generally ill-defined (Reitman 1964, Simon 1973, Bechtel & Richardson 2010). In addition to unspoken constraints, it's often impossible to know all of the phenomena that might be relevant to a given problem, what knowledge will prove useful to unforeseen extrascientific problems, or how it might contribute to the development of future knowledge that does so. Further, scientific problems are generally ill-posed: rarely does a unique solution exist that satisfies the specified constraints. For example, the physiological problem of visual acuity could likely be solved using either electrophysiological or imaging methods. These features are indicative of so-called 'wicked' problems (Schickore 2020): those that are conceptually difficult or practically impossible to solve because of incomplete, contradictory, or changing requirements.

The wickedness of some scientific problems brings up a potentially disconcerting question: are scientific problems ever really "solved"? We maintain that they are, in two different ways. First, scientific problems are solvable to the extent that researchers explicitly define their solution criteria. Once specified, a problem can be definitively solved by an object that satisfies the constraints (Nickles 1978). Highly theoretical problems often involve constraints on mathematical structures for which definitive answers can be found, while applied problems can be well-defined with respect to a quantifiable goal of a successful application. For example, "what are the possible energy states of a double well potential under the Schrodinger Equation?" is a well-defined problem in physics with a demonstrable solution; and "how can we treat Alzheimer's disease?" has well-defined constraints on its amelioration involving the reduction of symptoms in Alzheimer's patients, and ideally, a reduction of the number of patients needing care. While the problem itself does not specify *how* the disease should be treated, by attachment to a quantifiable extrascientific target it's possible to definitively say the problem was solved, or at least ameliorated to a quantifiable degree.

However, the majority of scientific problems are somewhere between these two extremes. For example, the problem of selective memory consolidation in **Box 1** does not include explicit constraints on its solution, and one could imagine solutions that appeal to different kinds of explanation (e.g. mechanistic rather than normative, Levenstein et al 2023, Brigandt 2013), or a different operationalization of e.g. "memories". This necessitates the second sense in which scientific problems are "solved": provisional solution through community-based methods of evaluation and acceptance. This includes an oftentimes messy process of (pre- and post-publication) peer review, and consensus among a research community as to whether a proposed solution is adequate. This form of solution is always 'provisional' because what is seen as an adequate solution in one socio-historical context may not be in another; as additional constraints (e.g. new data) become available, standards change, or alternative solutions are presented. The degree to which science depends on community-based assessment and its susceptibility to subjective opinion has led to a potentially relativistic view of scientific progress (Laudan 1990). However, such a view downplays 1) historical evidence of progress in the ability of scientific knowledge to address applied concerns with increasing accuracy and scope of prediction or manipulation of phenomena (Silver 2000, i.e. increasing operational coherence, Chang 2017) 2) the grounding of scientific knowledge in effective action and

experimentation (Stevens 2020, Hacking 1983, Chang 2004) and 3) the social processes used by research communities to achieve objectivity between subjective individuals (Longino 1990, Douglas 2004, 2009).

Thus, scientific problems are not different in *kind* from other problems (they are a situation in which an agent's aim(s) are unmet, with a set of constraints on what would count as a solution), but they are distinguished by the *identity* of the problem-having agent: a research community, whose body of knowledge is not meeting its aim of being usable for nonscientific problems in its domain. Further, they are uniquely wicked due to the unspecified nature of how knowledge might be useful to solve extrascientific problems or the constraints on what changes would constitute an improvement.

## Research communities have a shared problem-space by which they judge theories

### 4. A research community has a shared problem-space: the set of problems in its domain

Centering scientific problems on a research community leads to a critical question: what's in a research community's domain?. First and foremost, a research community's domain contains the set of empirical phenomena that are the subject matter of its research (Frankel 1980), which are generally considered to be its primary distinguishing characteristic (Casadevall and Fang 2015, Darden 1978). For example, the field of neuroscience's domain contains phenomena relating to neurons and the nervous system, while the field of linguistics' domain contains the phenomena of language. Research communities and their domains are not mutually exclusive – research communities can have overlapping domains, and the domain of one can even be completely subsumed by another (Casadevall and Fang 2015). For example, many of the phenomena in linguistics's domain, as well as many of its members, also belong to the field of cognitive science, and the field of hippocampal electrophysiology is almost entirely within the domain of neuroscience.

In addition to empirical phenomena, a research community's domain contains the problems that any agents external to the field, such as non-scientists or researchers in other fields, might have related to those phenomena (Frankel 1980). For example, the problems of "How to treat patients with language disorders?", and "How to effectively teach a second language?" involve language and are thus in the domain of linguistics. While these problems are not themselves be scientific (they are problems-for speech-language pathologists and educators, respectively, which are neither directly nor exclusively solved by scientific research), they are in the research community's domain by virtue of their relationship to phenomena in its domain (Love 2008) and its aim to develop knowledge that can be used to solve them. Such problems are "external" to the research community, and it can be argued that research communities form as a means for developing knowledge that facilitates their solution (Frankel 1980).

The aim to develop, maintain, and communicate a body of effective domain-specific knowledge leads to new problems – scientific problems that are "internal" to the research community. These include what Laudan referred to as "first order" *empirical problems*: "anything about the observable world which strikes us as odd, or otherwise in need of explanation" (directly contradicting the field's aim of a usefully comprehensive body of knowledge about the phenomena in its domain), as well as "higher order" conceptual problems: problems about the soundness of higher order structures the field develops to deal with "first order" empirical problems (Laudan 1977). For example, whether non-context-sensitive dependencies are possible in language is an empirical problem for the field of linguistics, while whether such a dependency exists over a tree versus a string representation is a conceptual problem, as it reflects an inconsistency between two theories (Graf 2022). A field's internal problems also includes "toy problems",

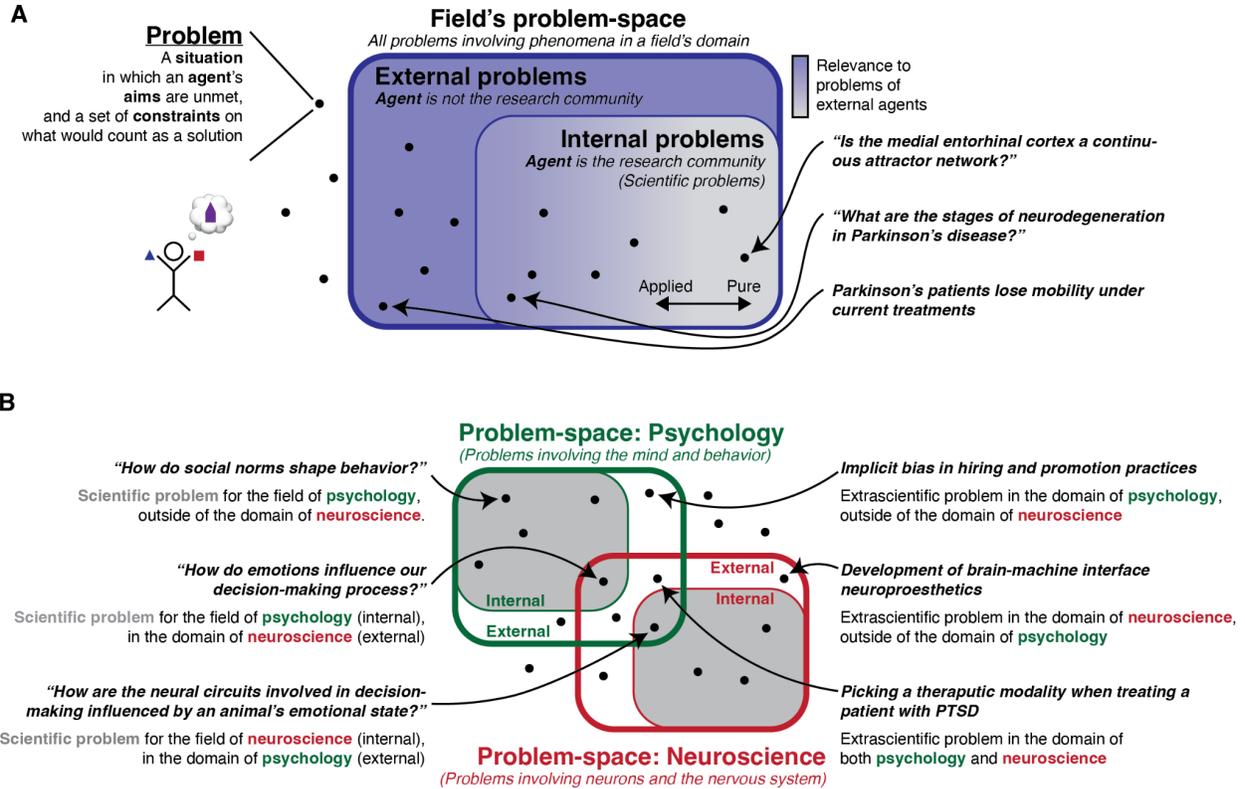
which are used as test cases or exploratory ground for theory development.<sup>2</sup> For example, the double well potential in quantum mechanics (Holstein 1988) did not correspond to anything in the known world (let alone of societal relevance) and was not an internal inconsistency, it was instead a tractable problem taken on by physicists to develop quantum theory – to demonstrate its feasibility, develop methods by which it could be used, and to understand its implications.

A field's internal problems can range from what are traditionally described as “pure” to “applied” concerns, based on the degree to which they are connected to external problems (Yaghmaie 2017, Roll-Hansen 2017). For example, an internal problem for the field of neurobiology could be directly relevant to an external problem, (e.g. the problem of ‘why do people develop Parkinson’s disease?’ is directly related to the problem of ‘how do we treat people with Parkinson’s disease?’), or the possible use of the knowledge could be unknown (e.g. ‘how is sleep regulated in the fruit fly?’). However, the distinction between these is rarely clear, and may not even be an accurate or beneficial characterization of scientific practice (Douglas 2014b). For example, the solution of “purely” scientific problems often leads to the ability to tackle “applied” concerns (e.g. ‘how is sleep regulated, or dysregulated, in humans?’), and the ability to successfully inform unforeseen applications is some of the best supporting evidence for the epistemic validity of scientific knowledge (even that which was developed under the auspices of pure science). Further, the top-down pressure to application could itself be seen as a mechanism for driving and assessing scientific progress.

Together, the problems in a research community's domain comprise its problem-space (**Figure 1**): the set of problems of professional relevance to a research community. Problem-space is as important for determining a field as the phenomena in its domain (Love 2008), and one cannot get a full understanding of the actions of a research community without considering its problem-space as it is the primary driver of scientists' research efforts.

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<sup>2</sup> Rather than exclusive categories, these various types of problems should be seen as descriptive attributes that indicate something about e.g. the identity of problem-having agents, the phenomena of relevance to the problem, or the role the problem plays in the research community and its body of knowledge. Indeed, in many cases, problems cannot be cleanly discriminated to a single type. For example, Laudan noted a “continuous shading between straightforward empirical and conceptual problems”, similar notes have been made about pure and applied problems (Douglas 2014b), and toy problems can become pedagogical as they become well-studied or empirical if situations are discovered that they apply to (both of which happened to the double well potential, Jelic and Marsiglio 2012).



**Figure 1: Problem-space.** **A:** A field's problem-space is the set of problems relating to phenomena in its domain, including problems for extrascientific agents or other research communities (external problems) and problems for the research community due to a shortcoming of its body of knowledge (internal problems). Internal problems can have a range of relevance to external agents, which can be considered a spectrum from pure to applied problems. **B:** Research communities can have overlapping problem-spaces, as many problems involve phenomena in the domains of multiple fields.

### 5. A theory is judged by virtue of its impact on a field's ability to solve problems in its domain

Of a field's body of knowledge, the components that have gotten the most attention from philosophy of science are its theories (Suppe 1974). For example, past work has considered theories as refutable explanatory frameworks for a set of observations or facts (Popper 1959), as foundations of scientific paradigms (Kuhn 1962) or research programmes (Lakatos 1970), as instruments for predicting phenomena (van Frassen 1980), and as well-tested proposed truths about the world (Psillos 1999), among many others (Godfrey-Smith 2003). Traditional conceptions have considered 'theories' to be necessarily composed of collections of either logical sentences (the syntactic view) or mathematical models (the semantic view, Lutz 2017). In contrast, the pragmatic view has emphasized a more heterogeneous composition to scientific theories (Winther 2021, Love 2013), especially those at earlier stages of development than the “mature” theories often considered in philosophy of science (Rohrlich and Hardin 1983). For example, theories can have a variety of structural components (Craver 2002a), with varying degrees of formalism, depending on e.g. their historical trajectory, or as needed to suit their function (Love 2013).<sup>3</sup> As a result, different research

<sup>3</sup> We note three senses in which one might consider a theory to have a function: 1) theories are (to some extent) intentionally designed entities, and thus there is a purpose they were designed for by virtue of the goals of their designer agents, 2) theories are components of a research community's body of knowledge, and thus have a function by virtue of their contribution to furthering the research community's goal of problem-solving utility, and finally 3) theories themselves may be subject to memetic selection (Shrader 1980, Hull 1990) - some are “replicated” through their propagation in scientific and extrascientific practice while others are not. One can consider a theory's “function” to be the properties/features/contribution for which it's selected in the same way a protein's function can be considered the contribution it plays towards furthering its gene's selective fitness. In each case, the answer is “problem-solving utility” - a theory is designed to solve some problem(s), it furthers a research community's goal by solving some problem(s), it is selected/maintained because it continues to be used to solve some problem(s).

communities may have qualitatively different theories as needed to suit the particularities of the phenomena and problems in their domain (**Box 2**). However, we note that our problem-centric account of theoretical virtues 1) is agnostic to our definition of theories' composition and requires only that they are developed by a research community to increase the problem-solving efficacy of its body of knowledge and 2) is not limited to scientific theories; it can readily be applied to other scientific products such as models. Whatever form they take, scientific theories are generally considered to be higher-order components of a field's body of knowledge which have a domain (a set of phenomena they pertain to which are a subset of the phenomena in the field's domain), involve selective accounts that omit features of the phenomena in that domain (abstraction), and contain deliberate falsehoods of the remaining features (idealization, Potochnik 2017).

Where Laudan considered a theory itself to be the solution to a problem, we consider instead that they are *used* as *part* of a solution. This accounts for their use in solving nonscientific problems (e.g. Newtonian mechanics does not solve the problem of "how do we send a lander to the moon?"; it is used by engineers

**Box 2: Multi-level theories in the cognitive sciences**

In the study of complex systems, such as those encountered in the cognitive sciences, it's common to divide phenomena into analysis at distinct levels (Anderson 1972, Oppenheim and Putnam 1958, Love 2012). For example, a well-known approach in cognitive science is to separate computational, algorithmic, and implementation levels of analysis (Marr and Poggio 1976, Marr 1982) and in neuroscience it is common to distinguish levels of organization (e.g. cellular, circuit, and systems) (Churchland and Sejnowski 1988, Churchland/Sejnowski 1994), and levels of mechanistic (Bechtel 1994) or causal (Craver 2007) explanation. However, it has been difficult to rigorously identify a single set of distinct levels in the world based on phenomena themselves that correspond to the ways this approach is used in practice (Love 2012, Potochnik 2021, but see Machta et al 2013). Rather than statements about discretization in the world, these level-based approaches can be seen as a useful problem-solving strategy (Levenstein et al 2023), which balances the need to focus on a subset of aspects of phenomena (in order to effectively solve problems in a causally complex world), with the need to have a limited number of shared abstractions (rather than a large number of problem-specific abstractions), and is especially useful in the study of biological systems or those studied under a framework of computation (for which the abstraction of processes and functions is a critical strategy, Colburn and Shute 2007, Wouters 2003).

In addition to distinct levels, multiple lines of work have emphasized the need for accounts that unify abstractions at different levels into multi-level theories (Craver 2002b, Bernston and Norman 2021, O'Malley et al 2014). In neuroscience, this often involves a division of labor into descriptive explanations (which idealize a phenomenon at a given level of abstraction), mechanistic explanations (which explain how idealizations at one level emerge from those at lower levels) and normative explanations (which explain idealizations at one level by appealing to their ability to perform a function at a higher level) (Levenstein et al 2023). For example, the solution to the problem in **Box 1** is a normative explanation in which a phenomenon (selective memory consolidation) is explained by appealing to its optimality to perform some task (memory performance in generalizable environments, as modeled at a higher level of abstraction). In addition, CLS/SCT contain descriptive explanations that idealize e.g. hippocampal and neocortical processes, memories, and other components of the theory at e.g. behavioral, circuit, and computational levels of abstraction, which are connected by mechanistic explanations for \*how\* memories are initially formed (Nadel et al 2012) and consolidated (Klinzing et al 2019), and normative explanations for \*why\* memories should be separated into complementary learning systems (Roxin and Fusi 2013). This multi-level theory surrounds a "core" (Lakatos 1970) idea: that the mammalian brain has two complementary learning systems and the offline replay of hippocampally-stored memories supports their integration into cortical circuits. However, without the multi-level "belt" the emperor has no proverbial clothes - it cannot make any predictions and even the terms in the core are meaningless.

The Marrian level scheme further specifies analysis at computational, algorithmic, and implementational levels. In this case, specific cognitive phenomena can be characterized in terms of the computations they enable (goals/task), the algorithms by which they do those computation (without referring to the specific implementation), and the ways in which they are implemented in neural (or non-neural!) substrates. This level scheme is especially useful for theories in the field of cognitive science (which seeks to build a useful body of knowledge about cognitive phenomena) in which the explanatory target is a specific computation/cognitive phenomenon, due to multiple-realizability (Marr 1982) - that is, the same computation could be performed by many different algorithms, and the same algorithm could be implemented in different substrates.

In contrast, for theories in which the target is a neuroscientific phenomenon (such as those in the field of neuroscience, which aims to develop a body of knowledge about neurons and the nervous system), the descriptive/mechanistic/normative division allows researchers to - 1) characterize neural phenomena at a variety of levels of abstraction, 2) understand how they emerge and how they operate in ways that facilitate understanding, prediction, and possibly informed/effective manipulation, 3) understand the functions that they serve for the operation of the brain and behavior, and how those functions may be enhanced or disrupted (e.g. in disease). This flexibility is necessary because in addition to multiple-realizability, neuroscience faces an additional issue of multi-functionality – the same neural phenomenon can play a role in multiple functions (e.g. it does not make sense to think about the singular function of inhibitory neurons, but rather their role in many different functions, from the computation of contextual modulation, Keller et al 2020, to maintaining a stable balanced state in neural populations, Sadeh and Clopath 2021), many phenomena are subject of study long before a connection to specified functions are established, and there is disagreement as to what the relevant functions even are (Buzsaki 2020, Poppel and Adolphi 2020) or if they can all be well-explained as computation (Richards 2018, Brette 2018, Marder and Goaillard 2006).

as part of the problem's solution) as well as scientific problems (e.g. Newtonian mechanics is used part of a proposed solution to the problem of planetary motion, in which researchers make a model that represents the position of the sun, planets, etc, and compare calculations made using the model to observational data). Further, a problem's solution often requires the use of multiple theories (e.g. solving the problem of planetary motion requires appealing to additional theories of optics used to collect the data, and one of an unobserved 8th planet in the case of the orbit of Uranus). Just as an experiment can only ever test a constellation of theories (Harding 1976), a problem is generally solved by a constellation of theories and the way in which they're combined to form an object that satisfies the problem's constraints.

When faced with a technical problem to solve, extrascientific actors (e.g. policy makers and engineers) must decide to use one scientific theory over another, and thus must make a judgment as to which theory is best for their problem at hand. From the perspective of these "theory-users", the question of which theory is best is superficially trivial: for a medical professional, the best theory is one that can inform effective drug design or successful treatment of a patient; for a machine learning engineer, the best theory is one that informs increased performance of their neural network on a specific computational task. That is, the best theory is the one that meets the needs of their problem-at-hand, as specified by the constraints on its solution.

In practice, identifying which theory fits the bill is rarely straightforward. Indeed, training in fields informed by scientific research generally consists of learning about the kinds of problems encountered in their respective practices, scientific theories that tend to be useful for solving them, and the methods and strategies by which they can be effectively applied. Theory-selection then involves an assessment of which available theories contain objects that can be mapped to the phenomena in the problem's situation or solution criteria (the problem's 'phenomena of relevance'), and if those objects can be made to correspond to those phenomena in the context relevant to the problem. This second requirement is called empirical adequacy (van Fraassen 1980) or evidential accuracy (Keas 2018), of which some degree is thought to be a baseline requirement for scientific theories (Douglas 2009). While it may be challenging to say just how much accuracy is "adequate", or necessary to accept a theory from a scientific perspective, the degree to which a theory-user cares that a theory is accurate, as well as the aspects of phenomena they care that it's accurate about, are strictly determined by solution criteria of their problem at hand. This can be considered a principle of problem-sufficiency: a theory-user only needs to consider if a theory's correspondence with phenomena is *sufficient* to meet the constraints of a given problem. While further accuracy is unlikely to be detrimental, tradeoffs often occur. For example, more accurate theories, especially about complex systems like those encountered in the cognitive sciences, often require accounting for more aspects of the phenomenon, which may or may not be known, easily measurable, or easily calculable. A problem's solution criteria thus provide a critical guide as to how much a theory-user should weigh empirical accuracy, and about what, relative to other considerations.

Like extrascientific agents, scientists must make decisions about which theories to use in the course of research (e.g. which theory to appeal to explain a set of results). For example, to solve the scientific problem described in **Box 1**, Sun et al appeal to the neuroconnectionist theory that artificial neural networks are a good model for the distributed computations performed by their biological counterparts (**Box 4**). As with nonscientific problems, the best theory is the one that can meet the needs of the scientific problem-at-hand and the desired degree of adequacy is determined by the problems solution criteria (Love 2008). However, scientific problems are solved for the purpose of developing scientific theories (or other parts of a field's body of knowledge). The scientific problem in **Box 1** was solved for the purpose of addressing a shortcoming in another scientific theory: systems consolidation theory. That is, scientific researchers are

not only theory-users, but are also, and predominantly, theory-developers<sup>4</sup>. In that capacity, decisions are made not only about which theories to use, but about e.g. which theory to develop (or “pursue”, Laudan 1977), which parts of it to change, or which problems to attempt to solve to demonstrate its problem-solving ability in a given domain; and judgements must be made as to which changes would be best to pursue during research or when evaluating their quality. Where a theory-user judges theories based on problem sufficiency, the theory-developer judges them based on a principle of problem coverage: its contribution to the research community’s body of knowledge as a whole, or more specifically, the set of problems in the field’s problem-space it facilitates the solution of (**Figure 2**, Love 2008, Laudan 1977).

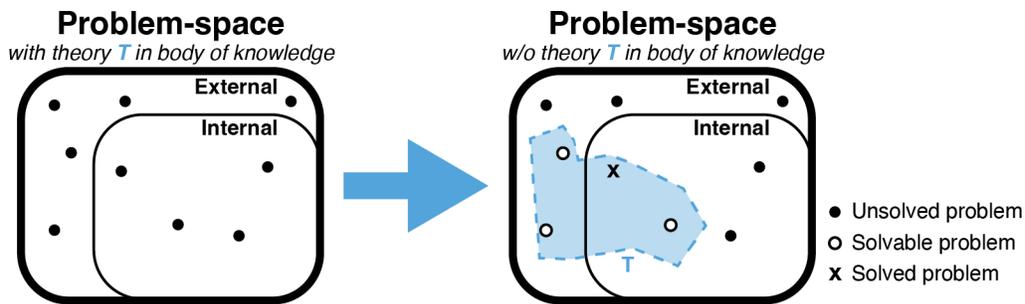


Figure 2: Problem coverage.

## A pragmatic, problem-centric account of theoretical virtues

### 6. The evidential and coherential virtues are heuristics for pluralistic problem-coverage

In a perfect world we’d simply measure a given theory’s problem-coverage (by e.g. comparing the set of problems solvable by a field’s body of knowledge with and without the theory), and compare and keep those that covered more problems than their competitors. Indeed, Laudan (1977) imagined such a calculus of problem solving ability as the way theories are ultimately compared. However, we cannot actually assess which (of all possible) problems a theory could be used to solve – first, because we cannot actually count the space of all possible problems, and second, because many have not yet been solved (they are rendered solvable, but not solved, by the theory). Thus, scientists must use heuristics: rules of thumb for rendering a judgment or making a decision in situations of insufficient time or incomplete information (Bechtel and Richardson 2010). For example, a common heuristic for a good move in chess is to control the center squares, which a player uses because they cannot calculate a full tree search of a move’s possible implications. Similarly, a common heuristic for a good theory is how well objects in the theory correspond to experimental observations of phenomena, as it will likely be usable to solve problems for which those phenomena are relevant. That is, researchers heuristically estimate a theory’s problem-coverage using a set of more-easily accessible properties, in place of the ability to make the actual calculation.

The idea that we judge the quality of scientific theories based on specific properties, or theoretical virtues, is generally attributed to Kuhn (1977), in response to claims that his paradigm-defining work left theory choice a matter of “mob psychology” which “cannot be based on good reasons of any kind” (p. 356). Numerous virtues have been proposed, including testability, empirical accuracy, simplicity, unification, consistency, coherence, and fertility (Schindler 2018). Keas (2018) has proposed a systematic organization

<sup>4</sup> While theory development is sometimes construed as a separate stage from theory-testing, performed by a separate group of “theorists”, theory development is a field-wide, collective endeavor. Even strictly “experimental” researchers develop a research community’s body of knowledge (its theories), in that they are developing empirical descriptions of phenomena, and even applied research develops a theory by demonstrating the ways in which it can be used to solve various external problems.

of these virtues, by which they can be divided into four distinct kinds (**Table 1**): those about how well theoretical components correspond to events and regularities in the world (evidential virtues), those that pertain to how well theoretical components fit together (coherential virtues), those that possess an aesthetic shape that is qualitatively different from the logical-conceptual fit of the coherential virtues (aesthetic virtues), and those that can only be instantiated as a theory is cultivated after its origin (diachronic virtues). While there may be virtues that don't obviously fit in this classification (e.g. testability, or falsifiability), and other classifications have been proposed (e.g. Douglas 2014, Wojtowicz and DeDeo 2020), we will refer to the classification of Keas because the division of evidential and coherential virtues corresponds nicely to a division of heuristics based on theory-phenomenon relationships and theory-theory relationships, respectively, and, as we will see in the following section, the aesthetic virtues can be subsumed into a new category based on theory-agent relationships.

The evidential virtues, those about the correspondence between a theory and phenomena, are widely regarded to be the most important desiderata for a theory<sup>5</sup>, and this is often attributed to theory-observation correspondence being the strongest evidence for a theory's truth value. A pragmatic perspective suggests that these virtues are instead heuristics for a theory's problem-coverage based on an underlying assumption that by having a high degree of correspondence between theoretical objects and observations, we can estimate a theory's ability to solve problems for which those phenomena are relevant (**Figure 3A**). Consider, for example, a theory which is completely inaccurate, that is, it does not have the evidential virtue of evidential accuracy. Such a theory will not be useful to solve any problems that require predictions with any degree of correspondence to observation. With increasing accuracy of theory-phenomenon correspondence, the theory will be able to cover more problems – those with constraints requiring accurately accounting for those phenomena to a degree of precision less than or equal to that provided by the theory (per the principle of theory-sufficiency). Thus, the degree to which a theory matches experimental observations (its evidential accuracy) can be couched, or “cashed out” in terms of the theory's ability to be used to solve problems that involve phenomena for which that correspondence has been demonstrated.

Because problem coverage is calculated with respect to a specific problem-space, the phenomena for which a theory is judged by its ability to be accurate about, and how accurate, depends on the problem-space of the field for which it's being developed (Love 2008, **Box 3, Figure 3A**). One might think that the phenomena in the field's domain delineate these boundaries. However, it's impossible to know ahead of time what scale or phenomena may turn out to be relevant for problems involving the phenomenon in its domain. Instead, the phenomena in a field's domain determine the problems in its domain, which in turn determine the phenomena represented by objects in its theories. For example, the molecular details of cellular translation or the calculation of information-theoretic measures may not seem necessarily in the domain of neuroscience, but their utility to solve neuroscientific problems brings them within the purview of its theories. In contrast, even though neurons are made of quarks and the details of government influence behavior, these objects are rarely, if ever, in neuroscientific theories. The precision of a theory, and the phenomena it accurately depicts, are generally only developed with consideration of the level of accuracy that's sufficient to solve problems in a field's problem-space.

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<sup>5</sup> “First, a theory should be accurate within its domain. That is, consequences deducible from a theory should be in demonstrated agreement with the results of existing experiments and observations” (Kuhn 1977)

<b>Evidential virtues</b>		about how well theoretical components correspond to events and regularities in the world	
		Pragmatic construal: heuristics for a theory's problem-coverage based on the relationship between the theory and phenomena	
		<u>Definition (Keas et al 2018)</u>	<u>Pragmatic Construal</u>
	Evidential accuracy	A theory (T) fits the empirical evidence well (regardless of causal claims).	Ability to cover problems that require empirical accuracy to a certain degree about specific observed phenomena
	Causal adequacy	T's causal factors plausibly produce the effects (evidence) in need of explanation.	Ability to solve problems about about the originally observed phenomena that require manipulation or prediction with extrapolation to unseen circumstances
	Explanatory depth	T excels in causal history depth or in other depth measures such as the range of counterfactual questions that its law-like generalizations answer regarding the item being explained.	Ability to be applied to problems involving other, related phenomena
<b>Coherential virtues</b>		pertain to how well theoretical components fit together	
		Pragmatic construal: heuristics for a theory's problem-coverage based on internal structure and relationship to other theories	
		<u>Definition (Keas et al 2018)</u>	<u>Pragmatic Construal</u>
	Internal consistency	T's components are not contradictory.	Without - may give conflicting solutions. Unable to get clear answer from theory itself
	Internal coherence	T's components are coordinated into an intuitively plausible whole; T lacks ad hoc hypotheses— theoretical components merely tacked on to solve isolated problems.	Suggests T will be able to be readily applied to other problems without further problem-specific modification
	Universal coherence	T sits well with (or is not obviously contrary to) other warranted beliefs (e.g. other theories).	Without - Conflicting solutions with other theories - which theory to choose?
<b>Aesthetic virtues</b>		Possess an aesthetic shape (fittingness) that is qualitatively different from the logical-conceptual fit of the coherential virtues	
		<u>Definition (Keas et al 2018)</u>	<u>Pragmatic Construal</u>
	Beauty	T evokes aesthetic pleasure in properly functioning and sufficiently informed persons.	A theory aligns with the aesthetic preferences of theory-using agents (agent-appropriateness)
	Simplicity	T explains the same facts as rivals, but with less theoretical content.	A theory plays to the usability and understandability constraints of theory-using agents (agent-appropriateness)
	Unification	T explains more kinds of facts than rivals with the same amount of theoretical content.	->Communal facilitation.
<b>Diachronic virtues</b>		Can only be instantiated as a theory is cultivated after its origin	
		<u>Definition (Keas et al 2018)</u>	<u>Pragmatic construal</u>
	durability	T has survived testing by successful prediction or plausible accommodation of new data.	Examples of a theory's problem-solving accomplishments
	fruitfulness	T has generated additional discovery by means such as successful novel prediction	
	applicability	T has guided strategic action or control, such as in science-based technology.	

Table 1: The theoretical virtues, as classified by Keas 2018, and their pragmatic/problem-centric construal

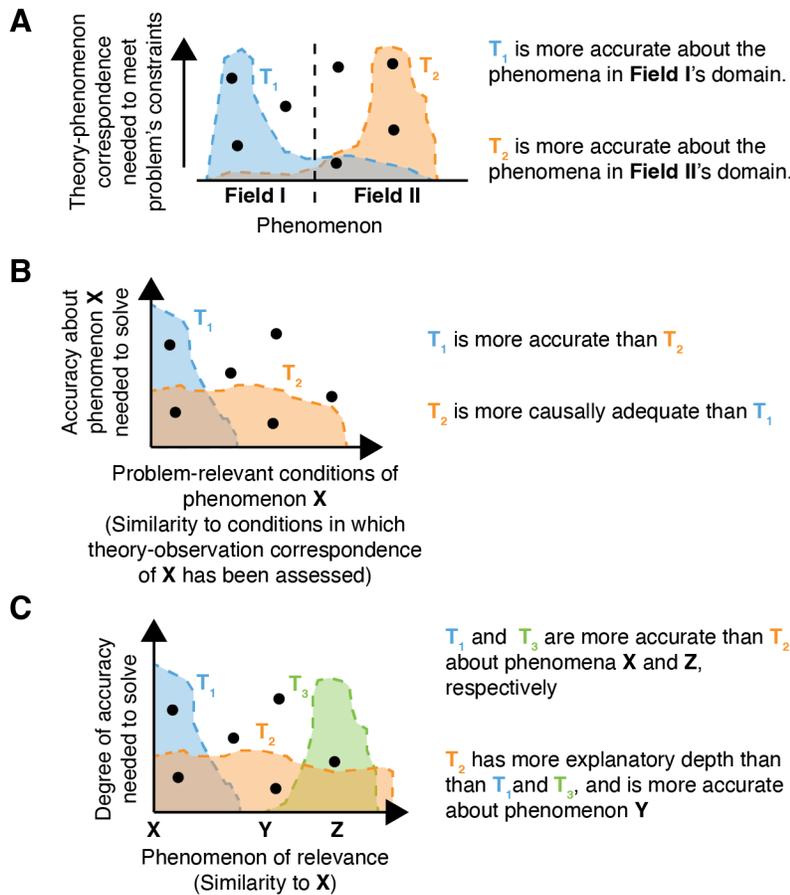
**Box 3: Problem-space dependence of theory judgment**

While CLS (**Box 1**) is qualitatively extensive, it is used to make few, if any, *quantitatively* precise predictions about neural or behavioral phenomena. Contrast this to the standard model of particle physics (Oerter 2006). Where CLS is composed of a mix of descriptive, mechanistic, normative statements about the world and a variety of models that instantiate those statements at different degrees of abstraction (**Box 2**), the standard model is composed of a series of equations which represent the interaction of particles and forces. These equations have a specific formulation and can be used to make highly accurate predictions about the observed behavior of subatomic particles in controlled situations, as well as applied, albeit with varying degrees of precision, to a wide range of phenomena in less well controlled or precisely observable, situations. The standard model itself describes little about specific instances, but is a general formulation that can be applied to many physical systems.

Why are these theories so dramatically different? Is it that the standard model is a much better theory than CLS (it's certainly more quantitatively accurate and more general)? Or is it possible that, despite its success, CLS doesn't meet the standards for a good theory and neuroscientists are behaving irrationally by continuing its use? Perhaps CLS is in an earlier "stage of development", and will be developed or supplanted by a theory that more closely resembles the theory from physics. We propose that the differences between the two theories stem from the distinct problem-spaces of each field, which lead to different requirements and expectations their members have for a theory.

This is in turn determined by our ability to observe and manipulate those phenomena, as well as the relationship of those phenomena to the problems of external agents. In the case of particle physics, we are able to make precise measurements with relatively low variability in highly controlled settings, and the external problems of relevance relate to existential questions and high-precision engineering. The result is the development and selection for theories that offer a high degree of predictability and specificity for reduced situations, with generalizability to a wide range of scenarios. In contrast, neuroscience makes measurements of a wide range of interconnected phenomena that span multiple levels of organization (from cellular to cognitive), each of which has a high degree of e.g. intersubject or inter-observational variability, due to the high degree of complexity. While many of the components can be studied in isolation, disconnecting them often destroys the phenomena of interest. However, external problems in the field revolve around understanding and, ideally, maintaining the health of these highly intricate systems, and thus require theories that can handle both complexity and variability. This generally results in quantitative theories of parts in reduced or isolated conditions, and qualitative or highly idealized theories of their collective action.

In addition to evidential accuracy, Keas (2018) identifies two evidential virtues with progressive expansion of scope: causal adequacy, which reflects "the degree to which a theory's causal factors plausibly produce the effects in need of explanation", and explanatory depth, which reflects "the degree to which a theory excels in causal history depth or other depth measures such as the range of counterfactual questions that its law-like generalizations answer regarding the item being explained". We suggest that the progressive scope of these virtues reflects heuristics for a theory's problem-coverage based on how well a theory's correspondence with observations is able to generalize beyond the specific conditions or phenomena for which it has been demonstrated (**Figure 3B**). For example, theories with a high degree of causal adequacy generally contain objects that represent "underlying causes" - parts and interactions that together produce observable phenomena (Craver 2007). Because one can manipulate these theoretical objects in ways that mimic conditions in the world, such causal, or mechanistic, models can be used to extrapolate beyond the bounds of their originally observed data, including unobserved conditions or the effects of perturbations (Ellner & Guckenheimer 2006, Pearl & Mackenzie 2018). Thus, causal adequacy indicates that a theory will be able to effectively contribute to the solution of problems requiring accuracy about the phenomena it's been tested for, but in circumstances in which that correspondence may not have been explicitly demonstrated.



**Figure 3: Problem-coverage and the evidential virtues.**

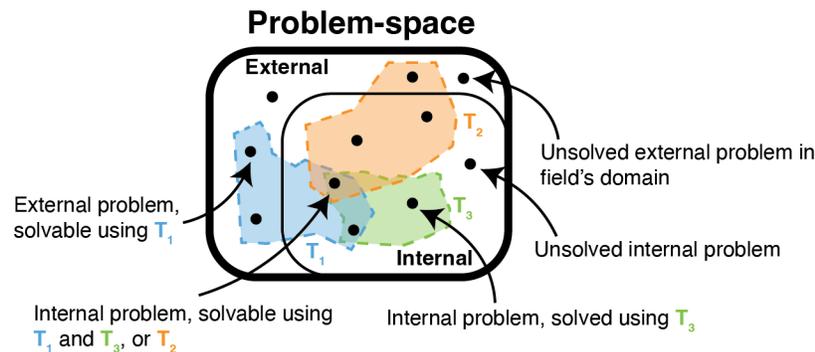
Schematics of the different forms of problem-coverage estimated by the evidential virtues. Problems are designated by points which, when shaded, are rendered solvable by the presence of a given theory in a field's body of knowledge.

Explanatory depth further expands the scope of domain expansion indicated by causal adequacy. Theories with a high degree of explanatory depth provide extensive causal history in the case of their ability to explain events, or contain lawlike statements which are able to handle a large range of counterfactual (what-if-things-had-been-different) questions about the phenomena in their domain (**Figure 3C**). For example, the theories of plate tectonics, Darwinian evolution, and Newtonian mechanics all have high degrees of explanatory depth, due to the extensive explanations they provide about geological

observations, the diversity of species, and the motion of objects, respectively. A theory with explanatory depth does not just account for observed phenomena in unobserved conditions, but can be used to uncover previously unobserved phenomena as well. Explanatory depth is thus a heuristic for a theory's ability to cover problems involving a broader domain of phenomena beyond those the theory was originally developed for, or has been specifically applied. This reflects a significant expansion of the theory's domain to entire classes of phenomena, and thus dramatic expansion of its coverage of problem-space.

While theories with more causal adequacy or explanatory depth may also come with a higher degree of evidential accuracy, they need not. "Abstract models" in the cognitive sciences often sacrifice a high degree of evidential accuracy for causal adequacy or explanatory depth (O'Leary et al 2015). These abstract models are often able to account for many different phenomena, but each to a low degree of accuracy. For example, the continuous-rate units used in many artificial neural networks (Yang and Wang 2020) are a significant abstraction of the complex geometry and electrical properties of neurons, and as a result sacrifice a large degree of correspondence to their observed electrical activity. However, they can be used to model the activity of neurons across diverse brain regions and cell types, and even the activity of entire populations (Wilson and Cowan 1972) or neural subcompartments (Jones & Kording 2021). This is similar to the case in physics, where the most general laws (those with the most explanatory depth) almost never correspond to experimental or applied uses. Instead, these laws are generally supplemented with phenomenological laws and correction factors which are needed to make them apply to what is actually observed (Cartwright 1983).

A research community will often maintain these more abstract theories along with other theories with a higher degree of evidential accuracy. For example, there are multiple alternative accounts of the electrical activity of single neurons (Gerstner 2014), each of which involve different abstractions and idealizations, and have different degrees of (in)accuracy under different conditions or assumptions. Where it might seem illogical under a “theories as proposed truths” framework to maintain multiple theories with overlapping domains (e.g. as they can’t all be, strictly, true), a framework of problem-coverage explains why a field might maintain a population of overlapping theories: they collectively cover the problems in a field’s domain (**Figure 3**). This naturally includes theories about different phenomena (and thus are potentially applicable to problems for which those phenomena are relevant), but also includes the proliferation of different theories about the same phenomenon (e.g. at different levels of abstraction, Love 2012). This is because 1) the world is causally complex and thus problem-solving requires selective attention to the more relevant aspects of a subset of phenomena (Khalifa 2020, Potochnik 2017), and 2) the needs and competencies of different agents are highly diverse, requiring different theories that might meet these needs. We consider this to be a “no free lunch” principle of scientific theories: no theory can cover all problems, even about the same phenomenon. The no free lunch principle suggests that a kind of theoretical pluralism should be maintained by a research community, with a population of theories that make different idealizations to collectively cover the various constraints of the problems in its problem-space (Brigandt 2013).



**Figure 4: Pluralistic problem coverage of a field’s problem-space**

Fields have multiple theories that together aim to cover all problems in its problem-space.

Pluralism has become a popular view among philosophers of biological sciences, as it has repeatedly proven difficult to square strict reductionism with the actions of scientists in those fields (Dupre 1993, Mitchell 2003). Pluralism is not without its detractors, however. For example, it has also been argued that pluralism is only a temporary state, en route to the one true theory at a lowest (most fundamental) level of abstraction (Oppenheim and Putnam 1958), and one might worry that an extreme disunity of scientific theories will break apart the communication, social enterprise, and debate-to-consensus process on which a research community relies, or that an “anything goes” mentality will result in a loss of scientific standards (Reisch 1998). Where the evidential virtues can be seen as heuristics for a theory’s problem-coverage based on theory-phenomenon relationships, the coherential virtues can be seen as heuristics for problem-coverage based on a theory’s internal structure or relationship to other theories, which counterbalance the risks of unchecked pluralism.

To understand the relationship between the coherential virtues and theoretical pluralism, we consider the implications if the most expansive one, universal coherence, is lacking. Universal coherence states that a theory should not be contrary to other warranted beliefs, such as other well-supported theories. If a research community maintains a piecemeal collection of problem-specific theories, it can be difficult for a theory-user to know a priori which to use when problems arise that involve phenomena in the domain of multiple theories. If the theories are in general agreement, but differ in e.g. their degree of evidential accuracy, then

a theory-user can simply choose the theory with sufficient accuracy for their problem-at-hand or according to some other criteria. However, if the theories disagree (e.g. are inconsistent) the theory-user is left with a conundrum of which to use. Further, solving some problems requires at least the partial integration of concepts and explanations from different theories (Brigandt 2010), which is not possible if the theories are incoherent. Thus, incoherence has the effect of decreasing a theory's problem-coverage - the field's body of knowledge is less able to solve some problems (those in the domain of, or requiring the combined use of, incoherent theories) with the theory than without it. However, if the theories are never used to solve the same problems, or if they are compatible (overlapping but without explicit disagreement), there is rarely a strong drive to unify, as theories about the same phenomenon at different levels of abstraction are generally useful for different problems. Thus, universal coherence can be seen as a virtue to the extent the two theories have overlapping problem-spaces (Brigandt 2010), rather than overlapping domains of phenomena.

The argument above holds for the other coherential virtues, though each with a less expansive scope. If a theory includes ad hoc components tacked on to solve isolated problems (internal incoherence), then a theory-user can't rely when facing additional problems that it won't need further ad hoc modifications. If the theory is internally inconsistent, then it can't be relied on to give a consistent solution at the time of its use.

#### **Box 4: Theoretical pluralism in neuroscience**

The field of neuroscience is experiencing a rapid development of new neurotechnologies, which enable large-scale recordings of up to thousands of neurons simultaneously, possibly from multiple brain regions, with cell type specificity, during complex behavior, and the ability to selectively manipulate neurons in the population. While this has had significant benefit to the field, resulting in a wealth of new data and the ability to perform targeted manipulations of neural systems, it has revealed that many of the field's former theories are unable to account for the new data or inform the reliable use of those manipulations. These former theories were, for the most part, developed to account for the activity of a small number of neurons, with selective attention to interpretable neurons, and population-level theories which involved idealized collections of these interpretable units, or highly abstract models/descriptions, have been unable to account for the complexity observed with the new methods. As a result, the field has seen the development of a number of candidate theories (Richards et al 2019, Barack and Krakauer 2021, Doerig et al 2023, Cisek 2019). A problem-centric view would suggest considering the coverage of each theory - what problems does each cover, and where is the overlap?

**Deep learning framework:** Explanations of the neural computations underlying cognition should focus on objective functions, learning rules, and architectures. (Richards et al 2019)

**Hopfieldian view:** Cognition can be well-explained by transformations between or movement within representational spaces that are implemented by neural populations. To be contrasted with a "Sherringtonian view", that cognition can be well-explained by point to point communication between neurons organized into circuits. (Barack and Krakauer 2021)

**Neuroconnectionism:** Artificial neural networks (ANNs) are a highly suitable computational language to model the brain computations underlying cognition: sufficiently abstract to be computationally tractable and reproduce cognitive functions, while still being close enough to biology to relate to, implement and test neuroscientific hypotheses. (Doering et al 2023)

**Phylogenetic refinement:** Biologically plausible theories of behavior (including cognition) can be constructed by following a method of "phylogenetic refinement," whereby they are progressively elaborated from simple to complex according to phylogenetic data on the sequence of changes that occurred over the course of evolution. (Cisek 2019)

**Inside out view:** Rather than appealing to cognitive terms, theories of neural phenomena should be framed in terms of intrinsic patterns which are selected and grounded by action and prediction. (Buzsaki 2019)

It's interesting to note that these are all very general theories ("views", or conceptual "frameworks"), which specify how explanations should be framed but have little to say about specific phenomena (Levenstein et al 2023). That is, they have a high degree of explanatory depth, but a low degree of empirical accuracy. Thus, they have the potential for large and overlapping problem-coverage (all refer to neural phenomena of "cognition"). However, in order to solve potential problems requiring a notable degree of accuracy, these theories would need to be combined with other, more specific, theories about the specific phenomena of relevance.

*7. The agential virtues reflect a theory’s ability to facilitate its use by agents and its context in a societally-embedded scientific system*

Theories can be used by scientists for reasons that aren't just about a specific problem-at-hand, and can be developed for reasons other than their ability to directly solve scientific or external problems. For example, a scientist might develop a theory because it lets them engage with a specific audience or ongoing debate, and the “aesthetic” virtues (**Table 1**) of beauty and simplicity are often used to justify theory selection. Initially, this might seem like a problem for a problem-based view of theoretical virtues: why would considerations unrelated to problem coverage influence which theories are used and developed? We next propose a set of theoretical virtues - the agential virtues - which reflect a theory’s ability to facilitate its use by agents and its context in a societally-embedded scientific system. Like the other sets of theoretical virtues, we identify three agential virtues with progressive expansion of scope (**Table 2**), from considering the needs of theory-users, research communities, and society. Where the evidential and coherential virtues are heuristics for a theory’s problem-coverage based on theory-phenomenon and theory-theory relationships, respectively, the agential virtues are those based on the relationship between a theory and specific agents.

<b>Agential virtues</b>	Reflect a theory’s ability to facilitate its use by agents and their context in a societally-embedded scientific system. Heuristics for a theory’s problem-coverage based on the relationship between the theory and potential problem-having agents.	
	<u>Definition</u>	
	Agent appropriateness	T fits the capacities of its intended theory-using agents
	Communal facilitation	T supports the health and efficacy of its research community
	External alignment	T aligns with social benefit

**Table 2: the agential virtues**

The least expansive agential virtue, agent appropriateness, refers to the degree to which a theory aligns with the capacities of its intended users. These may be other researchers in the same field, researchers in another field, or nonscientific agents. For example, in a field where linear algebra is not part of the standard curriculum, theories that don’t require its use might be preferred over those that do, and a theory which is intended to be used by practitioners of a specific external discipline should align with the capabilities of those in that field. Agent appropriateness acknowledges the fact that all problems solvable by a theory are not immediately solved by its presence in a field’s body of knowledge, they must then be solved by agents (either other researchers in the case of scientific problems, or external agents in the case of extrascientific problems). By aligning a theory with the abilities of those theory-users, agent appropriateness indicates a higher degree of problem-coverage for a theory, as it renders more problems more readily solvable.

**Box 5: Agent-appropriateness**

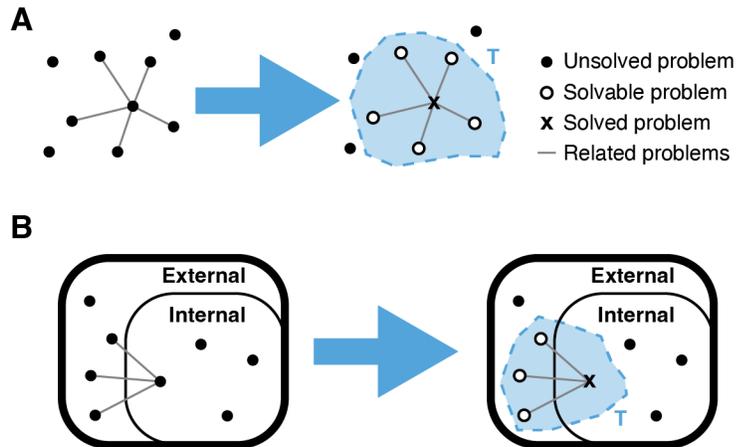
In addition to their theories being designed for problems involving different phenomena (**Box 3**), particle physics and systems neuroscience are composed of members with different backgrounds, and face different communal problems. Particle physics has a long tradition of mathematical rigor, necessitated by the exact nature of the phenomena under investigation. This has attracted a community of researchers adept at theoretical abstraction and quantitative analysis and trained them in a relatively unified curriculum. Conversely, neuroscience, while not lacking in quantitative complexity, also deals with a myriad of nuanced and interconnected phenomena that resist simple numerical representation. As a result, the field attracts individuals with a wide range of expertise, including but not limited to biology, psychology, computer science, and even philosophy. The communal problems of particle physicists often involve organizing many researchers around a relatively small number of impactful, expensive experiments, while neuroscientists face challenges such as bridging gaps between levels of analysis and researchers with the different backgrounds necessary to study them, and the translation of theoretical insights into a wide range of practical applications from improving mental health to developing better artificial intelligence systems. These distinct challenges entail that different types of theory are 'agent-appropriate' - e.g., in terms of the type of mathematics used, or the consensus on the meaning of theoretical terms - further accentuating the differences in their theoretical approaches.

Agent appropriateness does not simply refer to agents' technical abilities. We maintain that the virtues of beauty and simplicity can both be accounted for under the umbrella of agent appropriateness, in that both are defined with respect to a specific agent. Beauty is simply an alignment with a specific agent's intuitive and aesthetic preferences. This alignment might be expected to make theories more easily transmissible to agents with those preferences (Boyer 1998), and thus facilitate their use. Further, these preferences themselves have been shaped by cultural and biological evolution, which may have resulted from selection for a preference for cognitive properties that facilitate effective problem-solving (Wojtowicz and DeDeo 2020). Of course, evolutionary processes are not a guarantee of optimality (Gould & Lewontin 1979), especially when considering cultural products and cognitive properties (Fracchia and Lewontin 1999, Boyer 1998).

Similarly, simplicity can be seen as an alignment with an agent's information processing capacities. Where one might define an agent-independent metric of simplicity using information-theoretic methods (Sterkenburg 2016), e.g. by quantifying the number of bits needed to express the theory or the number and order of terms in a mathematical model, its calculation depends on a choice of a formal structure or language in which a theory is expressed, and there is information in the model's "construal" (how those terms are interpreted to correspond to phenomena, Weisberg 2013) which cannot be quantified in the same way. Further, such a calculation rests on an assumed ideal decoder, which does not necessarily reflect the information processing abilities of a theory-user. We suggest that the theoretical virtue of simplicity can instead be understood as alignment with what is cognitively simple for problem-solving agents, which is a heuristic for the theory's problem coverage by way of its usability - what does a theory-user find simple to use - and understandability - what does a theory-user find simple to understand. While this does in many cases align with formal simplicity - e.g. fewer theoretical objects, it is defined with respect to a specific theory-using agent, and thus fits under the umbrella of agential alignment.

The second agential virtue, communal facilitation, expands the scope of first to consider the ways in which a theory facilitates the work of the research community. Often this reflects a theory's ability to solve specific problems of critical value to the field (**Figure 5A**). Where the evidential virtues are agnostic to the identity of problems covered by a theory, some problems may be more important for a field due to their relationship to other problems and the implications their solution would have for the rest of its problem-space. For example, solving a methodological problem often opens the door for others to use the method in a different context, and thus facilitates the solution of further and previously-unsolvable problems. Similarly, a theory might fill an open niche in problem space by covering unsolved problems or even by including unaccounted for phenomena in a field's domain (thus covering many unsolved problems for which that phenomenon is relevant). Scientists often show extreme attention to developing theories that can cover these critical or unsolved problems, even at the expense of theories that can cover more, but already solved, problems.

Community facilitation accounts for the preference for, or attention to developing, theories that solve some problems over others.



**Figure 5: Problem-coverage and the agential virtues.** **A:** Theories can achieve community facilitation by solving critical problems that allow the solution of other, related problems in the field's problem-space. **B:** Theories that facilitate the solution of societally-relevant external problems are externally, or societally aligned.

The third agential virtue, external alignment, reflects a theory's contribution to external problems in a research community's domain, and their alignment with social benefit. External alignment adds additional importance to the coverage of societally-relevant

problems (**Figure 5A**). For example, a theory which can predict the spread and mutation of respiratory viruses may be developed for its social alignment, and theories about the effects of social isolation on cognitive development become more socially aligned during a pandemic. This is due to its support of the research community's aim - that its body of knowledge be potentially useful to solve external problems.

One might wonder why we should value properties that play to idiosyncrasies of human cognition and society, and how we should weigh these agential considerations against their evidential and coherential counterparts? Indeed, these virtues are decidedly not epistemic. On their own they have no bearing on the justification of a theory's truth-value. However, they play a significant role in the overall success of a theory, a research community, and its problem-solving efficacy, and thus indirectly in its epistemic success. Further, as limited beings in a causally complex world (Wimsatt 2007; Potochnik 2017), we're stuck with them. Especially when dealing with complex systems, where one has an explosion of causal factors, our heuristics for judging problem-solving tools are inevitably specific to the agents who wish to solve them (Wimsatt 2007; Bechtel and Richardson 2010). While they seem to be a negative or irrational aspect of human nature, it is important that we acknowledge and weigh these agent-specific properties in our scientific judgements and decision making, as it is a more effective way to do the science than pretending they don't exist.

Such a consideration necessitates considering the relative importance and prioritization of different kinds of virtues. Following Keas (2018), and matching the broad consensus of both philosophers and scientists (Schindler 2021), we would advocate weighing evidential over coherential over agential virtues. For example, a highly inaccurate theory should not be used over an accurate competitor simply because it uses more familiar methods. This maintains the trustworthiness of scientific knowledge, by grounding in effective action and external problems which require a certain degree of reliability. However, even if these virtues are less important than e.g. empirical adequacy, they are critical considerations in theory selection and development. For example, the cost of training in new methodology and transitions to unfamiliar research directions can be high, and given similar degrees of evidential accuracy it may be preferable to use the easier theory. Further, theoretical pluralism allows us to maintain lower accuracy but easier to use theories alongside their less agent-appropriate counterparts, with knowledge of their shortcomings and the circumstances in which they are appropriate (or not) to use.

Together, the agential virtues account for properties a theory might be selected for, which are not about its degree of evidence or coherence, but about the ways it facilitates problem-solving by individual agents or a research community, in the interest of society. One might note that the agential virtues appear to overlap with the “diachronic virtues”: those can only be instantiated as a theory is cultivated after its origin (Keas 2018, **Table 5**). These include durability (a theory has survived testing), fruitfulness (a theory has generated additional discovery), and applicability (a theory has guided strategic action or control). However, where the diachronic virtues reflect accomplishments of a theory which are only observable over time, the agential virtues are properties of the agent-embedded context of a theory which can be evaluated at the time of a theory’s “origin”<sup>6</sup>.

## Theories are active players in a dynamic problem-space

### *8. Theories can perform virtuous operations in problem-space*

Problem-space is highly context-specific. It is determined by the current availability of data and methods, and the current state of the field—its members, their interests, and its maturity. Some problems may be seen as more important than others at a given time, or completely meaningless at another. Thus, a field’s problem-space changes e.g. when new methods are developed or the needs of extrascientific agents change. Furthermore, a research community’s problem space is not just a list of disconnected problems. It has a complex organization in which some problems are more closely connected e.g. because the ability to solve one depends on the solution of another, they have overlapping phenomena of relevance, involve similar experimental techniques, or are problems for the same external agents. In addition to simply covering existing problems, a theory may itself change a field’s problem-space in a way that renders it more amenable to solution by future theories or increases the coverage of other, existing theories.

For example, a theory might expand a field’s problem-space, by identifying novel problems or by bringing new problems under the purview of a field’s theories. These “problem-finding” operations are a critical and often under-appreciated operation in science (Getzels 1979, Adolfi et al 2023) For example, the change to CLS in **Box 1** opens new problems for the field of neuroscience: “How does the hippocampus know which memories are generalizable?”, or “How are the predictable (and thus generalizable) elements of a memory separated from its non-generalizable (episodic) components?”. Even if the proposed theory itself can’t solve these new problems, it’s possible they’re solvable by other theories in the field or will lead to further theory development and their solution, and thus lead to an increase in the field’s problem-coverage. This is a beneficial effect of the theory beyond the ability to solve existing problems, which can be captured under the virtue of community facilitation.

Alternatively, a theory might contract problem-space by showing that what were previously considered to be disparate problems are closely related. Where expansion of problem space can spur progress by directing efforts towards new problems, contraction of problem-space makes it more easily coverable by fewer and future theories, as problems that are closer in problem-space are more easily covered by the same theory. For example, the change to CLS in **Box 1** brings problems related to the distinction between episodic and semantic memories closer to problems related to systems consolidation. In addition to rectifying incoherence (Section 6), unification can be seen as a beneficial contraction of problem-space via coverage of more problems by fewer theories. While unification is traditionally described as a single theory explaining more facts than its competitors (**Table 1**), it can also account for the selection of theories that connect areas of problem-space which were previously solved with disparate theories, or developing

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<sup>6</sup> Though note that theories rarely have a singular origin, rather than a protracted period of development by a community of researchers.

connections between existing theories which previously covered different areas of problem-space. Even if a theory that performs these operations can solve fewer problems than its domain-specific competitors, it may be selected or developed for its community facilitation, or for its external alignment if it moves a field's problem-space closer to societally-relevant problems (e.g. because it contains objects that correspond to readily manipulable or societally important phenomena).

**Box 6: The replication crisis as a disconnected problem-space.**

Psychology is experiencing a replication crisis (Anvari & Lakens, 2018, Makel et al. 2012, Nosek et al. 2022), or inability to replicate many previously published results. While this may stem from improper use of statistical tools (e.g. p-hacking and low-powered studies, Stanley et al 2018), it's been said that this replication crisis is more likely a symptom of a 'theory crisis' - a focus on specific 'effects' rather than the development of general theories (van Rooij 2019), and a lack of theoretical formalization or mathematization (Robinaugh et al 2021, Borsboom et al 2021, Fried 2020) of theories, which simultaneously are argued to lack proper conceptualization and coordination (Eronen & Romeijn, 2020) and ontological commitment (Oude Maatman, 2021). A problem-centric view would frame this as the result of an expansive, but disconnected, problem-space (each effect reflects an isolated problem), and the corresponding development of problem-specific theories which are inherently brittle due to their limited coverage of problem-space. This aligns with recommendations that the field focus on developing theories with attention to their causal adequacy and explanatory depth (Guest and Martin 2021), perhaps even at the expense of their evidential accuracy, as such theories can cover more of problem-space. Further, the crisis can be seen to reflect a communal problem that problem-space itself is fragmented, that is, each experimental effect corresponds to its own unique problem, rather than experiments that have the potential to inform multiple problems, and problems that encompass observations from different experimental modalities. Together, theories that are able to unify problem-space should be selected and developed due to their communal facilitation (cf. finding a foundational theory; Muthukrishna & Henrich, 2019).

*9. Theories can solve, or create, emergent problems from collective needs*

When a research community's needs are considered, new kinds of problems emerge that concern its health, productivity, and societal embedding. While these "communal" problems may not seem traditionally scientific, we argue that they are, insofar as they are related to the practice of science, their solution facilitates scientific progress, and scientific theories can (at least in part) contribute to their solutions. In addition to solving critical problems or performing beneficial operations in problem-space, the agential virtues can reflect a theory's ability to ameliorate these problems.

The first kind of problems are related to the content of a research community's theories. For example, researchers might disagree what the phenomena even are, or how they should be described. At first glance, this is a traditionally "scientific" problem - a debate about the relative merits of competing theories – which might be solved e.g. by considering their respective degree of e.g. evidential accuracy. Indeed, science is a procedure for solving this problem, of which disagreement is a healthy part of the process (Stevens 2020). However, there is an additional communal problem which may arise: disagreement between members of the research community can result in an inability to communicate and thus hinder progress on the traditionally scientific aspects of the problem. Theories that help solve the communal problem (e.g. by developing shared models or terminology) can be virtuous via community facilitation, even if they don't solve the traditional problem itself.

Indeed, many of these communal problems can be seen as sociological problems, related to the internal structure of a research community. An effective research community thrives on a diverse population of members (Muldoon 2013) with a complex and modular structure with groups of researchers focused on different problems or approaches (Weisberg and Muldoon 2009). This includes, for example, members with diverse background knowledge, as well as a diversity of approaches and distances one can be from experimental data. While this diversity is an effective tool for scientific discovery (Devezer et al 2019), it can also result in a problematic inability for a field's members to understand or be aware of each others' work, and thus an inability to apply the full range of a community's theories to their respective problems of interest.

To contribute to the solution of this problem, an “introductory” theory might make the ideas or approaches of one group accessible to those of another without background knowledge, or a theory might connect the theoretical objects used by different groups of researchers. In addition to the coherential virtue for problem-coverage, such theories have agential virtue for community facilitation.

Problems that run counter to societal alignment can also emerge because of the relationship between the community’s problem-space and extrascientific considerations. For example, In addition to supporting the amelioration of societal problems of energy production, nuclear theory introduced new problems of weapons technology and nuclear waste. This can be seen as a misalignment between the field’s problem-space and social/ethical concerns – where “how do we split an atom?” is a critical problem in the field’s problem-space, its solution introduces new external problems. This in itself introduces a communal problem to be solved (the misalignment), which might be solved e.g. with theories that enable the use of materials in nuclear research which produce less waste. Similar issues are coming up in present work in artificial intelligence (AI), because the solution of problems in the fields domain (and the ways in which they’re solved) have societal applications that are misaligned with some social/ethical issues - e.g. AI fairness and discrimination using AI systems (Birhane 2021), energy usage and global warming (Strubell et al 2019), and the production of misinformation (Goldstein et al 2023).

**Box 7: Linguistics and Language Engineering**

Linguistics was a leader of the cognitive revolution, through extensive mathematization and theoretical development that directly confronted the behaviorist and empiricist traditions dominant in psychology (Tomalin 2006). Interestingly, the linguistics community has fractured amidst this push, with many researchers feeling that modern theory developments do not serve the interests of the field (Dockum & Green 2023). At the same time, the advancement in computing hardware and accessible implementations of probabilistic models have advanced the engineering of language, or Natural Language Processing (NLP, Min et al 2021). Where previous work in the field was able to satisfy both engineering and scientific aims (Steedman 2008), current engineering approaches have become extremely focused. This has led to debate about the importance of NLP to the field of linguistics and cognitive science more broadly (Rawski & Heinz 2019, Mitchell & Krakauer 2023), with some proponents claiming the new technology reflects a paradigm shift for the field (Baroni 2022, Wei et al 2022) while others claim it is overhyped (Shanahan 2022), inconsequential (Veres 2021), or even come at the expense of scientific insight (Marcus 2022, Rawski & Baumont 2023). A problem-centric view would consider this controversy to be a communal problem resulting from a rapid expansion of problem-space, due to technology development and a rising adjacency to new external problems. Indeed, a tension between pure and applied research exists in many fields with scientific and engineering components. In many cases, the result is the emergence of distinct research communities doing work that draws from one another (e.g. Linzen & Baroni 2021, Valvoda et al. 2022). Further, one might imagine that linguistics might develop theories that contribute to the solution of societal problems which have rapidly emerged as a result of NLP technology (Bender et al 2021).

Finally, problems can emerge due to conflicts between the agential virtues and other theoretical virtues. For example, researchers might use and develop a theory because it attracts public interest or has engineering applications, in exclusion of considering its other virtues and at the expense of the development of its competitors. While this can be good via communal facilitation or societal alignment, e.g. by bringing people and support into the field, it can also result in misinterpretation of the field’s work that could potentially cause harm to the field or its theories.

## Conclusion

What are the implications of a problem-centric view of theoretical virtues? The first is that theories are inexorably problem-laden. They are developed, selected, and maintained with an eye towards the problems they can solve, and their effect on a field's problem-space. Like observation, which is laden with the theories involved in its collection and motivation (Boyd and Bogen 2021), theories are in turn laden with the problems involved in their development and selection. They retain the traces of those problems in their structural components, their formulation, and the phenomena in their domain.

Thus, the expression of theoretical virtues, and their relative importance, are only defined with respect to a research community's problem-space. A theory is empirically adequate-for (a certain problem-space), or simple-for (a specific user). The implications of the no free lunch principle are that, because no single theory can cover all problems, the virtuous properties for which we develop and select theories depend on the problems we want a theory to cover – the problem-space of a research community. This results in the fields looking for different properties of their theories to suit the needs of their unique problems. As a result, fields tend to develop qualitatively different theories, which reflect their different problem spaces. This is, trivially, stating that theories are different based on the phenomena in their domain - theories from different fields need to cover problems for which different phenomena are relevant. But, less trivially, theories are different based on what people want to do with those phenomena, and the problems that were of interest during their development. The cognitive sciences have different theories, even where their domain of phenomena overlap, due to non-overlapping problem-spaces.

In conclusion, we have advanced a pragmatic, problem-centric account of theoretical virtues, which draws on the cognitive sciences and theory development in those fields. The reason this approach may be useful is because it takes into account that each of these fields has a distinct set of problems in its domain, and as a result have distinct needs for their theories. This required adopting an account of scientific problems which is centered on a research community and the development of its body of knowledge. Such an approach has a dual benefit: 1) it distances scientific work from societal problems (the aim is to build a body of knowledge, not directly solve external problems), while 2) keeping it grounded in their solution and thus in effective action (the societal purpose of this body of knowledge is to be useful for those problems). It also acknowledges that theories can be virtuous for reasons pertaining to the role they play in improving the problem-solving efficacy of the research community or its body of knowledge as a whole, in addition to traditionally epistemic virtues which pertain to the coverage provided by the theory itself. We hope that this perspective can be helpful to both practicing scientists, as they consider the theories they use and develop, as well as philosophers of science in their considerations of a societally-embedded scientific system.

**Box 8: Recommendations for Researchers**

**Problem specification.** While scientists are good at spelling out the situation, they would do well to more explicitly spell out the constraints on the problems they are proposing to solve in their papers. There is some truth to the adage that stating the problem actually is "half the solution", as specifying a problem's constraints restricts inquiry to those directions which can meet them, and would allow other researchers to know if any disagreements are due to different assessment if the problem has, in fact, been solved, or disagreement as to what the problem actually *is* (i.e. different constraints).

**Explicitly use theoretical virtues to guide decisions during theory development.** Which theory to pursue? Which virtues does a theory already achieve to an adequate degree? Which aspects of the theory need improvement, and what can be changed or added to improve it with respect to specific virtues? While many of these assessments are already being made implicitly in the course of research, we hope that their specification will help guide researchers' decision-making process.

**Characterize your field's problem-space,** and use it as a guide for theory development. While countless reviews are written about previous results and their interpretation, we would advise also including more thorough characterization and development of the problem-spaces around specific topics.

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