No Evidence for an Object Working Memory Capacity Benefit with Extended Viewing Time

Abstract

Visual working memory is the ability to hold visual information temporarily in mind. A key feature of working memory is its starkly limited capacity, such that only a few simple items can be remembered at once. Prior work has shown that this capacity limit cannot be circumvented by providing additional encoding time — whether providing just 200 ms or up to 1,300 ms, capacity is still limited to only 3-4 items. In contrast, Brady, Störmer, and Alvarez (2016) hypothesized that real-world objects, but not simple items used in prior research, benefit from additional encoding time and are not subject to traditional capacity limits. They supported this hypothesis with results from both behavior and the contralateral delay activity (CDA), an EEG marker of working memory storage, and concluded that familiar, complex stimuli are necessary in order to observe encoding time effects. Here, we conducted three replications of Brady et al.'s key manipulation with a larger number of participants and more trials per condition. We failed to replicate their primary behavioral result (objects benefit more than colors from additional encoding time) and failed to observe an object-specific increase in the CDA. Instead, we found that encoding time benefitted both simple color items and real-world objects, in contrast to both the findings by Brady et al. and some prior work on this topic. Overall, we observed no support for the hypothesis that real-world objects have a different capacity than colored squares. We discuss the implications of our findings for theories of visual working memory.

Significance Statement

A long-standing debate in visual working memory (VWM) has centered on the limits of working memory. VWM is thought to rely upon a fixed pool of resources, but recent work by Brady et al. (2016) suggested that capacity is higher for real-world objects compared to simple stimuli. Our study attempts to replicate this result. We found no difference in capacity between simple colors and real-world items. Surprisingly, there was a performance increase for both simple and real-world stimuli at longer encoding times, but a complementary finding was not observed in the contralateral delay activity. Based on this, we conclude there is no capacity benefit for real-world items or evidence against a fixed capacity model of working memory.

Introduction

Visual working memory (VWM) is the ability to temporarily hold information in mind and is thought to be a key cognitive workspace for interfacing between perception, the contents of long-term memory, and our immediate goals. Despite this important role, the capacity of working memory is limited such that we can only hold a few pieces of information in mind at once. Although there are ongoing debates about the nature of VWM's information limit (e.g., Adam, Vogel, Awh, 2017; van den Berg et al., 2012), there is broad agreement that this limit is constant (i.e., a fixed pool of working memory resources are available to allocate to remembered information; Adam, Vogel, Awh, 2017; Bays & Husain, 2008; Luck & Vogel, 1997; van den Berg et al., 2012; Wilken & Ma, 2004; Zhang & Luck, 2008). Recently Brady, Störmer, & Alvarez (2016) presented data that seemingly contradict this idea. Contrary to previous findings which have shown constant working memory capacity across encoding durations and stimulus types (e.g. Awh, Barton & Vogel, 2007; Luck & Vogel, 1997; Vogel, Woodman & Luck, 2006),

they found that, given sufficient encoding time, working memory capacity was substantially higher for familiar, complex items (i.e., images of real-world objects) than for artificial, simple items (i.e., the colored squares commonly used in prior research). To support their conclusion that working memory capacity is larger for real-world objects than for colored squares, Brady and colleagues relied upon two key approaches: behavioral performance and EEG signatures of working memory maintenance.

In their critical behavioral comparison, Brady and colleagues examined performance as a function of encoding time (200 ms, 1000 ms, 2000 ms) and stimulus type (objects, colors). Regardless of display time, estimated capacity (K) for colored squares was constant at approximately 3.5 items remembered. In contrast, accuracy for real-world items increased with longer encoding durations, consistent with an additional item being stored when remembering realistic stimuli at the longest encoding duration. Importantly, this behavioral result alone does not distinguish between a general *performance* benefit and a true increase in working memory *capacity* (i.e., a higher limit on the amount of information stored). In many ways, a general performance benefit for real-world objects would be unsurprising in light of extensive research which suggests that the capacity of long-term memory is effectively unlimited (e.g., Standing, 1973), benefits from longer encoding times (Shaffer & Shiffrin, 1972; Tversky & Sherman, 1975), and can aid in the chunking of information in working memory (Cowan, 2010). Since Ebbinghaus (1885), we have known that we will observe a memory benefit for meaningful information — because of existing long-term memory representations, we can chunk relevant information and remember more (Miller, 1956). But, this performance benefit would not be diagnostic of an

increase in working memory capacity because of the critical confounding factor of available long-term memories.

One way to distinguish a general performance increase from a working memory capacity increase is to examine neural signatures of working memory maintenance during the memory delay itself. Accordingly, Brady and colleagues followed up their behavioral results with an experiment using the contralateral delay activity (CDA), an event-related potential which indexes the number of items held in working memory and is highly sensitive to capacity limitations (Vogel & Machizawa, 2004). CDA amplitude increases (becomes more negative) as a function of set size and reaches a plateau at 3 items. Brady and colleagues found that real-world items led to higher CDA amplitude for objects versus colors at set size 5 but not set size 3, suggesting that the object-related performance increase was due to storing more information in working memory.

The results and conclusions of the Brady, Stormer & Alvarez study present a significant challenge to nearly all extant models of visual working memory which posit that the available pool of working memory resources is of fixed capacity. We therefore sought to perform a near-direct replication of the two key findings of their study.

Overview of Experiments

In our first behavioral experiment (Experiment 1a), we attempted a direct replication of the performance benefit for real-world items described by Brady, Störmer, & Alvarez. Subjects completed a visual working memory task with a 2AFC response as in the original paper. Surprisingly, we found increased performance with extended encoding times regardless of

stimulus type, suggesting that the viewing time benefit is not exclusive to real-world items. Experiments 1b and 1c further corroborated this finding by showing the same effect with a more difficult verbal suppression task and with interleaved trials, respectively. Finally, Experiment 2 attempted to reproduce the critical CDA finding showing higher amplitude in response to real-world items at set size 5, but not set size 3. We again failed to replicate the results described in the original paper, instead finding no evidence of greater amplitudes for real-world items at higher set sizes. Based on our results, we found no evidence to support the primary conclusions drawn by Brady, Störmer, & Alvarez (2016). The data, experiment code, and analysis code for all experiments are available at [link available upon publication].

Experiment 1

Experiment 1a

In Experiment 1 from Brady, Störmer, & Alvarez (2016), the authors asked participants to remember a set of 6 colors or real-world objects across multiple display timings in order to compare changes in performance across encoding times for both stimuli types. After a short delay, the participants' memory was tested with a single two-alternative forced-choice (2AFC) response at one of the memory locations. Foil colors were always 180° from the target color on color space, whereas foil objects were either from a different category ("objects" condition) or a different object from the same category ("objects with detail" condition). Encoding times varied across three levels: 200ms, 1000ms, and 2000ms. The authors then calculated estimated working memory capacity (K) for the given stimuli and display time (Cowan, 2001). Participants simultaneously completed a verbal memory task in order to reduce the influence of verbal

rehearsal as a memory strategy. Results from this experiment showed that K did not increase for colors at longer encoding times whereas in the “objects” condition, performance improved at longer encoding times. A similar pattern was observed for the “objects with detail” condition, though the increase was smaller.

We attempted a direct replication of the critical colors and objects conditions with all of the original display timings. In order to replicate the original experiment, we would expect to see VWM fill within 200 ms for colors, resulting in no differences across encoding times. For objects, we would expect to see an increase across the encoding times resulting in higher K at 2000 ms encoding times for objects versus colors.

Participants. Twelve subjects participated in experiment 1 of the original report. In order to ensure we had sufficient power to detect the effects of interest, we set an a priori sample size of 25. Volunteers (16 female, 8 male, 1 other/chose not to respond) aged 18-31 with self-reported normal or corrected-to-normal visual acuity and normal color vision were recruited from [Author University] and the surrounding area to complete the study for monetary compensation (\$10/hour). Participants provided their informed consent according to procedures approved by the Institutional Review Board at [Author University].

Stimuli. Stimuli were generated in accordance with the methods reported in the original paper. Colors were selected from CIE $L^*a^*b^*$ color space by creating a circle with a radius of 59° centered at $L = 54$, $a = 18$, and $b = -8$. Sample colors were randomly chosen from 360 possible values with a minimum separation of 15° and foil colors were made to be exactly 180° away from the target value. Three thousand pictures of real-world items were used as object stimuli (bradylab.ucsd.edu/stimuli.html). Sample objects were randomly chosen on each trial given the

requirement they all came from separate categories of items. The foil object was then randomly selected from any of the categories not included in the sample. Stimuli were displayed on an invisible ring in fixed, equidistant positions such that 3 stimuli were displayed in each hemifield. Stimuli were generated and displayed on a white background using Matlab and the Psychophysics Toolbox (Kleiner, Brainard, & Pelli, 2007).

Procedure. Our procedure was crafted to be as close to the task reported by Brady and colleagues as possible. All displays had a fixation cross in the center of the screen and participants were instructed to avoid moving their eyes until responding. A secondary articulatory suppression task was used to prevent participants from using a verbal encoding strategy. Participants were shown 2 digits and asked to silently repeat them in mind while completing the working memory task. After being presented with the digits, grey placeholders showing the positions of upcoming stimuli appeared for 1000 milliseconds. The sample display containing either 6 colors or 6 objects was then displayed for the appropriate encoding time depending the condition block. The possible encoding times were 200, 1000, or 2000 milliseconds. After the sample display, the placeholders reappeared for an 800 ms delay. A larger circle serving as a cue then appeared at the test location for 500 milliseconds. After the cue, two choices were presented, one above and one below the position of the original stimulus in the test location. Participants made their response indicating which item appeared in the original array at that location with the up and down arrow keys. Finally, participants were asked to enter the numbers from the verbal suppression task using the number keys. No time limit was placed on either response (Figure 1).

As in Brady et al (2016), trials were fully blocked by condition such that participants had full knowledge of the stimuli type and encoding time duration for each block of trials. All blocks were randomly ordered within the experiment for each participant. Fifty trials were displayed for each of the 6 condition combinations (2 item types x 3 encoding times) giving a total of 300 trials.

Differences from Brady, Störmer, and Alvarez (2016). We chose to not include the “objects with detail” condition as we felt it was not central to the primary conclusions of the experiment. As discussed by the original authors, the objects and colors conditions are more comparable as they both focused on estimating the number of items remembered with any level of detail. This condition is also dropped in Experiment 3 in the original paper. This decision allowed us to collect more trials per condition (50 vs 33 in the original experiment). To ensure we could detect the effects from the original paper, we increased the number of participants from 12 to 25.

Some details were not reported in the original paper, so reasonable values had to be selected. All stimuli were 2.1° of visual angle in size and presented in a ring 4.2° from fixation. A description of the monitor was not provided in the original text, so we cannot be confident similar equipment was used. The experiments in this paper all used 24” LCD screens with a refresh rate of 120 Hz and a resolution of 1080 x 1920. Participants were seated approximately 75 cm from the screen.

Analysis. Our goal was not only to replicate the effect from the original paper, but to replicate the large effect sizes observed. As a result, we felt that a frequentist framework was not ideal as p-values are uninformative for nonsignificant results and confidence intervals cannot

communicate the probability of specific effect sizes. Instead, we report Bayesian equal-tailed credible intervals to communicate our estimates and uncertainty as well as describe our level of confidence as to whether a given effect exists (Kruschke & Liddell, 2018).

Estimated capacity was calculated using the formula described in the original paper, $K = N(2p - 1)$, where K is estimated capacity, N is the number of items to be remembered, and p is the percent of trials answered correctly for that condition. This formula is derived from $p = 1.0 * (K/N) + 0.5 * (1 - K/N)$ which assumes items are either perfectly held in memory or are a complete guess¹.

A hierarchical linear model was fit using estimated capacity as a normally distributed response variable and encoding time and item type as interacting population level predictors. The effects of encoding time and item type were also included as group level effects and were allowed to vary over individual participants with nonzero correlation. Encoding time is treated as a categorical variable rather than as a numerical variable given the low number of factor levels and the expected nonlinearity of the time effect.

Based on our experience and the effect sizes reported in the literature, we believe that it is unlikely to observe a scaled effect greater than 2 standard deviations. To formalize this belief, we used $\text{Normal}(\mu=0, \sigma=1)$ as a weakly informative, regularizing prior for all population level parameters. All group level parameters used a $\text{HalfNormal}(\sigma=1)$ prior with the exception of the intercept, which instead used $\text{HalfNormal}(\sigma=3)$ to account for the known high amount of capacity variability across individuals. For correlations among group level parameters, the

¹ Note that the original paper contains a misprint in this formula, but the final formula used in the analyses is still correct.

default of LKJ($\eta=1$) was used as a prior for the correlation matrix. As we had no predictions for these correlations, this weakly informative prior was appropriate. Finally, the sigma parameter used to model left-over variability was also given a HalfNormal($\sigma=1$) prior. To examine the impact of the chosen priors on our analyses, models were also fit with the less informative priors $T(\mu=0, \sigma=3, v=10)$ and $\text{Half}T(\mu=0, \sigma=3, v=10)$. Posterior estimates were not noticeably different from the original analysis. One alternative possibility was to use informative priors that represented the results reported in Brady et al. (2016) in an attempt to combine the evidence from both studies. As our goal was to attempt a replication of the effects reported in the original paper as opposed to forming the best possible parameter estimates, we chose to exclude their work from informing our priors.

Parameter distributions were estimated using the No U-Turn Sampler (NUTS) implemented in Stan (Carpenter et al., 2017) and the brms package in R (Bürkner, 2017). Four Markov Chain Monte Carlo (MCMC) chains each drew 1,000 warmup samples and 10,000 post warmup samples from the posterior distribution for a total of 40,000 post warmup samples. MCMC performance was assessed by confirming a value of $\hat{R}$ close or equal to 1.00 and by visual inspection of trace plots. Population level parameters each had at least 10,000 bulk and tail effective samples.

Results. We replicated the main encoding time effect for objects observed in Experiment 1 from Brady, Störmer, & Alvarez (2016; Figure 2a). At 1000 ms, our model predicts an increase of 0.78 K objects (95% CI [0.37 1.18]) compared to 200 ms. We also observed some evidence for an additional increase when encoding time was extended to 2000 ms, though it was not as conclusive as the increase from 200 ms to 1000 ms (0.13 K; 95% CI [-0.27 0.55]). For this effect,

only 74% of MCMC samples showed a positive difference, compared to 99% for the increase from 200 ms to 1000 ms. Contrary to the original paper, our results suggest a similar effect of increased performance exists for colors. In fact, the time effect appears to be even greater for colors than objects, at an estimate of 1.04 K (95% CI [0.63 1.44]). Again, we observe a smaller performance increase as encoding is extended to 2000 ms (0.14 K; 95% CI [-0.27 0.55]). For colors, all 40,000 MCMC samples showed a performance increase from 200 ms to 1000 ms, but only 75% of samples found a positive effect for the increase to 2000 ms.

Comparing colors and objects at 2000 ms encoding time resulted in no evidence for an object benefit. We observed a slight benefit for colors at the longest encoding times (-0.36 K; 95% CI [-0.78 0.07]), though this result isn't fully conclusive with only 94.8% of MCMC samples showing higher color performance. This trend was also present at 1000 ms encoding time, with an observed -0.35 K (95% CI [-0.77 0.08]) performance difference, though no meaningful effect was found at 200 ms (-0.09 K; 95% CI [-0.50 0.34]). This result contrasts sharply with the Brady et al (2016) results, as it suggests that color performance improved with extra encoding time. Overall accuracy for the 2-digit verbal suppression was 95.8% ($\sigma=3.9$), meaning participants did not abandon the rehearsal task.

Experiment 1b

We were surprised to see that performance for both colors and objects increased with longer encoding times in Experiment 1a. This result seemed to contradict previous working memory experiments using simple stimuli (Alvarez & Cavanagh, 2008; Bays & Husain, 2008; Tsubomi, Fukuda, Watanabe, & Vogel, 2013; Vogel et al., 2006), so we attempted to rule out

any potential differences that could explain this finding. One possible explanation was that the unique blocked design and color testing procedure (i.e., color foil was always 180 degrees away from original) allowed participants to rely on verbal rehearsal strategies. While participants were able to successfully complete the verbal suppression task used in the original study, it was possible that 2 digits being silently rehearsed was not difficult enough to fully prevent verbal rehearsal strategies (T. Brady, personal communication, Sept. 21, 2016). Experiment 1b was conducted to replicate our own result and to test if the same pattern would be observed with a larger verbal load.

Participants. An additional 25 participants were recruited to participate in Experiment 1b. One participant was excluded from the analysis for having a block with below chance performance leading to a final sample of 24 (15 female, 9 male).

Stimuli. Stimuli were generated and displayed as described for Experiment 1a.

Procedure. In Experiment 1b, participants were again asked to remember colors or objects, but with an additional manipulation of verbal load. Half of the blocks retained the 2-digit silent rehearsal, while the other half involved participants vocally rehearsing 4 random digits.

Microphones were used to record the participants' speech and were manually checked to ensure the participants were complying with the verbal load instructions. Because the primary effects of interest were the 200 ms and 2000 ms encoding times, we chose to drop the 1000 ms condition. Participants completed 8 total blocks for a total of 400 trials.

Analysis. As in Experiment 1a, estimated capacity was calculated for each condition and was used as a response variable for a hierarchical linear model. This model was identical to the first experiment except for the addition of verbal load as an additional interacting predictor. The

effect of verbal load was also able to vary over participants. Normal($\mu=0$, $\sigma=1$) was used as a prior for the population level verbal load effect and HalfNormal($\sigma=1$) was used as a prior for the group level effect in line with the logic used in the analysis for Experiment 1a. MCMC sampling quality was assessed as described above.

Results. The results observed in Experiment 1b generally replicated those observed in Experiment 1a — we found an overall effect of encoding time, but no difference between objects and colors (Figure 2b). The mean accuracy on the suppression task was 93.7% ($\sigma=9.6$) for the silent condition and 95.6% ($\sigma=5.9$) for the verbal condition, suggesting participants were successful at completing both tasks. We estimate the silent 2-digit load condition likely resulted in negligibly higher performance compared to the verbal 4-digit blocks (0.08 K; 95% CI [-0.16 0.34]). We then collapsed over verbal load in order to examine the encoding time effects in aggregate. The results again showed evidence for increased performance with additional encoding time for colors (0.85 K; 95% CI [0.52 1.18]) and for objects (1.39 K; 95% CI [1.05 1.72]). Finally, we attempted to replicate the difference between colors and objects at 2000 ms for each verbal load level. For the silent condition, we expected to replicate our result from Experiment 1a showing a small difference in favor of colors. Instead, the results do not indicate a clear difference in either direction with an estimated difference of 0.004 (95% CI [-0.48 0.50]). A similar result was found with the higher verbal load with an observed difference of 0.13 (95% CI [-0.36 0.63]). In both cases, we again failed to replicate the main finding in the original paper showing clearly greater performance for objects compared to colors.

Experiment 1c

The results of Experiment 1b indicated that a larger concurrent verbal load did not mitigate the increased performance for extended viewing times for both colors and objects. However, it is still possible that the improved performance in the long encoding conditions is in part due to the blocked condition design of the original experiment. Specifically, by clustering each factor combination (e.g. object, 2000ms) into a single block of trials, participants had advanced knowledge of the specific condition they would be tested on, which could potentially facilitate idiosyncratic perceptual and mnemonic strategies especially given additional encoding time. We therefore examined the effect of intermixing trials to see if blocked trials were necessary to observe the encoding time benefit.

Participants. Twenty-five additional participants were recruited to participate in Experiment 1c. Three were excluded from the analysis due to blocks with performance below chance, leading to a final sample of 22 (14 female, 8 male). Due to the limited size of our participant pool, participants from Experiments 1a and 1b were allowed to participate in Experiment 1c. This resulted in 10 participants in Experiment 1c who had participated in Experiment 1a or 1b.

Stimuli. Stimuli were generated and displayed as described for Experiment 1a.

Procedure. The procedure largely followed that of Experiment 1a. For Experiment 1c, trials were not presented in blocks and were instead randomly intermixed. We continued to use only the 200 ms and 2000 ms encoding time conditions as they were sufficient for exploring the effects of interest. By excluding the 1000 ms level, we were able to present 100 trials per condition allowing us to get highly reliable values for estimated capacity. As verbal load seemed to have no effect, we continued to use the 2-digit silent load as used in the original paper.

Analysis. The model for Experiment 1c was identical to Experiment 1a except for the lack of the 1000 ms encoding time level.

Results. Here we again attempted to replicate the encoding time benefit for both colors and objects with intermixed rather than blocked trials — again we observed higher performance at a display time of 2000 ms for both colors and objects with no difference between them (Figure 2c). Performance on the verbal suppression task was again well above chance at 91.6% ($\sigma=7.4$). Despite the intermixed trials, we found a large performance difference between the 2000 ms and 200 ms encoding time conditions for both colors (0.82 K; 95% CI [0.43 1.21]) and objects (1.12 K; 95% CI [0.72 1.51]). We also tested for a difference between objects and colors at the 2000ms encoding time and for the third time failed to replicate the primary result from Brady, Störmer, & Alvarez (2016). Instead, we replicated the results from Experiment 1b which estimated a negligible difference between the two conditions (0.03 K; 95% CI [-0.37 0.44]).

Combined Experiment 1 Results

To generate the best possible estimate of the effect of encoding time and item type based on our data, a final model was created using data across Experiments 1a, 1b, and 1c (Figure 1d). The 1000 ms encoding time condition from Experiment 1a and 4-digit verbal load condition from Experiment 1b were dropped in order to restrict the model to conditions that appeared in all of the experiments. The priors used were consistent with Experiment 1a. At the 2000 ms encoding time, we predict an increase in behavioral performance of 0.94 K (95% CI [0.68 1.21]) for colors and 1.17 K (95% CI [0.90 1.43]) for objects. While we did observe better performance for colors at the 200 ms condition (-0.33K; 95% CI [-0.59 -0.06]), the effect was not robust at an encoding time of 2000 ms (-0.11 K; 95% CI [-0.37 0.16]).

We also compared the main effect of performance in the 200 and 2000 ms encoding time conditions across Experiments 1a and 1c in order to determine if there is a meaningful effect due to blocking trials. Of 40,000 MCMC samples, 93.8% showed a difference between experiments of approximately 0.43 K (95% CI [-0.11 0.98]). While this result is not conclusive (especially considering it was conducted between subjects with some overlap in participants unaccounted for by the model) it suggests that blocking conditions may allow for participants to increase their performance.

Discussion

Across 3 experiments, we were unable to replicate the primary behavioral result from Brady, Störmer, & Alvarez (2016). Experiment 1a instead showed that memory performance for both colors and objects increased with additional encoding time. As this result conflicted with previous literature finding working memory plateaus after a few hundred milliseconds (e.g., Alvarez & Cavanagh, 2008; Vogel et al., 2006), we chose to explore alternative explanations for the performance boost. Experiment 1b replicated the results observed in Experiment 1a with an increase in verbal load, arguing against a verbal strategy hypothesis. Experiment 1c further explored the possibility of other nonverbal strategies by disrupting the participants' knowledge of upcoming trials and, once again, we replicated the pattern seen in Experiment 1a. Importantly, no experiments showed evidence that performance for objects was superior to colors at long encoding times. This was a critical component of the argument in Brady, Störmer, & Alvarez (2016) supporting the conclusion that visual working memory capacity is not fixed for real-world objects rich in detail that can be extracted with additional encoding time.

Based on these results, we see no evidence supporting the strong and specific conclusions in the original paper. Still, we were interested in further examination of the surprising finding that color performance increased with more encoding time. We therefore decided to replicate the final experiment from Brady, Störmer, & Alvarez (2016) which examined differences in CDA amplitude across stimulus types and set sizes.

Experiment 2

In Experiment 3 of the original paper, Brady and colleagues attempt to provide conclusive evidence that the performance benefit they observed for objects versus colors was driven by an increase in working memory capacity. To do this, the authors looked at changes in CDA amplitude, an electrophysiological component known to track the number of items being held in working memory. The CDA is a sustained difference wave (contralateral minus ipsilateral electrodes) observed during the retention period when participants are cued to remember information in either the left or right visual field. CDA amplitude tracks the number of items held in memory up to maximum capacity before reaching an asymptote (i.e., maximum negativity) and strongly correlates with behavior (Luria et al, 2016; Unsworth, Fukuda, Awh, & Vogel, 2015). Brady, Störmer & Alvarez suggest that, if working memory capacity is different for colors and objects, it should be reflected in the point at which the CDA asymptotes. Consistent with a capacity difference for objects versus colors, they found that CDA amplitude was comparable for colors and objects at set size 3, but exclusively increased for real-world objects at set size 5. This interaction between set size and stimulus type on CDA amplitude is the critical finding that supports the original authors' conclusions as a simple main effect of CDA amplitude

could be explained by a difference in stimulus complexity (Luria, Sessa, Gotler, Jolicoeur, & Dell'Acqua, 2009) without supporting a claim regarding an increased capacity per se.

While our procedure closely followed the original design of Brady et al. (2016), we increased the sample size (27 vs. 18) and trial counts (240 vs. 110 per condition) to improve our expected power to detect any effects and we monitored fixation with eye trackers rather than electrooculography. In addition to the key conditions in the original study, we added a set size 1 condition which would provide a positive control (set size 3 > 1) in the case that we found a null effect for our key comparison of interest (set size 3 versus set size 5). Based on Experiment 1, we expected that we would also fail to directly replicate the EEG result reported in Brady, Störmer, & Alvarez (2016) (capacity increase for objects but not colors). When we considered our own behavioral effects in light of the Brady et al. (2016) CDA result and the broader literature, we thought two outcomes were most plausible. First, because our results showed a behavioral performance increase for both colors and objects with longer encoding times, we may find that the capacity increase observed by Brady et al. (2016) may actually generalize to all stimuli. If a longer encoding time increases capacity (regardless of stimulus type), then we would predict that the CDA amplitude for set size 5 should be higher for set size 3 for both objects and colors. Alternatively, the performance benefit that we consistently observed with the 2000 ms encoding time could be due to another confounding task factor (e.g., encoding strategy rather than working memory storage). If so, the observed performance increase is not caused by a true VWM capacity increase and we would expect to observe a typical CDA waveform with no difference between set sizes 3 and 5.

Participants

34 participants were recruited to complete Experiment 2 for monetary compensation (\$15/hour). The original paper displayed 110 trials per condition, so we required this number of non-artifact trials in all conditions for all participants. We therefore removed 6 participants for having too few remaining trials in one or more of the conditions. One additional participant was excluded for having behavioral performance below chance, leading to a final sample of 27 (14 female, 11 male, 2 other/chose not to respond).

Stimuli

Color and object stimuli were generated in the same way as Experiment 1. In order to account for the lateralized nature of the CDA, 5 fixed positions were used in each visual hemifield. For set sizes 1 and 3 the same subsets of positions were selected for each trial with nothing displayed in the other locations. The stimuli shown in the more distant positions for set size 5 were approximately M-scaled as described in the original paper (Rovamo, Virsu, & Näätänen, 1978)².

Procedure

Behavior. As in the other experiments, participants were asked to remember either colors or objects and report which of two choices was the target item. However, as calculating CDA amplitude requires lateralized memory, an arrow displayed at the start of the trial served as a cue directing participants to remember the items in either the left or right visual hemifield. Distractor items were shown in the opposite visual field but were never tested. This arrow remained on screen in place of the fixation cross for the remainder of the trial. Unlike the previous experiments, encoding time was fixed at 1000 ms, a duration shown to result in high

² These positions were inferred from Figure 3 in the original paper.

behavioral performance. Three different set sizes were tested (1, 3, and 5) and a 700 ms memory delay was used, as this matched the analysis window described in the original paper. No pretrial placeholders or verbal encoding task were used for this experiment. All conditions (2 item types x 3 set sizes) were shown across 3 blocks of 80 trials for a total of 240 trials per condition (1440 total trials). Blocks were randomly ordered for each participant.

Eye Tracking. Gaze position was recorded using SR Research Eyelink 1000+ eye trackers at a sampling rate of 1000 Hz. Participants were required to maintain fixation on the cue arrow from the beginning of the memory display until the test was presented. Calibration was repeated before every block to ensure tracking accuracy. While completing the task, participants were instructed to keep their head on a chin rest and avoid moving until their next break.

EEG Recording and Preprocessing. EEG recording was conducted using 32 active Ag/AgCl BrainVision electrodes arranged in a 10/20 system, with 2 electrodes affixed with stickers to the left and right mastoids. Electrode impedances were lowered to under 10 k Ω during experiment setup and data was sampled at 500Hz. Horizontal and vertical electrooculograms (HEOG/VEOG) were recorded with pairs of passive electrodes affixed with stickers lateral to the left and right eyes and above and below the right eye, respectively. Scalp recordings were referenced online to the right mastoid and were rereferenced offline to the average of both mastoids. The EEG signal was bandpass filtered online from .01 to 80 Hz, then further filtered from 0.1 to 30 Hz offline. Individual trials were epoched and baselined to the 200 ms before display (Figure 3a).

After preprocessing, an automatic artifact rejection pipeline was applied to individual trials to detect extreme noise, electrode drift, and eye movements based on gaze position. Trials were then manually examined to ensure only true artifacts were rejected. After removing

participants below the minimum of 110 trials in each condition, participants had a mean of 200 trials per condition ($\sigma=30$). Individual trials were averaged for each participant and a contralateral minus ipsilateral difference wave was created for each condition. The CDA was measured from 1,300 to 1,700 ms using the average of the P03, P04, PO7, and PO8 electrodes. All EEG analyses were done using the EEGLAB and ERPLAB plugins for MATLAB (Delorme & Makeig, 2004; Lopez-Calderon & Luck, 2014). We additionally chose to examine the display window (400 to 1000ms), as previous research has shown that the CDA can be observed while items are still in view when using long encoding times (Tsubomi et al., 2013).

Differences from Brady, Störmer, and Alvarez (2016). In the original paper, Brady and colleagues describe a matching procedure in which the to-be-remembered stimuli from one trial were used as distractors for another trial. We felt this had no benefit as, after artifact rejection, not all trials would end up matched. We therefore chose not to implement this technique and instead randomly sampled from all possible stimuli for each position individually. We also chose to use a slightly broader bandpass filter on the EEG (25 Hz vs 30 Hz) to avoid accidentally filtering out any signal of interest (Luck, 2014). Finally, we used gaze position with an eye tracker to determine which trials had artifacts due to eye movements instead of relying on electrooculography as this allowed us to more precisely determine whether subjects fixated the central cross.

Analysis

Behavior. As in Experiment 1, accuracy was used to estimate VWM capacity for each condition using the formula from the original paper. Estimated capacity (K) was then used as the response variable in a hierarchical linear model with set size and item type as interacting

predictors that varied over participants. The population level intercept, item type, and interaction parameters were all given a prior of $\text{Normal}(\mu=0, \sigma=1)$ consistent with the logic used for Experiment 1a. Because the literature has consistently shown large results related to number of items, set size effects were given the broader prior $\text{Normal}(\mu=0, \sigma=3)$ at the population and $\text{HalfNormal}(\sigma=3)$ at the group level. Consistent with the previous analyses, group level parameters were given a $\text{HalfNormal}(\sigma=1)$ prior with the exception of intercepts, which were given a $\text{HalfNormal}(\mu=0, \sigma=3)$ prior. $\text{LKJ}(\eta=1)$ was used as a prior for the correlation matrix of group level parameters. MCMC convergence was assessed using the techniques described for Experiment 1a.

EEG. The model used to analyze the EEG data is identical to the behavior model except the EEG model predicts CDA amplitude instead of estimated capacity. As in the behavior analysis, we expected relatively small effects for all effects other than set size, which is known to result in large CDA amplitude effects. For this reason, the same priors were used in the behavior and EEG models.

Results

Behavior. The behavioral results are consistent with the results observed in Experiment 1 (Figure 3b). For colors, the number of remembered items increases from set size 1 to 3 (1.53 K; 95% CI [1.37 1.69]) and from set size 3 to 5 (0.63 K; 95% CI [0.40 0.84]). Objects resulted in a similar increase from 1 to 3 (1.43 K; 95% CI [1.27 1.58]) but showed a smaller increase between 3 and 5 (0.33 K; 95% CI [0.11 0.55]). At set size 5, there does seem to be a modest difference between objects and colors (-0.40 K; 95% CI [-0.54 -0.26]) consistent with the finding in

Experiment 1a that more colors are remembered than objects. As expected, we again failed to find behavioral evidence of an object benefit.

EEG Display Window. For the display window, we first looked for a typical set size effect (Figure 3c). For colors, CDA amplitude was larger for set size 3 than for set size 1 ($-0.41 \mu\text{V}$; 95% CI $[-0.68 -0.14]$), but no meaningful difference between set sizes 3 and 5 were observed ($-0.07 \mu\text{V}$; 95% CI $[-0.34 0.20]$). This is consistent with multiple previous studies which have found that CDA amplitude reaches an asymptote at working memory capacity (for a review see Luria, et al. 2016). For objects, the results do not show a typical set size effect. Unusually, CDA amplitude is larger for set size 1 than 3 ($0.54 \mu\text{V}$; 95% CI $[0.26 0.81]$), with no clear difference between 3 and 5 ($-0.13 \mu\text{V}$; 95% CI $[-0.40 0.14]$). One potential explanation is that CDA amplitude becomes maxed out with a single real-world item leading to overloading often seen when large numbers of items are displayed (Vogel & Machizawa, 2004). To test this, we looked for a difference between colors at set sizes 3 and 5 and objects at set size 1. We found no evidence that the amplitude for colors differed from objects at those set sizes. If anything, it is more likely that objects resulted in a slightly higher amplitude ($0.18 \mu\text{V}$; 95% CI $[-0.11 0.47]$).

EEG Delay Period. We then analyzed the time window during the delay period used in the original paper (Figure 3d). The differences between set sizes at this later window are less clear, though there is some evidence for a difference between set sizes 1 and 3 for colors ($-0.25 \mu\text{V}$; 95% CI $[-0.57 0.07]$). In total, 93% of MCMC samples showed higher amplitudes for set size 3. The difference between set size 3 and 5 however showed no effect ($-0.06 \mu\text{V}$; 95% CI $[-0.37 0.24]$). For objects, no apparent set size effect was found both between set size 1 and 3 ($-0.10 \mu\text{V}$; 95% CI $[-0.42 0.23]$) or between 3 and 5 ($-0.11 \mu\text{V}$; 95% CI $[-0.42 0.20]$). We did find a

modest effect of item type, showing overall higher CDA amplitudes for objects ($-0.19 \mu\text{V}$; 95% CI $[-0.37 \text{ } 0.00]$). Critically, this main effect does not provide support for the original results as there was no reliable evidence of an interaction. The population level parameter describing the interaction between item type and set size 3 had a value of $0.21 \mu\text{V}_{\text{scaled}}$ (95% CI $[-0.33 \text{ } 0.74]$) and the coefficient for the interaction between item type and set size 5 had a value of $0.14 \mu\text{V}_{\text{scaled}}$ (95% CI $[-0.40 \text{ } 0.66]$).

Discussion

In Experiment 2, we attempted to examine the observed encoding time benefit using the CDA. Once again, we were unable to replicate the primary behavioral finding from Brady, Störmer, & Alvarez (2016). In fact, we found the strongest evidence that colors led to higher behavioral performance. This may be due to the fact that we had the highest number of trials and participants in Experiment 2, giving us the best chance to observe an effect. Regardless, we found no evidence of any benefit for real-world objects.

Behavioral performance was much lower than expected based on the results from the first experiment. For colors, we observed a mean K of 3.14 at set size 5 compared to a K of 4.44 for colors at the same encoding duration in Experiment 1a. A similar observation was made in the original paper, which was explained as the result of increased task demands and forced fixation in the EEG experiment. We agree that these are plausible explanations, though it is possible that the significant reduction in effect size limits the generalizability of the CDA results to the results from Experiment 1a. Regardless, because we did find a performance increase

from set size 3 to set size 5, it is still worthwhile to examine whether this increase is reflected in CDA amplitude.

If the behavior difference for colors and objects at longer encoding times was due to an unusually large VWM capacity, we would expect to observe higher CDA amplitudes for set size 5 consistent with the explanation of Brady, Störmer, & Alvarez (2016). Based on the replication of the analyses from the original paper, we found no compelling evidence that the CDA had a higher asymptote for objects than for colors. Likewise, we found no support that the behavioral performance increase was driven by a true increase in VWM capacity. Rather, it appears that some other confounding task factor, rather than VWM storage, led to a performance benefit with longer encoding times. We also examined CDA amplitude while the sample display was visible, and, once again, failed to find any support for the finding that CDA amplitude had a higher maximum for real-world objects.

General Discussion

The basis of visual working memory has long been debated, though most of the research on the topic has evolved around the idea that individuals have a single maximum capacity (Adam, Vogel, Awh, 2017; Bays & Husain, 2008; Luck & Vogel, 1997; van den Berg et al., 2012; Wilken & Ma, 2004; Zhang & Luck, 2008). The results from Brady, Störmer, & Alvarez (2016) represent a large shift from the previous literature on this topic and so replication is critically important. Our goal was to focus on the results that led to the conclusion that working memory is not fixed capacity in order to fully explore the validity of a variable capacity model. To this end, we attempted a near-direct replication of the behavioral result reported in the

original paper. Despite having a larger number of trials and participants, we found no evidence that objects resulted in better performance compared to colors and in fact found moderate support to the contrary. This result was surprising in its own right, as we observed better performance with increasing encoding time for both colors and objects. This pattern was unusual considering previous results suggesting that VWM for simple objects fills within hundreds of milliseconds (Alvarez & Cavanagh, 2008; Bays & Husain, 2008; Tsubomi et al., 2013; Vogel et al., 2006). Fearing that participant strategies were impacting performance, we increased the verbal load (Experiment 1b) and intermixed trials (Experiment 1c). In all cases, we failed to replicate the original object benefit. Furthermore, we demonstrated that encoding time dependent performance increase was robust to the disruption of some potential strategies the participants could have been using to artificially boost their performance.

We do not have any clear explanation for why we were unable to observe the primary effect seen in Brady, Störmer, & Alvarez (2016). Our behavioral results combined over 25,000 trials recorded over 71 sessions, so we believe we had enough data to detect the effects observed by the original paper. Although no replication can be perfectly identical by virtue of being conducted at different institutions by different researchers, we took care to carefully replicate the stimuli and key procedures. The few changes we did make were so minor (e.g., leaving out one blocked-condition not relevant to our hypotheses) that if these changes alone fundamentally altered the key result it would severely undermine the importance of the published findings for broad theories of working memory.

While Experiment 1 was a conclusive failure to replicate, it raised an unforeseen research question. Why did colors show higher performance at longer encoding times when

many previous results suggested they should not? Was increased performance for extended viewing times the result of a non VWM-task factor such as improved LTM encoding (Shaffer & Shiffrin, 1972; Tversky & Sherman, 1975) or was it due to an increased VWM capacity ceiling at longer encoding times? To test this, we replicated the final ERP experiment reported in Brady, Störmer, & Alvarez (2016). In this experiment, CDA amplitude was used as a neural measure of the number of items held in VWM during the retention period. If VWM capacity was truly increased with longer encoding times, we would expect CDA amplitude to increase from set size 3 to set size 5. However, when examining CDA amplitude, we found no support for this key effect. During the time window used in the original paper (1300– 1700 ms after stimulus onset), we found no increase from set size 3 to set size 5 for either colors or objects. Thus, we found no support for increased VWM capacity with either stimulus type.

Visual inspection of the waveforms suggests that the CDA during the encoding period was more robust than during the delay (see Tsubomi, et al. 2013). We therefore also examined CDA amplitude from 400 ms to 1000 ms after stimulus onset while items were still on display. During this time period, we found a clear set size effect for color, but no increased asymptote from 3 to 5 items. For objects, we found an unusual reverse set size effect, such that set size 1 resulted in the largest CDA amplitude. As the original paper did not include the set size 1 condition, it is difficult to compare this result with theirs. However, inspection of the Brady, Störmer, & Alvarez (2016) waveforms shows that CDA amplitude during display was much lower for 3 objects compared to 3 colors. For set size 5 however, there seems to be no difference between colors and objects. It is not clear why objects would show a reverse set size effect as, behaviorally, we observed higher capacity estimates with larger set sizes. One

potential explanation is that participants were less reliant on VWM when asked to remember more than 1 object and instead used some other strategy (e.g., LTM; verbalization). As there was no verbal suppression in the CDA experiment, participants could have instead employed some other encoding strategy, potentially only engaging VWM after the offset of the memory array. We are not sure why this pattern would be present for objects but not colors, though it is possible that colors (chosen from a fine-grained, continuous range) were simply not amenable to non-visual strategies. While we cannot speak to whether the basis of the performance benefit was from long-term memory or some other task factor not indexed by the CDA, our results are consistent with the traditional view that colors and objects are held in working memory using the same underlying cognitive system and resource.

While we were not able to replicate the results reported by Brady and colleagues, we did observe some interesting differences between colors and objects. In Experiment 2, we showed evidence that fewer objects were remembered, suggesting the possibility that the additional complexity of those items resulted in fewer items being stored. This supports the growing body of evidence that suggests that complexity does have an impact on the information stored in VWM (Alvarez & Cavanagh, 2004; Hardman & Cowan, 2015). Our CDA results suggest that, when items are familiar, participants may use a different strategy for remembering them that relies on more than just VWM alone. One potential hypothesis is that familiar objects can be more readily stored in long-term memory (see Xie & Zhang, 2018). Individuals may choose to use long encoding times to offload information from working memory, rather than attempting to store a precise representation in VWM. Future work with

real-world items is necessary in order to further understand the differences between the stimuli used in experiments and VWM processes in real life situations.

Ultimately, we fail to find any support for the hypothesis that VWM capacity increases with longer encoding times (for either colors or real-world objects). Although we observed a performance benefit with longer encoding times for both colors and objects, we found no evidence that this was due to an increase in VWM capacity (i.e., we never observed a difference in the CDA between set size 3 and 5). Our conclusions stand in stark contrast to those of Brady, Störmer, & Alvarez (2016). Without confidence in either the behavior or the neural results from their original study, we think it is most likely that the improved memory performance for extended viewing times is the consequence of some other task factor (e.g., recruitment of long-term memory) than an increase in active working memory capacity.

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Figure 1. Sequence of trial events. Participants were asked to silently rehearse two digits, then 6 colors or objects were displayed for 0.2, 1, or 2 seconds. After 0.8 seconds, a cue appeared for 0.5 seconds indicating which item would be tested. The participant then responded to the 2AFC test with the arrow keys and finally recalled the remembered digits with the number keys.

Figure 2. Mean working memory capacity by item type, encoding time, and verbal load. Error bars indicate bootstrapped 95% confidence intervals to illustrate the data independent of modeling decisions such as priors. (A) Experiment 1a. A near-direct replication attempt of the critical behavioral findings from Brady, Störmer, & Alvarez (2016). (B) Experiment 1b. An additional verbal load manipulation was included in Experiment 1b to determine if additional suppression of verbal strategies impacted results. (C) Experiment 1c. A replication of Experiment 1a with intermixed trials to further disrupt potential encoding strategies. (D) Individual data combined over all 3 experiments.

Figure 3. CDA amplitude by set size and item type. Error bars indicate bootstrapped 95% confidence intervals. (A) Raw CDA waveforms. CDA was generated by averaging over trials for each participant and calculating a contralateral minus ipsilateral difference wave using the PO3, PO4, PO7, and PO8 electrodes. Highlighted regions show the areas used to calculate mean CDA amplitudes. (B) Experiment 2 behavior results. Working memory capacity was calculated using the set size for that condition. (C) Mean CDA amplitude for the memory display (400 to 1000 ms). Means were generated for each participant prior to bootstrapping. (D) Mean CDA amplitude for the delay (1300 to 1700 ms). Means were calculated using the same time window as Brady, Störmer, & Alvarez (2016).