

## **Transdiagnostic Patterns of Sensory Processing in Autism and ADHD**

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## **Abstract (120 Word Max)**

Sensory processing abilities are highly variable within and across people diagnosed with autism spectrum disorder (ASD) and attention-deficit/hyperactivity disorder (ADHD). This study examined the transdiagnostic nature of sensory processing abilities, and their association with features of ASD and ADHD, in a large sample of autistic people (n = 495) and people with ADHD (n = 461). Five similar data-driven sensory phenotypes characterized sensory processing abilities, and showed similar patterns of association with features of ASD and ADHD, across both diagnostic groups. These results demonstrate the transdiagnostic nature of sensory processing abilities, while contributing to a growing body of literature that suggests the ASD and ADHD diagnostic labels have poor explanatory power.

## **Keywords**

Sensory Phenotypes, Cluster Analysis, Autism Spectrum Disorder, Attention Deficit Hyperactivity Disorder, Social Communication, Restrictive and Repetitive Behaviours,

## **Introduction**

Autism spectrum disorder (ASD) and attention-deficit/hyperactivity disorder (ADHD) are complex neurodevelopmental conditions that both demonstrate large within-disorder heterogeneity. Autism is characterized by persistent deficits in social communication and interaction and restricted, repetitive patterns of behaviour, interests, or activities, while ADHD is characterized by a persistent pattern of inattention and/or hyperactivity-impulsivity that interferes with functioning or development (APA, 2013). Despite their distinct diagnostic criteria, ASD and ADHD demonstrate a high degree of between group overlap (Baribeau, 2015; Brierley et al.,

2021; Demopoulos et al., 2013; Jacobs et al., 2021; Krakowski et al., 2020; Kushki et al., 2019, 2021). Further, ASD and ADHD are highly comorbid, with rates of ADHD among autistic people reported to range from 25.7-65% (Hossain et al., 2020).

In both autistic people and people with ADHD, differences in sensory processing have been well documented. As a whole, the autistic (Ben-Sasson et al., 2009; Lane et al., 2010, 2011, 2014; Scheerer et al., 2021; Tomchek & Dunn, 2007) and ADHD (Mangeot et al., 2001; Pfeiffer et al., 2015) populations consistently demonstrate sensory processing differences across all sensory domains. Further, these sensory differences are highly variable showing large within-disorder heterogeneity (ASD: Lane et al., 2010, 2011, 2014; Scheerer et al., 2010; ADHD: Little et al., 2017; Mangeot et al., 2001).

While a breadth of studies demonstrate sensory processing differences in autistic people or people with ADHD, few studies have compared sensory processing across these diagnostic groups. Autistic children and children with ADHD show similarities in sensory processing, according to the Child Sensory Profile 2 (SP2; Dunn, 2014), with both diagnostic groups differing from typically developing children (Little et al., 2018). Given the similarities in sensory processing across diagnostic groups, coupled with the high degree of heterogeneity in sensory processing patterns *within* each of these diagnostic groups, researchers have hypothesized that there may be a transdiagnostic underlying sensory differences across diagnoses. For this reason, a more focused investigation of overlapping sensory processing *patterns* in these diagnostic groups is warranted.

Clustering techniques represent an ideal solution for parsing such sensory heterogeneity. Clustering involves grouping individuals with similar sensory processing abilities together in

such a way that individuals in the same cluster have more similar sensory processing abilities to each other than individuals in other clusters. These resultant clusters can be thought of as sensory phenotypes, or distinct patterns of sensory processing abilities that commonly co-occur together.

Using this clustering technique to explore sensory processing patterns in autistic people commonly yields between three- and five-cluster solutions (Dwyer et al., 2020; Lane et al., 2010, 2011, 2014; Scheerer et al., 2021; Uljarevic et al., 2016). Across these studies, a *sensory adaptive* phenotype has been identified that describes autistic people with mostly typical sensory processing. A *generalized sensory differences* phenotype also emerges, describing autistic people who have difficulties across all sensory domains (Dwyer et al., 2020; Lane et al., 2010, 2011, 2014; Scheerer et al., 2021; Uljarevic et al., 2016). While less consistently reported, other intermediate phenotypes exhibiting distinct patterns of sensory differences in autistic people have been identified including a *sensory moderate* phenotype (Uljarevic et al., 2016), a *taste and smell sensitivity* phenotypes (Lane et al., 2010, 2011, 2014; Scheerer et al., 2021), an *under-responsive and sensory seeking* phenotype (Lane et al., 2011; Scheerer et al., 2021), a *tactile and movement difficulties* phenotype (Lane et al., 2011), and a *movement difficulties with low energy* phenotype (Lane et al., 2014; Scheerer et al., 2021).

While clustering techniques have helped to parse sensory heterogeneity in autistic people, this area is unstudied in ADHD, to our knowledge. If sensory phenotypes are indeed transdiagnostic, we would expect to see an ADHD cohort cluster into sensory phenotypes that resembled those in autism. If, however, sensory processing associated with ASD and ADHD are specific to their diagnostic constructs, then diagnosis-specific phenotypes should emerge. With that said, it is unclear whether sensory processing differences in ADHD will cluster into discrete

phenotypes at all. If no meaningful sensory phenotypes emerge within the ADHD group, this will provide evidence against the hypothesis of sensory phenotypes being transdiagnostic.

An important aspect of sensory phenotypes as a clinically meaningful way of parsing heterogeneity is that discrete phenotypes differentially relate to traits such as clinical profiles, cognitive ability, and demographic factors. In autism, these sensory phenotypes have been linked with behavioural traits such as adaptive functioning (Lane et al., 2010; Tillmann et al., 2020; Scheerer et al., 2021), autistic traits (Lane et al., 2010; Tillmann et al., 2020; Scheerer et al., 2021), and ADHD traits (Lai et al., 2019; Tillmann et al., 2020; Scheerer et al., 2021). Given that sensory phenotypes have not been investigated in ADHD, it follows that the relationships between discrete phenotypes and other clinically meaningful factors is unknown. If sensory phenotypes are transdiagnostic, we would predict that the pattern of other clinically-relevant traits across sensory phenotypes would be equivalent across diagnostic groups, even where overall differences in the levels of these traits may be observed (for example, possibly higher levels of cognitive ability in ADHD).

The primary aim of the current study is to examine the transdiagnostic nature of sensory processing abilities in a large sample of autistic people and people with ADHD. Given the substantial between group overlap in the phenotypes of autistic people and people with ADHD (Baribeau, 2015; Brierly et al., 2021; Demopoulos et al., 2013; Kushki et al., 2019, 2021), we expect sensory abilities will cluster into similar phenotypes across these diagnostic groups. While this will highlight the transdiagnostic nature of sensory processing abilities, this will also demonstrate that the sensory processing abilities of people with ADHD can be clustered into discrete phenotypes. Given sensory abilities have been shown to be predictive of behavioural traits, we expect the resultant sensory phenotypes will differentially relate to the core diagnostic

features of ASD and ADHD. Together these findings will help to disambiguate the relationship between the core features of ASD and ADHD that are often obscured by strikingly large within and between group heterogeneity.

## Methods

### Participants

495 autistic people and 461 people with ADHD were included in this study (see Table 1 for detailed participant characteristics). Participants in the ASD were aged 1-21 ( $M_{\text{age}} = 9.21$ ,  $SD = 4.54$ ) and were 76.8% male. The ADHD group were aged 3-18 ( $M_{\text{age}} = 9.59$ ,  $SD = 2.82$ ) and were 71.4% male. Participant data was extracted from the Province of Ontario Neurodevelopmental Disorder (POND) Network's database (<https://pond-network.ca/>). Participants were included if their parent or caregiver had completed the Short Sensory Profile (SSP; McIntosh et al., 1999) and they had a diagnosis of either ADHD or autism, including ASD, Autism, Asperger's, or Pervasive Developmental Disorder Not Otherwise Specified. Diagnoses were made by general and pediatric physicians, psychiatrists, developmental behavioural pediatricians, and psychologists. For ASD, diagnoses were confirmed using the Autism Diagnostic Observation Schedule (ADOS; Gotham et al., 2007) and Autism Diagnostic Interview (ADI-R; Lord et al., 1994), while ADHD diagnoses were confirmed by the Parent Interview for Child Symptoms (Ickowicz et al., 2006), administered by reliable examiners. Given an experimental aim was to compare sensory processing across ASD and ADHD, participants were excluded if they had an ASD and ADHD. However, individuals with other comorbid diagnoses were not excluded given ASD and ADHD have significant diagnostic overlap with other diagnoses (Hossain et al., 2020). Common comorbidities included anxiety disorders

(11.31% ASD, 25.38% ADHD), intellectual disabilities (9.29% ASD, 27.33% ADHD), and learning disorders (6.06% ASD, 26.46% ADHD). Participants and their parents or caregivers also completed a range of measures to assess the participant's IQ, sensory processing abilities, ASD traits, ADHD traits, and OCD traits (see Table 1). Study procedures were approved by the Research Ethics Board at Western University, and ethical approval was also obtained at each data collection site, in accordance with the World Medical Association's 2013 Declaration of Helsinki. The present study has been pre-registered with the Open Science Framework (<https://osf.io/fnv5m/>).

## **Materials**

IQ was tested using measures of intelligence that were appropriate for the participant's age and developmental level. Weschler tests, the Wechsler Abbreviated Scales of Intelligence First Edition (Wechsler, 1999; n = 1), Second Edition (Wechsler, 2011); n = 430), the Wechsler Intelligence Scale for Children Version 4 (Wechsler, 2003; n = 45) and Version 5 (Wechsler, 2014); n = 75), and the Wechsler Preschool and Primary Scale of Intelligence Version 4 (Wechsler, 2012; n = 3), were prioritized when children were of the appropriate age, were verbally fluent, and there was sufficient time. The Stanford-Binet Intelligence Scale (Roid & Pomplun, 2012; n = 114), the Mullen Scales of Early Learning (Mullen, 1995; n = 42), and the Leiter International Performance Scale Version 3 (Roid et al., 2013; n = 6) were used for those who were too young or unable to complete the Weschler tests. IQ data for 240 participants were not available.

**Short Sensory Profile.** The Short Sensory Profile (SSP; McIntosh 1999) is a well-validated parent-report questionnaire that assesses daily behaviours associated with abnormal



responses to sensory stimuli in children aged 3-10 years. A total of 38 items are categorized into one of seven sensory domains: Tactile Sensitivity (7 items), Taste/Smell Sensitivity (4 items), Movement Sensitivity (3 items), Underresponsive/Seeks Sensation (7 items), Auditory Filtering (6 items) Low Energy/Weak (6 items), and Visual/Auditory Sensitivity (5 items). Parents respond to each question on a five-point Likert scale (always (100% of the time) = 1, frequently (75% of the time) = 2, occasionally (50% of the time) = 3, seldom (25% of the time) = 4, or never (0% of the time) = 5) indicating the frequency with which their child displays the sensory behavior. The SSP produces a raw score with lower scores indicate greater sensory processing abnormalities. The SSP has been shown to have strong internal consistency in individuals with ASD (e.g. .89; Tomchek et al., 2014, .92; Scheerer et al., 2021) and is widely used in studies of sensory perception as it covers a wide range of sensory processing domains. While the SSP was initially developed on typically developing children, a confirmatory factor analysis has indicated that the seven-subscale structure is also appropriate for quantifying sensory processing in children and young adults diagnosed with autism or ADHD between the ages of 1 and 22 years (Parks et al., 2020).

**Repetitive Behavior Scale - Revised (RBS-R).** The RBS-R (Lam & Aman, 2007) is a 43-item questionnaire administered to parents of children ages 6-17. The RBS-R aims to measure the breadth of repetitive behaviors in children and adolescents with ASD. Items are scored as 0-Behavior does not occur, 1-Behavior occurs and is a mild problem, 2-Behavior occurs and is a moderate problem, 3-Behavior occurs and is a severe problem. The RBS-R produces a raw score, with total overall scores indicating the prevalence of more problematic behaviors. We assessed repetitive behaviors using a four-factor structure consisting of Stereotypy, Self-Injury, Compulsions, and Ritualistic/Sameness subscales (Brierley et al., 2021). Cronbach's alpha for

these subscales indicates high internal consistency with alphas ranging from 0.8 – 0.92 (Brierley et al., 2021).

**Social Communication Questionnaire.** The Social Communication Questionnaire Lifetime Form (SCQ; Rutter, et al., 2003) is a standardized parent-report screening questionnaire to evaluate communications skills and social functioning in individuals aged 4-40 years who are believed to be autistic. The SCQ considers lifetime characteristics across 40 items that measure three domains: Social Relating, Communication, and Range of Interests that are assessed using yes/no responses. The SCQ produces a total raw score that is calculated by summing all items. A total score of 15 or greater suggests that the individual is likely to be on the autism spectrum. The SCQ has high internal consistency (Cronbach's alpha = 0.87; (Rutter, et al., 2003), and good discriminative validity when distinguishing between children with ASD and non-ASD diagnoses. The sensitivity of the SCQ is about 96%, while the specificity is about 80%, in samples of children without intellectual disability (Rutter, et al., 2003). Note that while the SCQ contains questions pertaining to a child's range of interests, given the questions are primarily social in nature, the SCQ was used as an index of autistic social behaviours for this study.

**Strengths and Weaknesses of Attention-Deficit/Hyperactivity Disorder Symptoms of Normal Behavior Scale (SWAN).** The SWAN (Arnett et al., 2013) is an 18-item caregiver questionnaire designed for children under the age of 18 years. The questionnaire includes scoring of both strengths and weaknesses associated with symptoms of ADHD. Each question is scored on a seven-point scale, with Far Below Average = 3, Below Average = 2, Somewhat Below Average = 1, Average = 0, Somewhat Above Average = -1, Above Average = -2, and Far Above Average = -3. The SWAN produces a raw score, with higher scores indicate greater symptomatology. Two raw subscale scores can be produced, the inattention subscale, and the

hyperactivity subscale. The SWAN has high internal consistency (Cronbach's  $\alpha = 0.88$ ), and reliability ranged from .72 to .90 ( $M = 0.82$ ; (Arnett et al., 2013)).

## **Statistical Analyses**

Statistical analyses were conducted using R (v. 4.0.2, Vienna, Austria) and Jamovi (v. 1.6, Sydney, Australia). Descriptive statistics were calculated for each of the measures for the ASD and ADHD samples. The SSP subscale scores were then converted to z-scores and submitted to k-means cluster analyses to identify patterns of sensory processing separately for the ASD and ADHD samples, as well as for a combined ASD and ADHD sample. A cluster analysis is an exploratory data analysis technique used to identify subgroups (or clusters) in a dataset that represent data points that are similar to one another, yet distinct from data points in other clusters. The k-means algorithm clusters the data into a number,  $k$ , of predefined, distinct, and non-overlapping groups where each data point only belongs to one group. Data points are assigned to a particular cluster in such a way that the sum of the squared distance between all of the data points, and the mean of all the data points that belong to that cluster, is minimized (Hartigan et al., 1979). Applying the k-means approach to the subscales of the SSP allowed us to examine how sensory processing differences cluster together, with each of the resulting clusters representing a distinct sensory phenotype. Based on a systematic review indicating 3-5 sensory phenotypes in autism, we tested  $k$ 's of 2-6 (DeBoth & Reynolds, 2017). To determine the best fit model, we used Bayesian Information Criteria (BIC; (Zhong & Ghosh, 2003)), previous literature (Ausderau et al., 2014; Ben-Sasson et al., 2009; Lane et al., 2010, 2011, 2014; Liss et al., 2006; Little et al., 2017; Scheerer et al., 2021; Simpson et al., 2019; Uljarevic et al., 2016), and comparisons with behavioural clinical measures to help quantify the practical, real-world significance of these sensory phenotypes. Welch's one-way analysis of variances (ANOVAs)

assuming unequal variances with follow-up Games-Howell post hoc comparisons were used to compare SSP subscale scores across the sensory clusters. Chi-square tests were used to compare sex at birth across the phenotypes for each diagnostic group. Welch's ANOVAs with Games-Howell post hoc comparisons were used to compare IQ, ASD traits, ADHD traits, and OCD traits across the clusters, separately for each diagnostic group, as well as across diagnostic groups, and their interaction. For the combined analysis, the proportion of each diagnostic group in each cluster was calculated. Given the large sample size utilized in this study, a priori effect sizes of  $\eta_p^2 = .060$ ,  $w^2 = 0.06$ , Cohen's  $d = 0.5$ , and Cramer's  $V = .400$ , or moderate, were set for all statistical analyses as indicators of meaningful effects. P-values will be reported for thoroughness, but conclusions will be drawn based on effect sizes.

## Results

Table 1 reports the descriptive results for key demographic and experimental measures for both the autistic and ADHD samples. For the autistic children, mean scores on the taste/smell, movement, and visual auditory sensitivity subscales fell into the probable difference, while scores on the tactile, underresponsive/seeking sensation, auditory filtering, and low energy subscales fell into the definite difference in sensory processing function range when comparing the mean scores to normative data based on the performance of children without disabilities ( $n = 1037$ ; (McIntosh et al., 1999). For the children with ADHD, mean scores on the taste/smell, movement, and visual/auditory subscales fell into the typical performance range, scores on the tactile and low energy subscales fell into the probable difference range, and scores on the under responsive/ seeking sensation and auditory filtering subscales fell into the definite difference range when comparing the mean scores to normative data. Comparing across the samples, autistic individuals had more sensory processing difficulties across all subscales ( $p < .001$ ), except for

the under responsive/seeks sensation subscale that showed no group differences, and the auditory filtering subscale where ADHD individuals showed more sensory difficulties (see Table 1).

Table 1  
Participant Characteristics

	ASD				ADHD				Significance	Effect Size (Cohen's D)
	n	Mean	SD	Range	n	Mean	SD	Range		
Age	495	9.21	4.54	1-21	461	9.59	2.82	3-18	p = .124	d = -.101
Gender										
Male	380				329					
Female	115				132					
IQ										
Full	415	83.67	26.34	40-142	308	99.18	16.24	40-133	p < .001	d = -.701
Verbal	368	86.31	25.09	43-160	340	98.74	17.31	44-145	p < .001	d = -.577
Performance	387	87.10	26.51	42-160	340	101.91	16.66	42-141	p < .001	d = -.669
SSP										
Tactile	495	26.87	5.71	9-35	461	29.51	5.05	11-35	p < .001	d = -.491
Taste/ Smell	495	12.90	5.50	4-20	461	15.41	4.75	2-20	p < .001	d = -.489
Movement	495	12.54	2.88	3-15	461	13.22	2.65	3-15	p < .001	d = -.250
Underresp/ Seek	495	22.10	6.81	7-35	461	22.73	7.00	7-35	p = .158	d = .092
Auditory Filtering	495	17.52	5.05	6-30	461	16.26	5.02	6-30	p < .001	d = .249
Low Energy/ Weak	495	23.08	6.96	0-30	461	25.91	5.25	4-30	p < .001	d = -.462

Table 1  
Participant Characteristics

Visual/ Auditory	495	17.35	5.16	5-25	461	20.61	4.58	6-25	p < .001	d = -.670
SCQ	436	19.54	7.35	1-37	441	7.38	6.00	0-32	p < .001	d = 1.812
RBS-R										
Total	495	26.72	20.01	0-92	461	15.19	15.37	0-84	p < .001	d = .647
Self Injury	495	2.59	3.33	0-18	461	1.58	2.66	0-22	p < .001	d = .334
Stereotypy	495	5.96	4.82	0-22	461	2.95	3.88	0-22	p < .001	d = .690
Ritualistic/ Sameness	495	12.72	9.81	0-43	461	7.45	7.55	0-39	p < .001	d = .602
Compulsions	495	3.89	4.39	0-24	461	1.97	3.03	0-19	p < .001	d = .509
SWAN										
ADHD Inattentive	369	4.24	3.04	0-9	443	5.64	2.86	0-9	p < .001	d = -.474
ADHD Hyperactive	369	3.57	3.16	0-9	443	4.37	3.19	0-9	p < .001	d = -.251

Abbreviation: SSP, Short Sensory Profile; IQ, Intelligence Quotient; RBS-R, – Repetitive Behaviour Scale – Revised; SCQ, Social Communication Questionnaire; SWAN, Strengths and Weaknesses of Attention-Deficit/Hyperactivity Disorder Symptoms and Normal Behaviour Scale; ADHD I, Attention-Deficit/Hyperactivity Disorder Inattentive Scale; ADHD HI, Attention-Deficit/Hyperactivity Disorder Hyperactivity Scale

## Patterns of Sensory Behavior

Results of the k-means cluster analyses conducted in R separately for both autistic children and children with ADHD's SSP data indicated that a five-cluster solution produced the best-fit model after considering previous literature, BIC values, and the practical, real-world significance of the resultant sensory phenotypes. A bootstrapping technique was used to produce 100 iterations of the five-cluster solution for each group to ensure the reliability of the selected model and BIC values were examined (see Supplemental Materials A). For both diagnostic groups, starting with a K of 2, the k-means cluster analysis fit a model that clustered participants by high or low sensory processing differences (see Figure 1 & 2). With the addition of each successive cluster, the model produced a group of clusters that highlighted distinct patterns of sensory processing differences. However, once the six-cluster model emerged, the new cluster failed to produce a highly differentiated pattern of sensory processing differences. Given the pattern of the SSP subscale scores across the clusters in the five-cluster model, we classified cluster 1 as a **Sensory Adaptive (SA)** phenotype, cluster 2 as a **Generalized Sensory Differences (GSD)** phenotype, cluster 3 as a **Taste and Smell Sensitivity (TSS)** phenotype, cluster 4 as an **Underresponsive and Sensory Seeking (URSS)** phenotype, and cluster 5 as a **Low Energy with Weakness (LEW)** phenotype (see Figure 1 and 2).

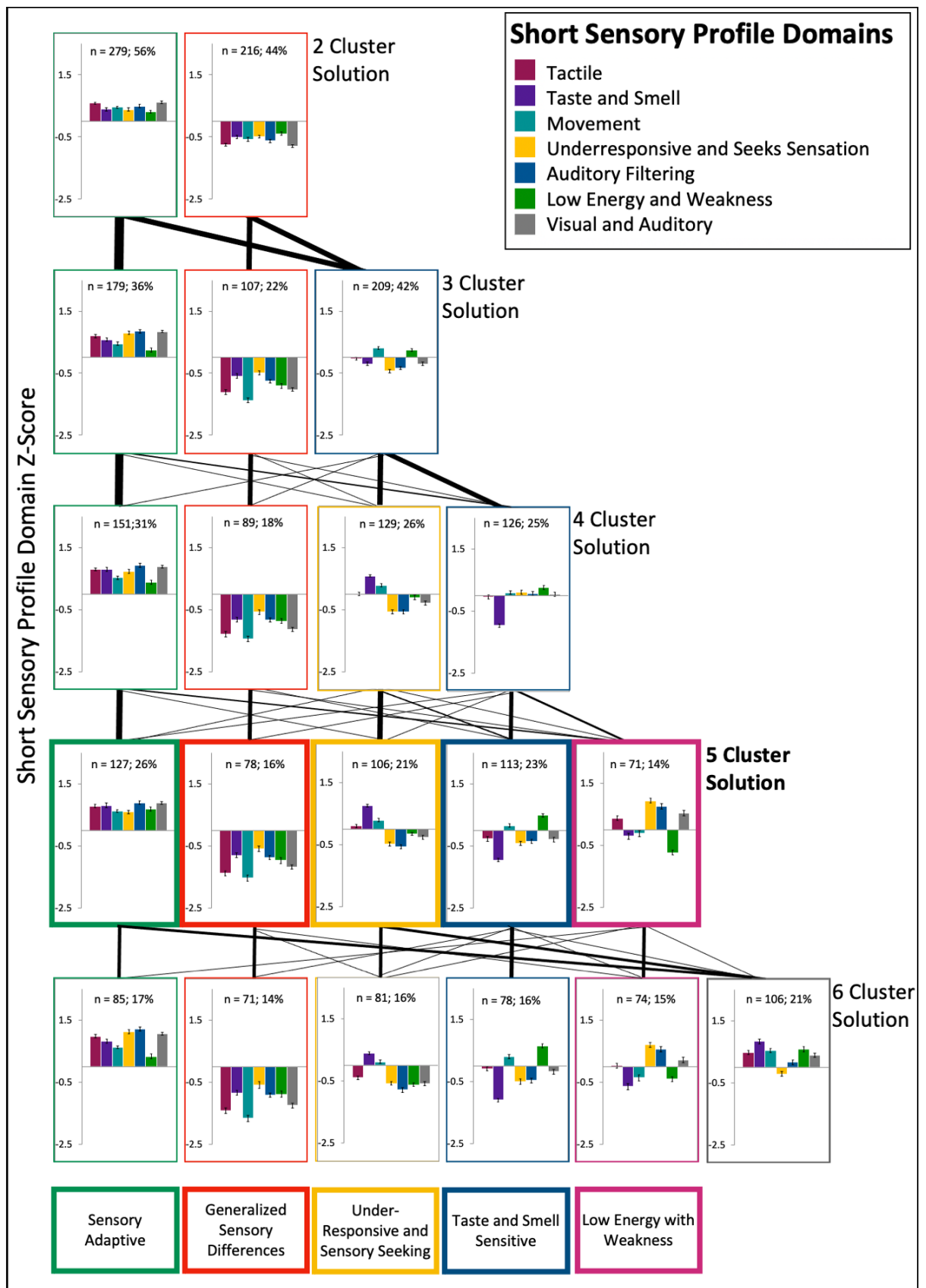


Figure 1: Short Sensory Profile Domain Z-Scores across the k 2 to 6 cluster solutions for the ASD sample. Negative z-scores are indicative of increased sensory difficulties. Line weights between cluster solutions represent the number of participants remaining/changing clusters across solutions. Error bars indicate standard error of the mean.





Figure 2: Short Sensory Profile Domain Z-Scores across the k 2 to 6 cluster solutions for the ADHD sample. Negative z-scores are indicative of increased sensory difficulties. Line weights between cluster solutions represent the number of participants remaining/changing clusters across solutions. Error bars indicate standard error of the mean.

One-way ANOVAs were conducted on the SSP subscales scores separately for the autistic children and children with ADHD (z scores: see Figure 1 & 2; raw scores: see Figure 3) using jamovi to determine whether the SSP subscale scores differed across the 5 sensory phenotypes. For both the ASD and ADHD samples, all 7 subscales, tactile, taste/smell, movement, underresponsive/sensory seeking, auditory filtering, low energy/weak, and auditory filtering differed meaningfully across the 5 phenotypes (see Figure 1-3; Supplementary Materials B contains the full statistical analyses, while Supplementary Materials C contains correlations between all experimental variables). Internal consistency of the SSP was assessed for each sample, with Cronbach's  $\alpha = .923$  and  $\alpha = .930$  for the ASD and ADHD samples, respectively, indicating excellent levels of internal consistency (see Supplementary Materials B for subscale  $\alpha$ s).

Given the high degree of similarities across the diagnostic groups, a mixed-model ANOVA was conducted using jamovi to examine the interaction between the 7 SSP subscales, 5 sensory phenotypes, and 2 diagnostic groups to determine whether the pattern of sensory processing differed across sensory phenotypes in a different manner for autistic people and people with ADHD. The three-way interaction between SSP subscales, sensory phenotypes, and diagnosis did not exceed our a priori effect size,  $F(24, 5676) = 12.82$ ,  $p < .001$ ,  $\eta_p^2 = .051$ , thus

the differences across the diagnostic groups were not considered meaningful (see Supplementary Materials D for full analysis).

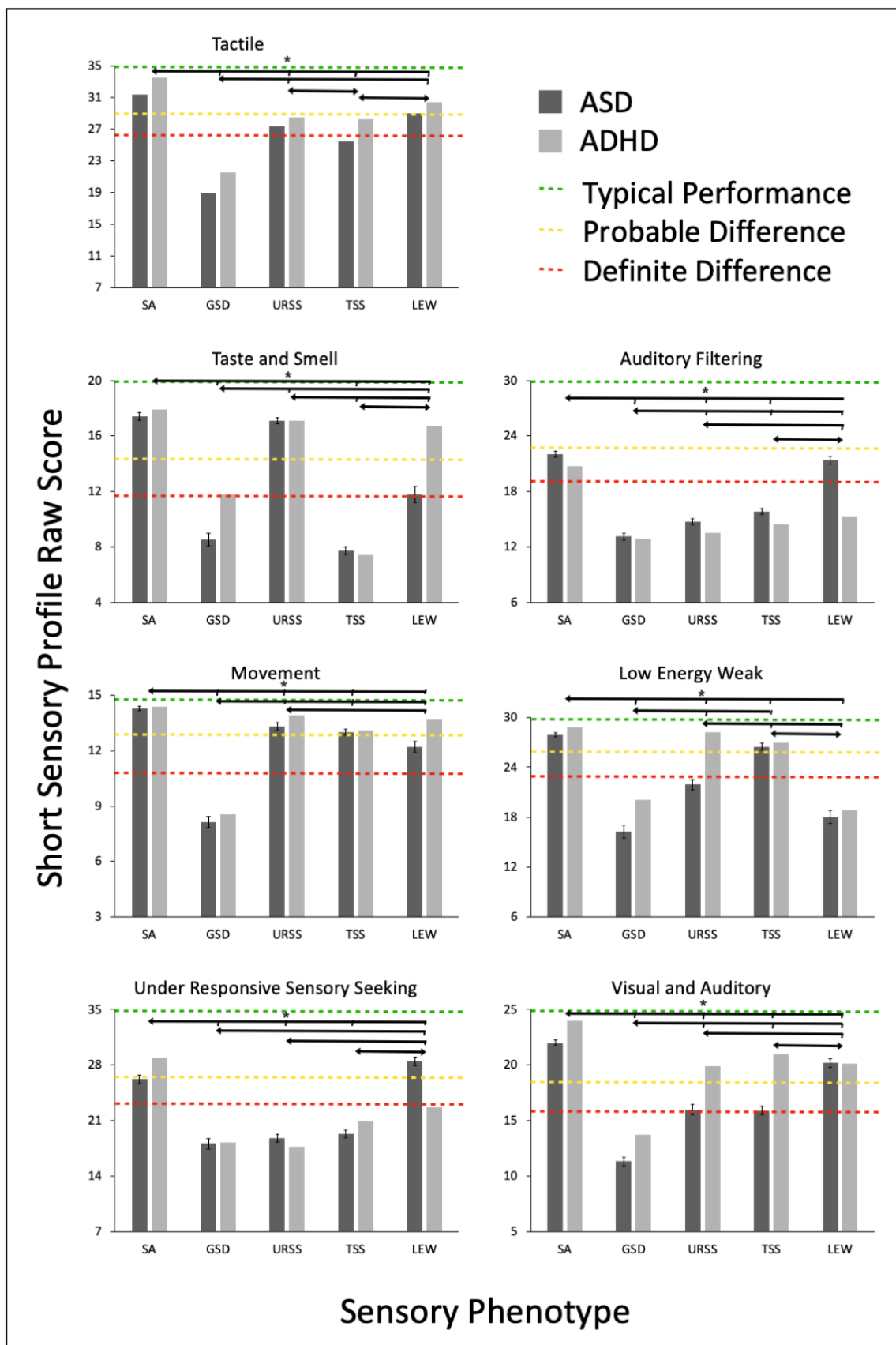


Figure 3: Short Sensory Profile Domain Raw Scores across the five sensory phenotypes: Sensory Adaptive (SA), Generalized Sensory Difference (GSD), Taste and Smell Sensitive (TSS), Under Responsive and Sensory Seeking (URSS), and Low Energy with Weakness for the ASD (black) and ADHD (grey) samples. Error bars indicate standard error of the mean. Dashed lines in green (typical difference), yellow (probable difference), and red (definite difference), classify sensory processing abilities relative to the performance of children without disabilities (McIntosh et al., 1999).

### Sensory Phenotypes and Demographic Factors

#### Age

A two way ANOVA indicated that age did not show meaningful differences across the sensory phenotypes ( $F(4, 946) = 11.64, p < .001, \eta_p^2 = .047$ ), diagnostic groups, ( $F(1, 946) = 0.003, p = .957, \eta_p^2 < .001$ ), or the interaction between sensory phenotype and diagnostic group, ( $F(4, 946) = 9.34, p < .001, \eta_p^2 = .038$ ; see Figure 4a, Table 2).

#### IQ

A two way ANOVA indicated that full-scale IQ differed across the diagnostic groups, ( $F(1, 713) = 69.21, p < .001, \eta_p^2 = .088$ ), but not the sensory phenotypes, ( $F(4, 713) = 1.29, p = .271, \eta_p^2 = .007$ ), or the interaction between diagnosis and sensory phenotype, ( $F(4, 713) = 3.07, p = .016, \eta_p^2 = .017$ ). Overall, the people with ADHD had higher full-scale IQs ( $M = 99.2, SE = 0.93$ ), than the autistic people ( $M = 83.7, SE = 1.29$ ; see Table 1; Figure 4b).

A two way ANOVA indicated that verbal IQ differed across the diagnostic groups, ( $F(1, 698) = 46.30, p < .001, \eta_p^2 = .062$ ), but not the sensory phenotypes, ( $F(4, 698) = 1.43, p = .223$ ,

$np^2 = .008$ , or the interaction between diagnosis and sensory phenotypes, ( $F(4, 698) = 2.37, p = .051, np^2 = .013$ ). Overall, the people with ADHD had higher verbal IQs ( $M = 98.7, SE = 0.94$ ), than the autistic people ( $M = 86.3, SE = 1.31$ ).

A two way ANOVA indicated that performance IQ differed across the diagnostic groups, ( $F(1, 717) = 68.93, p < .001, np^2 = .088$ ), but not the sensory phenotypes, ( $F(4, 717) = 0.93, p = .447, np^2 = .005$ , or the interaction between diagnosis and sensory phenotype, ( $F(4, 717) = 0.801, p = .525, np^2 = .004$ ). Overall, the people with ADHD had higher performance IQs ( $M = 102.0, SE = 0.90$ ), than the autistic people ( $M = 87.1, SE = 1.35$ ).

#### Sex assigned at birth

A test of independence indicated that sex did not vary across the phenotypes for the ASD sample ( $\chi^2(4) = 13.24, p = .010$ , Cramer's  $V = .164$ ), or the ADHD sample ( $\chi^2(4) = 1.61, p = .806$ , Cramer's  $V = .059$ ; see Figure 4c).

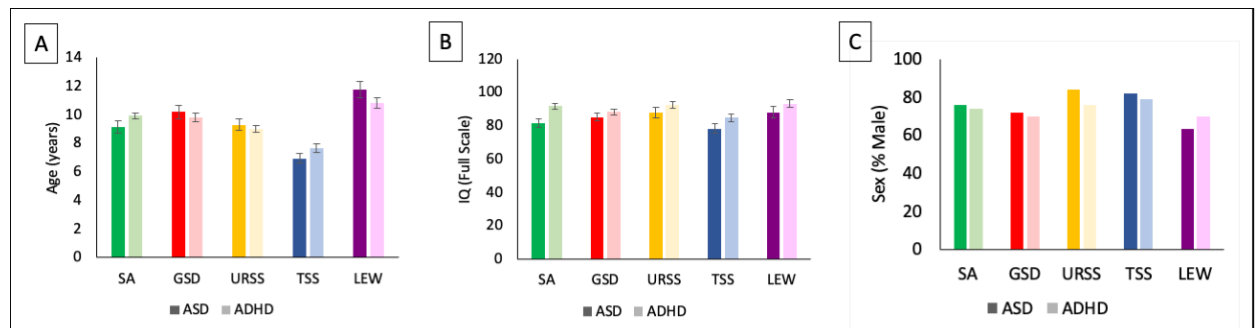


Figure 4: Age (A), IQ (B), and Sex (C) assigned at birth as a function of Sensory Phenotype (Sensory phenotypes: Sensory Adaptive (SA), Generalized Sensory Difference (GSD), Taste and Smell Sensitive (TSS), Under Responsive and Sensory Seeking (URSS), and Low Energy with Weakness) and Diagnostic Group (ASD – solid, ADHD – shaded). Error bars indicate standard error of the mean.

Table 2: Descriptive and Test Statistics for Measured Variables

		SA M (SD)	GSD M (SD)	URSS M (SD)	TSS M (SD)	LEW M (SD)
Age	ASD	9.13 (4.77)	10.18 (4.17)	9.28 (3.96)	6.93 (3.72)	11.77 (4.81)
	ADHD	9.90 (3.80)	9.77 (3.64)	9.00 (3.33)	7.64 (3.60)	10.79 (4.18)
IQ						
Full-Scale	ASD	81.36 (27.06)	85.10 (20.45)	87.71 (29.09)	78.19 (26.09)	88.02 (26.08)
	ADHD	91.63 (23.90)	88.20 (20.18)	92.41 (24.74)	84.73 (25.67)	93.17 (23.04)
Verbal	ASD	83.85 (25.50)	87.26 (21.25)	88.39 (27.27)	82.51 (24.85)	90.42 (25.27)
	ADHD	93.95 (22.09)	88.89 (20.99)	93.69 (23.46)	88.65 (23.70)	94.50 (21.92)
Performance	ASD	86.76 (28.21)	85.94 (20.92)	88.57 (30.11)	85.59 (26.14)	88.48 (24.61)
	ADHD	96.67 (23.31)	90.61 (21.99)	94.25 (24.80)	91.78 (24.48)	94.80 (22.70)
Sex	ASD	30F, 97M	22F, 56M	17F, 89M	20F, 93M	26F, 45M
RBS-R Total Score	ASD	17.69 (15.24)	33.49 (22.86)	29.76 (19.56)	30.28 (18.92)	25.25 (21.24)
	ADHD	12.87 (13.84)	28.10 (22.19)	23.89 (18.14)	26.88 (18.64)	19.72 (19.13)
<u>Self Injury</u>	ASD	1.29 (2.10)	3.56 (4.13)	3.23 (3.90)	2.44 (2.43)	3.11 (3.76)
	ADHD	1.15 (2.09)	2.94 (3.76)	2.68 (3.64)	2.19 (2.39)	2.17 (3.18)
Stereotypy	ASD	4.28 (3.74)	6.92 (5.33)	7.16 (4.88)	6.65 (4.53)	5.04 (5.35)
	ADHD	2.68 (3.42)	5.71 (5.23)	5.51 (4.85)	5.60 (4.43)	4.07 (4.91)
Compulsions	ASD	2.58 (3.49)	5.21 (5.54)	4.30 (4.42)	4.50 (4.33)	3.18 (3.85)
	ADHD	1.89 (3.05)	4.33 (4.97)	3.14 (3.83)	3.77 (4.11)	2.49 (3.49)
Ritualistic/ Sameness	ASD	8.63 (8.51)	15.78 (9.98)	13.34 (9.51)	14.96 (9.75)	12.20 (10.07)
	ADHD	6.37 (7.45)	13.28 (9.99)	10.93 (8.54)	13.55 (9.63)	9.54 (9.11)

SCQ	ASD	15.83 (6.56)	23.14 (7.40)	20.83 (7.08)	20.98 (6.63)	17.89 (7.02)
	ADHD	9.20 (7.83)	17.81 (9.50)	14.47 (8.43)	16.40 (8.74)	12.41 (8.53)
SWAN						
Inattention	ASD	3.42 (2.85)	5.03 (2.86)	5.10 (2.86)	4.28 (3.11)	3.26 (3.11)
	ADHD	4.47 (3.10)	5.58 (2.75)	5.73 (2.73)	4.80 (3.12)	4.39 (3.15)
Hyperactivity	ASD	2.57 (2.76)	4.08 (3.08)	4.62 (3.26)	4.28 (3.21)	2.13 (2.72)
	ADHD	3.07 (2.96)	4.73 (3.15)	5.03 (3.08)	4.35 (3.28)	2.97 (3.06)

Note: Sensory Adaptive (SA), Generalized Sensory Differences (GSD), Taste and Smell Sensitivity (TSS), Under-Responsive Sensory Seeking (URSS), Movement Difficulties with Low Energy and Weakness (M/LEW), Intelligence Quotient (IQ), Repetitive Behaviours Scale Revised (RBS-R), Social Communication Questionnaire (SCQ), Strengths and Weakness of Attention-Deficit/Hyperactivity Disorder Symptoms of Normal Behaviour Scale (SWAN). Contrasts are significant at  $p < .05$ .

## Sensory Phenotypes and Neurodevelopmental Disorder Traits

### ASD Traits

Autistic social behaviours, measured by the SCQ, were also found to differ across the diagnostic groups, ( $F(1, 867) = 702.830, p < .001, np^2 = .448$ ), and sensory phenotypes, ( $F(4, 867) = 37.16, p < .001, np^2 = .146$ ), but the interaction was not statistically meaningful, ( $F(4, 867) = 0.15, p = .964, np^2 = .001$ ; see Figure 5; Table 2). In addition, the internal consistency of the SCQ was assessed, with Cronbach's  $\alpha = .920$ , indicating excellent internal consistency.

Restricted and repetitive behaviours, indexed by RBS-R total scores, differed across the diagnostic groups, ( $F(1, 946) = 84.14, p < .001, np^2 = .082$ ), and sensory phenotypes, ( $F(4, 946) = 23.53, p < .001, np^2 = .090$ ), but the interaction between diagnostic groups and sensory phenotypes, ( $F(4, 946) = 0.36, p = .834, np^2 = .002$ ), was not statistically meaningful (see Figure 4; Table 2).

Two-way ANOVAs were also conducted on the stereotypy, self-injury, compulsions, and ritualistic-sameness subscales of the RBS-R. Stereotypy and ritualistic-sameness differed across the diagnostic groups, ( $F(1, 946) = 91.68, p < .001, np^2 = .088$ ) and ( $F(1, 946) = 69.58, p < .001, np^2 = .069$ ), respectively, and the sensory phenotypes, ( $F(4, 946) = 18.08, p < .001, np^2 = .071$ ) and ( $F(4, 946) = 21.16, p < .001, np^2 = .082$ ), respectively. All other comparisons were not statistically meaningful (see Figure 5; Table 2). In addition, the internal consistency of the RBS-R was assessed, with Cronbach's  $\alpha = .942$ , indicating excellent internal consistency.



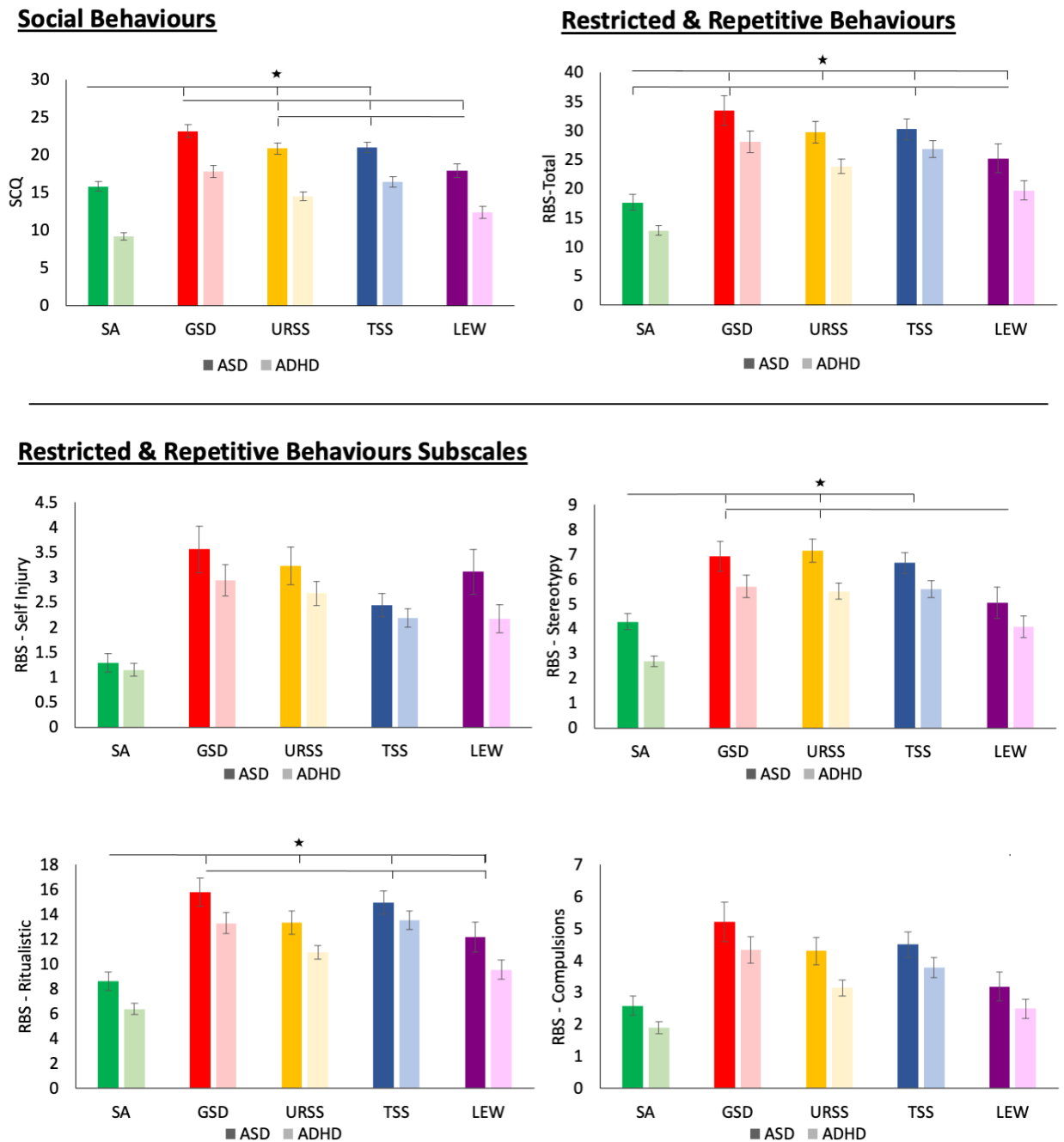
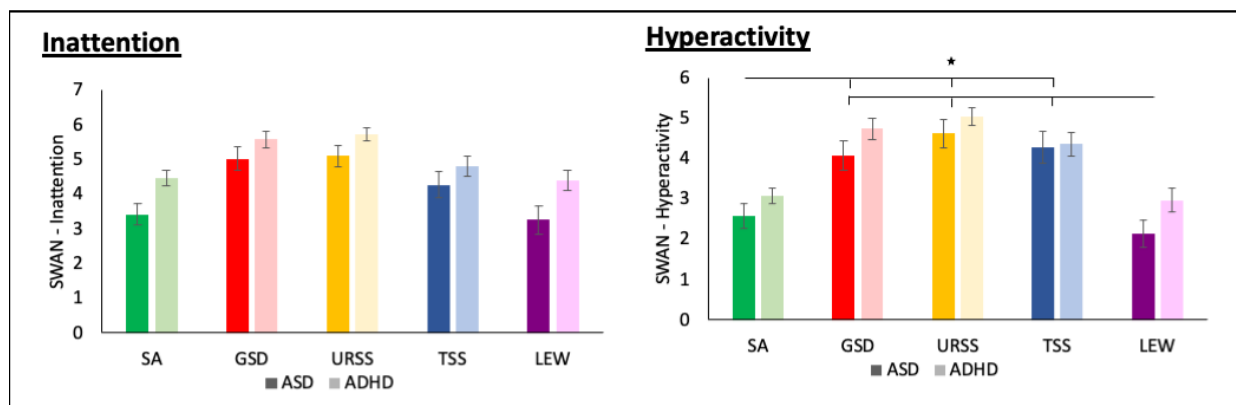


Figure 5: Social Behaviours, measured by the SCQ, and Repetitive Behaviours, measured by the RBS-R, and as a function of sensory phenotype. Error bars indicate standard error of the mean. Higher scores are indicative of more social difficulties on the SCQ, and more repetitive behaviours on the RBS-R. Note: \* indicates significance at  $p < .05$ .

## ADHD Traits

SWAN scores indicated that *inattention* scores did not meaningfully differ across diagnosis, sensory phenotypes, or their interaction. However, *hyperactivity* scores differed across sensory phenotypes, ( $F(1, 802) = 17.05, p < .001, \eta^2 = .078$ ; see Figure 6; Table 2). In addition, the internal consistency of the SWAN was assessed, with Cronbach's  $\alpha = .939$ , indicating excellent internal consistency.



**Figure 6:** ADHD traits (Inattention, Hyperactivity) as measured by the SWAN, as a function of Sensory Phenotype. Higher scores on the SWAN are indicative of more ADHD traits. Error bars indicate standard error of the mean. Note: \* indicates significance at  $p < .05$ .

## Combined Model

Given the similarities in sensory processing patterns across autistic people and people with ADHD in this sample, a k-means cluster analysis was conducted on the combined sample to compare the distribution of autistic people and people with ADHD across the five sensory phenotypes (see Figure 6). For the autistic sample, the GSD phenotype characterized the sensory processing abilities of the fewest number of people (17%), while the largest amount of the

sample was characterized by the SA phenotype (23%). Similarly, for the ADHD sample, the GSD A test of independence indicated that the distribution of autistic people and people with ADHD across the five sensory phenotypes was not meaningfully different, ( $\chi^2(4) = 70.4, p < .001$ , Cramer's  $V = .271$ ); see Figure 6.

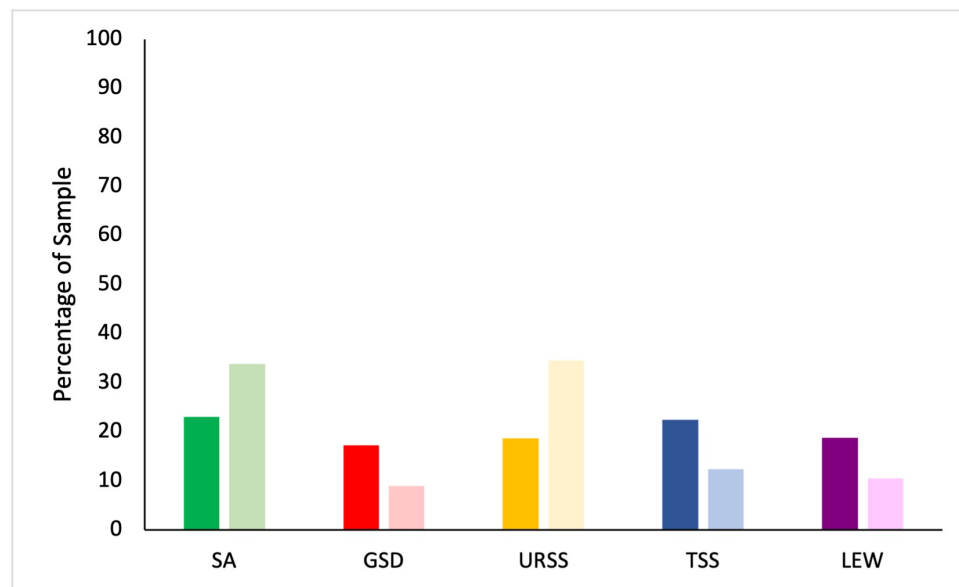


Figure 7: Percentage of the ASD and ADHD samples characterized by each sensory phenotype (SA = sensory adaptive, GSD = generalized sensory difference, URSS = underresponsive sensory seeking, TSS = taste and smell sensitive, LEW = low energy with weakness).

## Discussion

### *The transdiagnostic nature of sensory phenotypes*

The primary aim of the current study was to assess whether data-driven sensory phenotypes originally observed in autism are transdiagnostic in nature. Five sensory phenotypes independently emerged in each diagnostic group that did not meaningfully differ across the diagnostic groups, suggesting sensory processing abilities are in fact transdiagnostic in nature.

Further, the patterns of clinical traits across the sensory phenotypes were similar for autistic people and people with ADHD. Finally, when clustered as a single, transdiagnostic group, people from both diagnostic groups were broadly distributed across phenotypes, suggesting that diagnostic category was not able to reliably predict sensory characteristics. Thus, this research highlights the transdiagnostic nature of sensory processing in ASD and ADHD and suggests that observed differences in sensory processing across diagnoses may be quantitative, rather than qualitative in nature. Further, it suggests that sensory processing in these groups involves a shared underlying neurobiological mechanism and argues for similar practices for studying and supporting sensory processing abilities in these neurodevelopmental conditions.

This research complements a growing body of literature that reveals that the existing diagnostic labels of ASD and ADHD demonstrate poor explanatory power (Baribeau et al., 2015, 2019; Jacobs et al., 2021; Krakowski et al., 2020; Kuskhi et al., 2019, 2021). For example, when considering cognitive features, overlapping cognitive deficits across ASD and ADHD have been identified using latent class analyses (van der Meer et al., 2012). Similar patterns of social perception abilities (Baribeau et al., 2015) and similar social cognitive profiles (Demopoulos et al., 2013) have also been reported across autistic people and people with ADHD, while structural neuroimaging has revealed similarities in the biological substrates of social communication abilities in these same diagnostic groups (Baribeau et al., 2019). Additional structural similarities have been identified across ASD and ADHD by comparing white matter disruption (Ameis et al., 2016) and cortical thickness (Jacobs et al., 2021). Further, twin studies (Ronald et al., 2008) and studies of rare copy number variants (Lionel et al., 2011, 2014) highlight overlapping etiological contributions to ASD and ADHD. In light of emerging evidence that the ASD and ADHD diagnostic categories may not correspond with distinct conditions, focusing on data-driven

profiles of autistic people and people with ADHD, including their sensory abilities, rather than their categorical diagnoses, could allow for more focused interventions that target the sensory domains and behaviours that create the greatest challenges for these people (Genovese & Butler, 2020; Jeste et al., 2014; Scheerer et al., 2021). Given these sensory phenotypes highlight both sensory abilities and difficulties, this approach may facilitate the development of environments that capitalize on sensory abilities, while also supporting sensory difficulties.

### *Sensory phenotype characteristics*

Data-driven phenotypes have been previously used to characterize the sensory abilities of autistic people (Dwyer et al., 2020; Lane et al., 2010, 2011, 2014; Scheerer et al., 2021; Uljarevic et al., 2016). The current results extend these findings by demonstrating that the sensory processing abilities of people with ADHD can also be clustered into five discrete sensory phenotypes. In both the sample of autistic people and people with ADHD, the first two phenotypes to emerge were a *sensory adaptive (SA)* phenotype and a *generalized sensory difference (GSD)* phenotype. People characterized by the SA phenotype were reported by their parents to have typical sensory processing abilities across all sensory domains, except for the auditory filtering domain where they showed probable differences relative to normative data from a non-clinical population (McIntosh et al., 1999). People characterized by the GSD phenotype were described by their parents as having definite differences in sensory processing abilities across all seven sensory domains. Thus, the SA and GSD phenotypes represent the opposite extremes of the spectrum of sensory processing abilities and highlight the fact that autistic people and people with ADHD show a broad range of sensory processing abilities. Three additional sensory phenotypes were identified that demonstrated intermediate patterns of sensory

processing abilities, with some typical, probable, and definite sensory processing difference patterns.

The first of the intermediate phenotypes to emerge was the *under-responsive/sensory seeking (URSS)* phenotype. The URSS phenotype characterized people who were reported to have definite differences in under responsivity and sensory seeking as well as auditory filtering, with probable differences in low energy and weakness, visual and auditory processing, and tactile processing. The *taste and smell sensitivity (TSS)* phenotype characterized people who were described as having definite differences in taste and smell processing, under responsivity and sensory seeking, and auditory filtering, while they were reported to have probable differences with tactile and visual and auditory processing. Lastly, people characterized by the *low energy weakness (LEW)* phenotype were described as having difficulties with low energy and weakness, auditory filtering, and tactile processing. They were also reported to have probable differences with taste and smell processing, movement, and under responsivity and sensory seeking behaviours. While the URSS (Lane et al., 2011; Scheerer et al., 2021), TSS (Lane et al., 2010, 2011, 2014; Scheerer et al., 2021), and LEW (Lane et al., 2014; Scheerer et al., 2021) phenotypes have been previously used to characterize the sensory abilities of autistic people, the current results extend these findings by demonstrating that these same phenotypes can characterize sensory processing abilities of people with ADHD. Given the similarities in the way that the sensory processing abilities clustered across the autistic people and people with ADHD in this study, these results suggest that ASD and ADHD diagnoses may not be associated with unique patterns of sensory processing abilities.

*Relating sensory phenotypes and clinically relevant characteristics*

The sensory processing abilities of autistic people have previously been associated with core ASD features (i.e. restricted and repetitive behaviours and social communication difficulties; Lane et al., 2010; Scheerer et al., 2021; Tillmann et al., 2020) and ADHD traits (i.e., inattention and hyperactivity; Scheerer et al., 2021; Tillmann et al., 2020). Accordingly, both features of ASD and ADHD were found to differ across the five sensory phenotypes characterizing the sample of autistic people. Further, a similar relationship between ASD and ADHD features and sensory phenotypes was also identified in the ADHD sample. Specifically, when considering restricted and repetitive behaviours, the people characterized by the most adaptive sensory processing phenotype (the SA phenotype), were reported to demonstrate the lowest levels of stereotypy and ritualistic behaviours. Similarly, stereotypy was also reported to be lower in people characterized by the LEW phenotype, relative to those characterized by the GSD, and URSS phenotypes. People who demonstrated the highest level of sensory processing difficulties, those characterized by the GSD phenotype, showed the most ritualistic behaviours. These findings are aligned with previous work that reported increased repetitive behaviours in autistic people with more sensory challenges (Tillmann et al., 2020), but highlight a similar association in people with ADHD. A similar pattern emerged for social communication abilities, as people characterized by the SA and LEW phenotypes were reported to have the best social communication abilities, while those characterized by the GSD phenotype were reported to have the most difficulties with social communication, which is again in line with previous reports of autistic people (Tillmann et al., 2020). While the relationship between sensory processing abilities and autistic traits was similar across the two diagnostic groups, autistic people were reported to have more social communication difficulties and restricted and repetitive behaviours overall. Given the association between sensory abilities and autistic traits was similar across both

diagnostic groups, this suggests that this difference is quantitative, but not qualitative in nature. When considering the core ADHD traits of inattention and hyperactivity, overall, these traits were not found to differ across the ASD and ADHD samples. In line with previous findings (Krakowski et al., 2020), although the autistic people in this sample did not have a diagnosis of ADHD, their reported levels of inattention and hyperactivity matched those of the people with ADHD. When considering the relationship with sensory processing abilities, inattention was not found to differ across the five sensory phenotypes. However, hyperactivity was higher for those characterized by the GSD, URSS, and TSS phenotypes, relative to those characterized by the SA and LEW phenotypes. Thus, the sensory processing abilities of autistic people and people with ADHD do not appear to show unique patterns of association with the core ASD or ADHD traits.

Given the transdiagnostic nature of sensory processing in ASD and ADHD, future work should investigate whether these same sensory phenotypes can adequately describe sensory processing differences in other populations that demonstrate sensory processing challenges, such as people with OCD (Dar, Kahn, Carmeli, 2012) and neurodevelopmentally typical children (Dunn et al., 2016) and adults (Ben-Avi, Almagor, & Engel-Yeger, 2012; Pohl, Dunn & Brown, 2003). Further, while the current work identified relationships between sensory processing abilities and current traits, future work should assess whether these phenotypes are also predictive of future behaviours.

## **Limitations**

This study is not without limitations. As the results were based on subjective parent reports of sensory processing and traits associated with ASD and ADHD, this limits the generalizability of the findings. Further, while the SSP is widely used to measure sensory processing in autistic people and people with ADHD, there is limited psychometric evidence of



convergent validity (Williams et al., 2018). In addition, given these data were obtained from a large provincial database, in some instances a subset of the measures were completed for some people.

## **Conclusions**

These results demonstrate that sensory processing abilities in neurodevelopmental conditions are transdiagnostic in nature. Specifically, sensory processing patterns in autistic people and people with ADHD are highly similar. Further these results suggest that sensory processing abilities in autistic people and people with ADHD can be characterized by sensory phenotypes that not only parse the heterogeneity in sensory processing abilities, but are also associated with the same pattern of clinical differences in ASD and ADHD traits. Thus, these results provide support for the notion that the ASD and ADHD diagnostic categories may not correspond to conditions with distinct underlying mechanisms of dysfunction (Baribeau et al., 2019; Insel et al., 2010; Jacobs et al., 2021; Krakowski et al., 2020; Kushki et al., 2019, 2021).

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