A dasymetric method to apportion tornado casualty counts spatially

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Abstract

This paper describes a dasymetric technique to spatially apportion casualty counts from tornado events in the U.S. Storm Prediction Center's database. Apportionment is performed with respect to the proportion of damage path area and to the underlying population density. The method is illustrated with raster grids on tornadoes occurring between 1955 and 2015 within the most tornado-prone region of the United States. Validation of the results using county- and grid-level data reveals strong correlation between dasymetric estimated and location-specific counts. On a broad spatial scale the method provides a better estimate of where casualties have occurred than counting the number of casualty-producing tornadoes. Case studies using the 1974 Xenia, OH and the 1994 Piedmont, AL tornadoes highlight limitations of the method and indicate that results will be improved with more precise tornado path information. Future work that includes socioeconomic variables (demographics, ethnicity, poverty and housing stock/value) might allow populations to be profiled with regards to vulnerability.

Keywords: Dasymetric mapping, tornado, casualties

1. Introduction

Tornadoes are severe wind storms capable of catastrophic physical damage and human casualties. In 2014 the National Climatic Data Center (NCDC)

reported 47 deaths in the United States that were related directly to tornado
5 damage [1]. Of these, 35 occurred on just two days (27 and 28 April) over
the southern Great Plains and the U.S. southeastern regions, with as many
as 16 deaths alone attributed to the Vilonia-Mayflower tornado in the state
of Arkansas [1]. Moreover, the days of the 25th to the 28th of April, 2011
witnessed 324 deaths and 2,906 injuries from 349 tornadoes (according to the
10 National Oceanic and Atmospheric Administration). A few weeks later another
tornado killed 158 people in Joplin, Missouri.

Locational climatology of tornadoes (where they occur) is well established
in the literature [2, 3, 4, 5, 6], including the risk at various spatial scales [7].
Similarly, statistics on casualties resulting from tornado activity are also well
15 documented, but only as an aggregate number for each separate tornado [8].
What is less available is information on where casualties occurred within the
path of the tornado. Although news reports of a severe tornado may capture the
headlines, particularly if total reported casualties are high, specific locations of
casualties are generally not available to the public and are unavailable for many
20 of the events in the database. Moreover, there is yet no means with which to
estimate *efficiently* the most likely location of these casualties with any degree
of spatial precision.

Ashley [9] provides a spatial and temporal analysis of tornado deaths using
location information provided by Grazulis [10] and the National Weather Ser-
vice’s (NWS) *Storm Data*. The locations are by the nearest town or county seat
25 (when only the county where the death occurred is known). Using these data
over the period 1950 to 2004 and grouping by 60 km cells, tornado deaths are
mapped across the United States. However, locational accuracy is variable, most
notably of data collected before 1985, where judicial decision making is used to
provide a death location. In short, creating a database for mapping from text
30 descriptions is difficult, and requires editing, verification, and cross-tabulations.

In response to this difficulty, we suggest an automated technique that allo-
cates the total number of casualties reported for each tornado in proportion to
the underlying population geography within the tornado path. The technique

35 uses dasymetric mapping principles in that volumetric data (total casualties
and population density) are spatially reapportioned across areal data (raster
grid cells). Dasymetric calculations for an individual tornado are then summed
across all tornadoes to create composite maps that represent the distribution of
casualties at a spatial resolution chosen by the analyst. The method is demon-
40 strated on a region of the world that is notorious for frequent tornadoes; the
central and mid-South United States. The work aims to establish proof of con-
cept (for review of dasymetric principles see [11]), with the overriding objective
to test the technique that can spatially link individual tornado paths with so-
cioeconomic information. Such a link could be used to provide a model for
45 potential casualties given a tornado warning together with path scenarios. It
can also be used to help provide a model for seasonal casualty estimates given
climate conditions (e.g., El Niño).

Casualty statistics and population density are used in this paper to visualize
the destructive patterns of historical tornadoes at a far more spatially disag-
50 gregate scale than is currently. The resulting map of tornado deaths produced
by Ashley [9] is updated to include tornadoes occurring over the last decade.
Since 2004 there have been 15,001 tornadoes including 271 tornadoes that have
caused deaths. In 2011 alone there were 553 deaths from tornadoes.

2. Data and Study Area

55 The Storm Prediction Center’s (SPC) database contains information on tor-
nado reports in the United States. The database is compiled from the NWS
Storm Data. Along with the number of deaths and injuries, individual tornado
records contain information on the date, location, damage path dimensions, and
the worst damage rating found along the path. Incidentally, “casualty” refers
60 to either human death or injury as a direct consequence of tornado activity
according to the NWS *Storm Data*. Flying debris is the major determinant of
casualties. As summarized in [12] soft tissue wounds are the most commonly
reported cause of injury and head injuries (or trauma) is the most commonly

reported cause of death.

65 From this database broad characteristics of tornado occurrence have been analyzed ([8, 9]) but there have been few attempts to map the historical distribution. More recently, Shen and Hwang [13] use the database over the period 1950–2012 to estimate state-level percentages, ranges, and ranks of tornado-induced human casualties. Maps of killer tornadoes (tornadoes killing at least
70 one person) aggregated to areal units provide a general idea of the spatial distribution of deaths ([14]) but as shown here this type of map does not apportion the casualties themselves spatially.

The SPC database contains shapefiles containing data on the two-dimensional representation of each tornado path. Population data are obtained from the
75 Gridded Population of the World, version 3 (GPW,v3) from the *Socioeconomic Data and Applications Center* at Columbia University, USA. The population database contains density estimates from 2000 represented as people per square kilometer. Densities are based on residential population. The native cell resolution is 0.0416° latitude/longitude, which at 36° N latitude means a cell having
80 the dimension of 4.6 km in the north-south direction and 3.7 km in the east-west direction. The resolution is sufficient for our application of the dasymetric model since the precision on tornado genesis location prior to the mid 1990s is on the order of 1 km ($.01^\circ$ lat/lon) and the approximation of the track as a straight line decreases the precision by at least another several kilometers on average,
85 especially away from the end locations. The methodology is constructed with programs coded in the open-source R language. The start year coincides with the period when more advanced severe weather data (hail/wind damage) were also recorded as a more concerted effort to archive tornado reports [15, 16, 17]. The end year is 2015.

90 The study area includes the most tornado-prone region of the United States (Fig. 1). It contains a total of 30,003 tornadoes (51%) of all tornadoes in the United States, and two-thirds of all intense (EF3+) tornadoes over the 61-year period. Of these, 860 were linked to 3,544 deaths (72% of all tornado deaths in the U.S.) and 3,966 were recorded as causing 56,293 injuries (66% of all tornado

Figure 1: Study area. Great Plains, Ohio Valley, and parts of southeast United States. Paths of tornadoes with at least one death over the period 1954-2015 are shown as (thin) rectangles.

injuries in the U.S.). Particularly severe tornadoes are responsible for a higher concentration of casualties. Not surprisingly, tornadoes with stronger winds tend to cause more deaths and injuries. Table 1 compares casualty numbers against the magnitude of tornado damage, measured by the EF rating, where EF0 represents minimum damage found within the tornado path and EF5 represents total destruction. No EF0 tornadoes were responsible for more than three deaths, while 81 EF4 tornadoes were attributed to at least six deaths. Similarly, while all EF5 tornadoes caused at least six injuries, only eight EF0 tornadoes injured at least six people.

There is an upward trend in the number of deaths linked to tornado activity, and the number of killer tornadoes since the early 1990s (Fig. 2). This increase is

Table 1: Distribution of tornadoes by casualties and highest damage rating.

		Highest Damage Rating					
		EF0	EF1	EF2	EF3	EF4	EF5
Number of Deaths	1	5	69	146	154	37	3
	2	1	15	37	66	34	1
	3	1	3	11	39	29	3
	4	0	1	4	17	20	1
	5	0	1	1	11	10	1
	6+	0	1	3	28	81	26
Number of Injuries	1	80	463	438	142	12	0
	2	33	256	277	105	15	0
	3	14	114	180	74	9	0
	4	7	70	125	57	4	0
	5	2	48	93	46	11	0
	6+	8	121	401	481	245	35

occurring despite the fact that warnings for severe weather have improved [14]. Owing to greater ferocity and frequency tornado deaths peak in the spring, particularly during the months of April (1,320) and May (865). It is worth noting that two-thirds of all killer tornadoes result in only one or two deaths; the extreme outlier was the tornado in Joplin, Missouri in 2011 which was responsible for 158 deaths. Again, the detrimental effect of tornadoes on humans is highest in spring, with April and May witnessing the majority of all tornadoes that inflict one, two, or three injuries. One outlier dominates again, this time a tornado which hit Wichita Falls in Texas in 1979 and injured 1,740 people.

3. Dasymetric Calculations

Our dasymetric procedure spatially distributes the number of reported casualties from a tornado within and along the damage path. This involves estimating the probability of a casualty within areal units given the population

Figure 2: Tornado deaths. Time series of the number of deaths (A), time series of the number of killer tornadoes (B), monthly distribution of the number of deaths (C), and cumulative distribution of deaths by number of tornadoes (D). The blue line curve is a local regression and the gray band is the 95% confidence band on the curve.

density. The dasymetric procedure requires two sets of volumetric areal data.

120 The first set is the total number of casualties per tornado and the second is the spatial path of the tornado. The path is extracted from the SPC database as line data. The line represents the best estimate of the tornado track. The track is buffered into rectangle polygons in accordance to the reported storm path width; which is the average along-track width for tornadoes occurring before

125 1994, and the maximum along-track width after 1994. Each tornado will have its own path width, but on average paths will be wider for tornadoes with higher EF categories ([18, 19]). Adding this areal dimension results in a representative damage path. Not all tornadoes track along a straight line but a more realistic damage path is unavailable for the vast majority of historical tornadoes. As

130 noted in the conclusions some recent tornadoes have additional damage path information which can be exploited by the dasymetric approach.

The second set of volumetric and areal data for the dasymetric analysis is population density from the GPW,v3, and its areal representation, not in original collection units but as regular-sized raster grid cells (Fig. 3). Population

135 density values at the native 0.0146 latitude/longitude resolution are averaged to a 0.5 latitude/longitude raster grid (20 north-south cells and 44 east-west cells for a total of 880 cells). The analysis resolution, which is adjustable by the user, was chosen for demonstration here as a balance between being large enough to contain a sufficient number of tornadoes for results to be meaningful

140 across the study region, yet small enough to capture local gradients in casualties. Additional results are described for variations to this resolution. The majority of cells have density less than 64 people per km² with the average of 29.3 people per km² and a standard deviation of 63.8 people per km².

There are 30,003 tornadoes paths in the study area; they include partial

145 paths when the tornado either enters the study area or exits it. The average number of tornado paths per 0.5 cell is 41.4, with a minimum of 0 and a maximum of 149. Only two cells contain over 120 tornado paths (both in central Oklahoma), but the more typical numbers range between 35 and 45 paths (208 cells). The standard deviation of the cell count is 19.9 with a variance-to-mean

Figure 3: Population density from the Gridded Population of the World, version 3. Values are given at a resolution of 0.0416 latitude/longitude grid and are in people per square kilometer.

ratio of 9.54. The mean number of cells per casualty-producing tornado is 1.56. The tornado path area is, on average, smaller than the area of the cell but the chance that the path intersects more than one cell depends mostly on path length (since path width is an order of magnitude or more smaller than path length). With a cell size of 0.5, 38% of all casualty-producing tornadoes affect more than one cell. With cell sizes of 0.25, 0.125, and 0.0625 on a side the percentage increases to 56%, 67%, and 72.9%, respectively.

The central premise is that of increased likelihood of a casualty in a grid cell with higher population, therefore we assign to each grid cell within the tornado path a fraction of the total number of casualties for the tornado. This fraction depends on the area of the tornado path that falls within the cell and on the cell's population density. Formally, for a given cell i , let p_i be the population density and A_i be the area under the tornado path (see Fig. 4). We then assume the number of people in cell i affected by the tornado is $P_i = p_i \cdot A_i$ and the fractional number of casualties from the tornado assigned to the cell is given by,

$$c_i = c \cdot \frac{P_i}{\sum_{k=1}^M P_k}$$

where c is the total casualty count from the tornado and M is the number of grid cells along the damage path. The fractional number of casualties are then summed over each tornado-casualty event affecting the cell over the study period to get an estimated number of casualties. The method does not determine where the casualty occurred only what grid cell contained the population from which the casualty most likely occurred.

4. Maps of Tornado Casualties

The dasymetric method is repeated for each tornado path, and casualties are separated into deaths and injuries (Fig. 5 and Fig. 6, respectively). The number of deaths per cell ranges from a low of 0 to a high of 116, with a higher number of tornado deaths across the mid South, especially over the states of Mississippi and Alabama. Indeed, Alabama has the two cells with the greatest

Figure 4: Idealized tornado path and population density. The straight-line tornado track (arrow) and damage path (polygon) are shown in black. Population density is represented by a gray scale. The numbers in the upper-right corner of the grid cells indicate the number of injuries attributed to the cell using the dasymetric method from a tornado with a total of 100 injuries.

Figure 5: Estimated number of tornado deaths over the period 1955 through 2015 using the dasymetric method on a 0.5 latitude/longitude grid. Estimated paths of all tornadoes are shown as rectangles in gray.

number of deaths. Fewer deaths are noted across the High Plains region of the states of Kansas and Colorado, and also over southwestern Texas. Death counts are highest in urban grid cells including the settlements of Amarillo and Wichita Falls, Oklahoma City, Wichita, Kansas City, Joplin, and Little Rock. However, parts of western Kansas and eastern Colorado, where tornadoes (shown as gray rectangles) are quite numerous, were not attributed to causing deaths.

In terms of injuries, counts per cell range from a low of 0 to a high of 1,500. The spatial distribution is more uniform compared to deaths, but exceptions include parts of the High Plains and the southern Appalachians where there are noticeably fewer, and areas of the southern Great Plains the Southeast and the Ohio Valley where there are more. Again, urban cells tend to have the highest

Figure 6: Estimated number of tornado injuries over the period 1955 through 2015 using the dasymetric method on 0.5 latitude/longitude grid. Estimated paths of all tornadoes are shown as rectangles in gray.

180 tornado injuries. Note that what constitutes a tornado injury and how those policies might have changed increases the uncertainty on the injury map relative to the death map.

Clusters of high casualty counts are more clearly identified by computing local Moran’s I [20] where the local neighborhood is defined as eight contiguous
185 cells (queen’s case). Values higher than zero indicate cells with similar counts in neighboring cells (either high-high or low-low) and values less than zero indicate cells with dissimilar counts in neighboring cells. Values close to zero indicate randomness. The region across northern Alabama—and extending into northern and central Mississippi—is identified as having the largest tornado death
190 cluster by this method (Fig. 7). Smaller clusters associated with urban areas are similarly demarcated.

Over time there are strong dissimilarities in the location of casualties as shown by time-series plots (Fig. 8). Considerable spatial and temporal variations exist at this temporal scale. The elevated casualty rates during the second
195 decade include the 1974 Super Outbreak of tornadoes across the eastern United States, and the elevated rates during the most recent decade are driven by the extremely active 2011 season. The most prominent consistency is the few injuries across the High Plains, but this is partly explained by the relatively low population. Clusters of high injury rates also show temporal variability;
200 however there is a consistent cluster across the northern half of Alabama.

5. Discussion

Deaths and injuries occur throughout the tornado-prone region of the United States with the highest concentrations in cells encompassing cities and towns. Results from the dasymetric approach match closely with those produced by
205 Ashley [9]; where both show a concentration of tornado deaths across the mid South from central Mississippi northward and westward into northern Alabama and western Tennessee. The southwest-to-northeast corridor of relatively high death rates across Oklahoma is also noted by both. The method is limited by

Figure 7: Casualty clusters using values from local Moran's I for tornado deaths (A) and tornado injuries (B).

Figure 8: Estimated number of injuries (A) and injury clusters (B) for six non-overlapping decades starting in 1955.

the quality of the data used. For example it does not control for the lack of
210 distinction between direct and indirect deaths caused by the tornado. Also it
uses population data from 2000 to infer deaths dating back to 1955. However,
the median difference in the dasymetric-estimated deaths per grid cell (0.5°
resolution) using 1990 versus 2000 population values is 1.2% indicating that the
average effect of using a static population is on the order of less than 10% over
215 the six decades of tornado data.

6. Validation

The dasymetric procedure is validated by comparing the sum of the esti-
mated number of casualties with the total number. The comparison indicates
whether the dasymetric method is volume-preserving, known as satisfying the
220 pycnophylactic assumption. This is important as a check that the code is work-
ing correctly. To that end, calculations across the study area indicate that the
method estimated 3,516 deaths, compared to 3,544 from the SPC database,
along with an estimated 55,717 injuries compared to 56,293. The differences
are very small, 0.8% and 1.0% respectively, and are due to the fact that some
225 tornado paths cross outside the study area. The proportion of casualties within
the study area for these tornadoes is less than the number of casualties assigned
to the tornado (see Fig. 1).

The dasymetric results are validated by first comparing estimates made at
the county level and comparing them with counts available from NCDC Storm
230 Events database (<http://www.ncdc.noaa.gov/stormevents/>). The dasymet-
ric method is applied to the per-tornado casualty counts from the SPC database
using county polygons and population densities. In the SPC tornado database
there are 1,107 tornado paths that intersect Tennessee throughout the study
period. Tennessee is chosen as the first case because of recent interest in Brown
235 et al. [21]. Of these tornadoes, 91 resulted in deaths, with the average number
by county as 2.8, a minimum of 0 and maximum of 20 (Fig. 9). The Spear-
man rank correlation between actual and estimated per-county tornado deaths

is 0.87 and the correlation between actual and estimated injuries is 0.88, both indicating a strong relationship.

240 Comparisons are also done for the states of Kansas, Missouri, Kentucky, Oklahoma, and Arkansas (Table 2). Overall correlations are slightly higher for injuries than deaths. For Kansas the correlation between actual and estimated tornado deaths is 0.79, and between actual and estimated tornado injuries is 0.92. Ranking the states from highest correlation between estimated and actual
245 injuries, Arkansas is followed by Kansas, Tennessee, Missouri, Oklahoma, and Kentucky. There is no spatial pattern in these correlations and the results suggest that the dasymetric methodology of allocating casualties spatially is a good approximation. Note that the available county-level data provide a spatial disaggregation of the tornado deaths but they are not available with the
250 same consistency throughout the United States nor do they allow for finer scale assessments.

Table 2: Relationship between estimated and actual tornado casualties at the county level. r_d is the Spearman rank correlation between actual (a) and estimated (e) tornado deaths, as well as the Spearman rank correlation between actual tornado deaths and number of killer tornadoes (nT) and r_i is the correlation between actual and estimated tornado injuries, as well as the Spearman rank correlation between actual tornado deaths and number of killer tornadoes. States are ordered by decreasing correlation between estimated and actual injuries.

State	$r_d(a, e)$	$r_i(a, e)$	$r_d(a, nT)$	$r_i(a, nT)$
Arkansas	0.88	0.94	0.55	0.57
Kansas	0.79	0.92	0.20	0.12
Tennessee	0.86	0.88	0.54	0.70
Missouri	0.82	0.87	0.33	0.40
Oklahoma	0.79	0.86	0.25	0.47
Kentucky	0.73	0.83	0.34	0.57

Results from the method are further validated by using locational information on deaths occurring over the period 2001–2010. The information is transcribed from *Storm Data* and geocoded using *Google Maps*. For example, on

Figure 9: Estimated deaths (A) and actual deaths (B) from tornadoes by county in the state of Tennessee.

255 November 6, 2005 an EF3 tornado hit the greater Evansville, Indiana area. The
tornado produced 20 deaths in the Eastbrook Mobile Home Park. Using *Google*
Maps, the longitude and latitude of the mobile home park are transcribed as
87.47° W and 37.94° N, respectively.

There are 536 tornado deaths over the period across a spatial region extend-
260 ing from 71° to 106° W longitude and from 25° and 49° N latitude. Deaths
occurring in each latitude-longitude cell are summed and compared with deaths
estimated using the dasymetric method. Comparisons are made for differ-
ent raster resolutions (Fig. 10). The Spearman rank correlations between the
location-specific death counts and the dasymetric estimated death counts ex-
265 ceed 0.8 and are highest for the coarsest resolution. Among other factors, the
lower correlation at higher resolution results from errors made in assuming a
straight-line path for the tornado.

7. Limitations

The number of people under the path of a tornado is logically an impor-
270 tant factor in predicting casualties, but there are many others. The dasymet-
ric method determines where along the path the casualties are most likely to
have occurred given the underlying residential population and raster resolution.
Limitations are highlighted by applying the method to individual tornadoes and
noting the point locations where the deaths occurred (Fig. 11).

275 The first example is the infamous tornado that occurred during the 1974
Super Outbreak. In the late afternoon of April 3rd an EF5 tornado struck the
city of Xenia, OH killing 36 people and completely destroying 420 houses and
179 business and commercial buildings [10, 22]. Using the dasymetric method
the probability of at least one death in a cell under the path is determined by
280 proportionally allocating population and path area intersection. Here the cell
size is the native resolution of the population raster (0.0416°). Probabilities
are highest in the vicinity of Xenia. Cells with the three highest probabilities
contain locations where multiple deaths occurred although the cell with the

Figure 10: Spearman rank correlation between location-specific deaths and dasymetric estimates in rasters of increasing cell size. The 95% confidence interval on the statistic is shown as an error bar.

Figure 11: The probability of at least one death by raster cell in the (A) 1974 Xenia, OH and (B) 1994 Piedmont, AL tornadoes.

highest probability contains fewer deaths than the cell with the next highest
 285 probability. There is good correspondence between cell probabilities and where
 the deaths occurred but this example highlights the limitation of assuming a
 one-to-one relationship between population density and casualty count.

The second example is the tornado that occurred during the Palm Sunday
 outbreak of 1994. On the morning of March 27th a tornado tracked north-
 290 eastward across eastern Alabama killing 22 people. Again, the probability of
 at least one death in a cell is the proportional allocation using population and
 path area intersection. The probability of a death is highest for the cells near
 or containing the towns of Alexandria, Jacksonville, and Piedmont. One death
 occurred near Alexandria when a van was blown off the highway and twenty
 295 deaths occurred in a church near Piedmont. The point locations of the deaths
 in this example underscores the uncertainty associated with assuming a straight
 line path. Given the fact that the church was destroyed, its location was, by

definition, within the tornado damage path. It also highlights the limitation of using residential population density when a tornado affects a community largely at work or elsewhere (in this case, at church). The above limitations for a particular event notwithstanding, this paper shows that the dasymetric approach to aggregate casualties spatially produces results that are valid when aggregated over a reasonable number of events and over large enough areas (e.g., state counties).

8. Conclusions and Further Work

Aggregated tornado casualty counts covering a long period of time are unavailable at a local level. This paper applied a dasymetric method linking tornado-induced casualty data with population density to estimate the spatial distribution of known casualties (deaths and injuries) and to aggregate them on a map. Validation of the method using state counties indicates strong correlation between estimated and observed counts that exceed 0.73 for deaths and 0.83 for injuries. At relevant levels of spatial aggregation this method provides a better estimate of where casualties have occurred compared with counting the number of casualty-producing tornadoes.

What can be garnered from the methodology is that there is a relatively uniform spatial distribution of tornado-induced casualties across the study area covering the most tornado-prone areas of the United States. Slightly higher rates are found in the mid-south, particularly in northern Mississippi and Alabama, and also in many metropolitan areas. In addition, there is some degree of spatial variation over time, particularly clusters of high rates of injury across the northern half of Alabama. Validation of the results at the county-level indicate that casualty numbers from six states correlate strongly with the dasymetric estimates. Validation of the results at various grid resolutions indicate the best correspondence between location-specific deaths and estimated death occurs with cell sizes larger than 0.75° .

The technique is straightforward and relies on the assumption of a fixed,

straight line representation of a tornado path, which can be modified as a tapering, curved or even discontinuous path to better capture the tornado path. For instance the Joplin tornado of 2011 represented as a straight-line path between
330 genesis and dissipation would miss the part of a densely populated city that it severely impacted. Greater spatial precision on the path would be achieved by assigning more weight to the proportion of the path area with higher EF rating. In these regards, it would be interesting to apply the dasymetric method to the set of tornadoes covered by the more realistic paths in the National Weather
335 Service’s Damage Assessment Toolkit where this information is available (see e.g., Fricker et al. [23]). The feasibility of using socio-economic data other than population is future work. Variables representing affluence and ethnic groups would determine the demographic profiles of the populations affected by tornadoes, and at the same time help identify vulnerable populations, which could
340 inform mitigation policies. Finally using property values rather than population would allow an estimation of potential losses.

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