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8 9 10	Deep neural networks are more accurate than humans at detecting sexual orientation from facial images
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24	YW and MK collected the data and conducted the analysis; MK wrote the paper.
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31

Abstract

32 We show that faces contain much more information about sexual orientation than can be 33 perceived and interpreted by the human brain. We used deep neural networks to extract features 34 from 35,326 facial images. These features were entered into a logistic regression aimed at 35 classifying sexual orientation. Given a single facial image, a classifier could correctly distinguish 36 between gay and heterosexual men in 81% of cases, and in 74% of cases for women. Human judges achieved much lower accuracy: 61% for men and 54% for women. The accuracy of the 37 38 algorithm increased to 91% and 83%, respectively, given five facial images per person. Facial 39 features employed by the classifier included both fixed (e.g., nose shape) and transient facial 40 features (e.g., grooming style). Consistent with the prenatal hormone theory of sexual 41 orientation, gay men and women tended to have gender-atypical facial morphology, expression, 42 and grooming styles. Prediction models aimed at gender alone allowed for detecting gay males 43 with 57% accuracy and gay females with 58% accuracy. Those findings advance our 44 understanding of the origins of sexual orientation and the limits of human perception. 45 Additionally, given that companies and governments are increasingly using computer vision algorithms to detect people's intimate traits, our findings expose a threat to the privacy and safety 46 47 of gay men and women. 48

49 *Keywords*: sexual orientation, face, facial morphology, prenatal hormone theory,

50 computational social science, big data, privacy, artificial intelligence

52 Deep neural networks are more accurate than humans at detecting sexual orientation from facial
 53 images

54	The science of judging one's character from their facial characteristics, or physiognomy,
55	dates back to ancient China and Greece (Jenkinson, 1997). Aristotle and Pythagoras were among
56	its disciples, and the latter used to select his students based on their facial features (Riedweg,
57	2005). Such beliefs have persisted and grown in popularity over the centuries. Robert FitzRoy,
58	the captain of the Beagle, believed that Darwin's nose revealed a lack of energy and
59	determination, and was close to barring him from the historic voyage (Glaser, 2002). Cesare
60	Lombroso, the founder of criminal anthropology, believed that criminals could be identified by
61	their facial features. He claimed, for example, that arsonists have a "softness of skin, an almost
62	childlike appearance, and an abundance of thick straight hair that is almost feminine"
63	(Lombroso, 1911, p. 51). By the eighteenth century, physiognomy "was not merely a popular fad
64	but also the subject of intense academic debate about the promises it held for future progress"
65	(Porter, 2003, p. 497).
66	Physiognomy is now universally, and rightly, rejected as a mix of superstition and racism
67	disguised as science (Jenkinson, 1997). Due to its legacy, studying or even discussing the links
68	between facial features and character became taboo, leading to a widespread presumption that no
69	such links exist. However, there are many demonstrated mechanisms that imply the opposite.

Such mechanisms can be arranged into three groups. First, there is much evidence that character
can influence one's facial appearance (e.g., Lõhmus, Sundström, & Björklund, 2009; Zebrowitz
& Collins, 1997). For example, women that scored high on extroversion early in life tend to

can alter one's character. Facial appearance drives first impressions of others, influencing our

73

become more attractive with age (Zebrowitz, Collins, & Dutta, 1998). Second, facial appearance

75	expectations and behavior toward them, which, in turn, shapes their character (Berry, 1991;
76	Berry & Brownlow, 1989; Penton-Voak, Pound, Little, & Perrett, 2006; Todorov, Said, Engell, &
77	Oosterhof, 2008; Zebrowitz & Collins, 1997; Zebrowitz et al., 1998). Good-looking people, for
78	example, receive more positive social feedback, and thus tend to become even more extroverted
79	(Lukaszewski & Roney, 2011). Finally, there is a broad range of factors affecting both facial
80	appearance and one's traits. Those include pre- and post-natal hormonal levels (Jones et al.,
81	2015; Lefevre, Lewis, Perrett, & Penke, 2013; Whitehouse et al., 2015), developmental history
82	(Astley, Stachowiak, Clarren, & Clausen, 2002), environmental factors, and gene expression
83	(Ferry et al., 2014). Testosterone levels, for instance, significantly affect both: behavior (e.g.,
84	dominance) and facial appearance (e.g., facial-width-to-height-ratio; Lefevre et al., 2014).
85	The existence of such links between facial appearance and character is supported by the
86	fact that people can accurately judge others' character, psychological states, and demographic
87	traits from their faces (Zebrowitz, 1997). For example, we can easily and accurately identify
88	others' gender, age, race, or emotional state-even from a glimpse of their faces (Brown &
89	Perrett, 1993; Macrae & Bodenhausen, 2000; Roberts & Bruce, 1988). People also judge, with
90	some minimal accuracy, others' political views (e.g., Rule & Ambady, 2010; Samochowiec,
91	Wänke, & Fiedler, 2010), honesty (e.g., Bond, Berry, & Omar, 1994), personality (e.g.,
92	Borkenau, Brecke, Möttig, & Paelecke, 2009), sexual orientation (e.g., Rule & Ambady, 2008),
93	or even the likelihood of winning an election (e.g., Ballew & Todorov, 2007; Little, Burriss,
94	Jones, & Roberts, 2007; Todorov, Mandisodza, Goren, & Hall, 2005). Such judgments are not
95	very accurate, but are common and spontaneous. Importantly, the low accuracy of humans when
96	judging character from others' faces does not necessarily mean that relevant cues are not
97	prominently displayed. Instead, people may lack the ability to detect or interpret them. It is

98 possible that some of our intimate traits are prominently displayed on the face, even if others 99 cannot perceive them. Here, we test this hypothesis using modern computer vision algorithms. 100 Recent progress in AI and computer vision has been largely driven by the widespread 101 adoption of deep neural networks (DNN), or neural networks composed of a large number of 102 hidden layers (LeCun, Bengio, & Hinton, 2015). DNNs mimic the neocortex by simulating large, 103 multi-level networks of interconnected neurons. DNNs excel at recognizing patterns in large, 104 unstructured data such as digital images, sound, or text, and analyzing such patterns to make 105 predictions. DNNs are increasingly outperforming humans in visual tasks such as image 106 classification, facial recognition, or diagnosing skin cancer (Esteva et al., 2017; LeCun et al., 107 2015; Lu & Tang, 2014). The superior performance of DNNs offers an opportunity to identify 108 links between characteristics and facial features that might be missed or misinterpreted by the 109 human brain.

110 We tested our hypothesis on a specific intimate trait: sexual orientation. We chose this 111 trait for three main reasons. First, it is an intimate psycho-demographic trait that cannot be easily 112 detected by others. While people can detect others' sexual orientation from both neutral and 113 expressive faces (Rule & Ambady, 2008; Tskhay & Rule, 2015), or even from a single facial 114 feature such as the mouth, eyes, or hair (Lyons, Lynch, Brewer, & Bruno, 2014; Rule, MacRae, 115 & Ambady, 2009), the accuracy of such judgments is very limited, ranging from 55 to 65% 116 (Ambady, Hallahan, & Conner, 1999; Lyons et al., 2014; Rule et al., 2009). The links between 117 facial features and sexual orientation, however, may be stronger than what meets the human eye.

118 Recent evidence shows that gay men and lesbians,¹ who arguably have more experience and

119 motivation to detect the sexual orientation of others, are marginally more accurate than

120 heterosexuals (Brambilla, Riva, & Rule, 2013).

121 Second, the widely accepted prenatal hormone theory (PHT) of sexual orientation 122 predicts the existence of links between facial appearance and sexual orientation. According to the 123 PHT, same-gender sexual orientation stems from the underexposure of male fetuses or 124 overexposure of female fetuses to androgens that are responsible for sexual differentiation (Allen 125 & Gorski, 1992; Jannini, Blanchard, Camperio-Ciani, & Bancroft, 2010; Udry & Chantala, 126 2006). As the same and rogens are responsible for the sexual dimorphism of the face, the PHT 127 predicts that gay people will tend to have gender-atypical facial morphology (Bulygina, 128 Mitteroecker, & Aiello, 2006; Rhodes, 2006; Whitehouse et al., 2015). According to the PHT, 129 gay men should tend to have more feminine facial features than heterosexual men, while lesbians 130 should tend to have more masculine features than heterosexual women. Thus, gay men are 131 predicted to have smaller jaws and chins, slimmer eyebrows, longer noses, and larger foreheads; 132 the opposite should be true for lesbians. Furthermore, as prenatal androgen levels also drive the 133 sexual differentiation of behaviors and preferences during adulthood (Meyer-Bahlburg, 1984; 134 Udry, 2000), the PHT predicts that gay people may tend to adopt gender-atypical facial 135 adornments, expressions, and grooming styles. Such gender-atypical behaviors and preferences

¹ Following the APA's recommendation, the term "gay" is used to refer to same-gender sexual orientation.

might also be encoded in gay culture, further amplifying the effect of the prenatal androgenlevels.

138 Previous empirical evidence provides mixed support for the gender typicality of facial 139 features of gay men and women. Huges and Bremme (2011) studied a sample of 60 images of 140 gay men and concluded that gay men had, on average, more feminine facial features. Lyons et al. 141 (2014) asked 120 human judges to rate the masculinity and femininity of 80 faces of men and 142 women. They found that on average, heterosexual women and gay men were rated as more 143 feminine and less masculine than lesbians and heterosexual men. However, Skorska, Geniole, 144 Vrysen, McCormick, and Bogaert (2015) used a sample of 390 photographs of men and women, 145 and found that both lesbians and gay men had more masculine faces than heterosexual women 146 and men, respectively. Valentova, Kleisner, Havlíček, and Neustupa (2014, p. 353) used a sample 147 of facial images of 40 gay and 40 heterosexual men, and found that on average, gay men had 148 relatively wider and shorter faces, smaller and shorter noses, and larger and more rounded jaws, 149 or "a mosaic of both feminine and masculine features." Such mixed findings might be attributed 150 to the difficulty of precisely defining and measuring facial femininity. They might also be 151 attributed to the fact that the difference between gay and heterosexual faces may be too subtle to 152 be reliably detected in the small samples employed in these studies. This study aims to address 153 those limitations by using a much larger sample size and data-driven methods, including an 154 algorithm-based measure of facial femininity.

Finally, the predictability of sexual orientation could have serious and even lifethreatening implications to gay men and women and the society as a whole. In some cultures, gay men and women still suffer physical and psychological abuse at the hands of governments, neighbors, and even their own families. Perhaps due to discrimination and stigmatization, gay

159 people are also at a higher risk of depression, suicide, self-harm, and substance abuse (King et 160 al., 2008). Consequently, their well-being and safety may depend on their ability to control when 161 and to whom to reveal their sexual orientation. Press reports suggest that governments and 162 corporations are developing and deploying face-based prediction tools aimed at intimate psycho-163 demographic traits, such as the likelihood of committing a crime, or being a terrorist or 164 pedophile (Chin & Lin, 2017; Lubin, 2016). The laws in many countries criminalize same-165 gender sexual behavior, and in eight countries-including Iran, Mauritania, Saudi Arabia, and 166 Yemen—it is punishable by death (UN Human Rights Council, 2015). It is thus critical to inform 167 policymakers, technology companies and, most importantly, the gay community, of how accurate 168 face-based predictions might be.

169 This work examines whether an intimate psycho–demographic trait, sexual orientation, is 170 displayed on human faces beyond what can be perceived by humans. We address this question 171 using a data-driven approach. A DNN was used to extract features from the facial images of 172 35,326 gay and heterosexual men and women. These features were entered (separately for each 173 gender) as independent variables into a cross-validated logistic regression model aimed at 174 predicting self-reported sexual orientation. The resulting classification accuracy offers a proxy 175 for the amount of information relevant to the sexual orientation displayed on human faces. We 176 also explore the features employed by the classifier and examine whether, as predicted by the 177 PHT, the faces of gay men and women tend to be gender atypical. Furthermore, we compare the 178 accuracy of the computer algorithm with that of human judges. Human accuracy does not only 179 provide a baseline for interpreting the algorithm's accuracy, but it also helps to examine whether 180 the nonstandardized facial images used here are not more revealing of sexual orientation than 181 standardized facial images taken in a controlled environment. Finally, using an independent

182 sample of gay men's facial images, we test the external predictive validity of the classifier183 developed here.

184

Study 1a: Using Deep Neural Network to Detect Sexual Orientation

185 In Study 1a, we show that a DNN can be used to identify sexual orientation from facial 186 images. Previous studies linking facial features with sexual orientation used either images of neutral² faces taken in a laboratory (e.g., Skorska et al., 2015; Valentova et al., 2014) or self-187 188 taken images obtained from online dating websites (e.g., Hughes & Bremme, 2011; Lyons et al., 189 2014; Rule & Ambady, 2008; Rule, Ambady, Adams, & Macrae, 2008). We employed the latter 190 approach, as such images can be collected in large numbers, from more representative samples, 191 and at a lower cost (from the perspective of both the participants and researchers). Larger and 192 more representative samples, in turn, enable the discovery of phenomena that might not have 193 been apparent in the smaller, lab-based samples. Additionally, using self-taken, easily accessible 194 digital facial images increases the ecological validity of our results, which is particularly 195 important given their critical privacy implications.

Images taken and uploaded by the participants have a number of potential drawbacks.They may vary in quality, facial expression, head orientation, and background. Furthermore,

² We believe that no face can be truly "neutral." People may systematically differ in the expression that they adopt when instructed to "adopt a neutral expression." Furthermore, even an image of a perfectly neutral face (e.g., taken under anesthesia) would still contain traces of typically adopted expressions (e.g., laugh lines), grooming style (e.g., skin health), and one's environment (e.g., tan).

198 given that they were originally posted on a dating website, they might be especially revealing of 199 sexual orientation. We take several steps to mitigate the influence of such factors. First, the facial 200 features are extracted using a DNN that was specifically developed to focus on non-transient 201 facial features, disregarding the head's orientation and the background. Second, Study 1b 202 investigates the areas of the face employed by the classifier and shows that the classifier focuses 203 on the face and does not rely on the background. Third, Studies 1c and 2 explore the facial 204 features used by the classifier and shows that they are consistent with the theory (PHT). Fourth, 205 Studies 3 and 4 show that the images used here were not substantially more revealing of sexual 206 orientation than images of neutral faces taken in a controlled setting or images obtained from 207 Facebook.

208 Methods

Facial images. We obtained facial images from public profiles posted on a U.S. dating website. We recorded 130,741 images of 36,630 men and 170,360 images of 38,593 women between the ages of 18 and 40, who reported their location as the U.S. Gay and heterosexual people were represented in equal numbers. Their sexual orientation was established based on the gender of the partners that they were looking for (according to their profiles).

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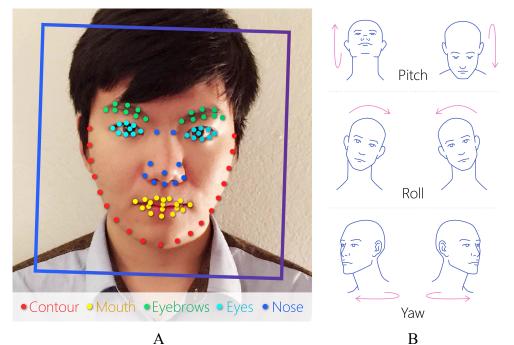


Figure 1. Graphical illustration of the outcome produced by Face++. Panel A illustrates facial
landmarks (colored dots, n=83) and facial frame (blue box). Panel B illustrates pitch, roll, and
yaw parameters that describe the head's orientation in space.

219

The location of the face in the image, outlines of its elements, and the head's orientation were extracted using a widely used face-detection software: Face++.³ Figure 1 shows the output of Face++ in a graphical format. The colored dots (Panel A) indicate the location of the facial landmarks outlining the contour and elements of the face. Additionally, Face++ provided the estimates of the head's yaw, pitch, and roll (Panel B).

Based on the Face++ results, we removed images containing multiple faces, partially
hidden faces (i.e., with one or more landmarks missing), and overly small faces (i.e., where the

³ Face++ can be accessed at <u>http://www.faceplusplus.com</u>.

distance between the eyes was below 40 pixels). We also removed faces that were not facing the

228 camera directly (i.e., with a yaw greater than 15 degrees and a pitch greater than 10 degrees).

229

- 230 Table 1
- 231 Frequencies of Users and Facial Images, and the Age Distribution in the Final Sample Used in
- 232 Study 1

	Men		W	omen
	Gay	Heterosexual	Lesbian	Heterosexual
Unique users	3,947	3,947	3,441	3,441
Median age (IQR)	33 (30–36)	33 (30–36)	29 (25–34)	29 (25–34)
Total images	8,996	8,645	7,457	10,228
Users with at least:				
1 image	3,947	3,947	3,441	3,441
2 images	2,438	2,439	2,878	2,037
3 images	1,363	1,367	1,951	1,058
4 images	562	731	1,114	494
5 images	219	327	491	223

233 *Note.* IQR stands for interquartile range.

Next, we employed Amazon Mechanical Turk (AMT) workers to verify that the faces
were adult, Caucasian, fully visible, and of a gender that matched the one reported on the user's
profile. We limited the task to the workers from the U.S., who had previously completed at least
1,000 tasks and obtained an approval rate of at least 98%. Only faces approved by four out of six
workers were retained. See Figure S1 for the instructions presented to the workers.
Finally, we randomly removed some users to balance the age distribution of the sexual

241 orientation subsamples and their size—separately for each gender. The final sample contained

242 35,326 facial images of 14,776 gay and heterosexual (50/50%) men and women (53/47%; see

²³⁴

Table 1 for details). Facial images were cropped using the facial frame provided by Face++ (the blue box in Figure 1), and resized to 224 x 224 pixels.

245 Extracting facial features using a deep neural network. Facial features were extracted 246 from the images using a widely employed DNN, called VGG-Face (Parkhi, Vedaldi, & 247 Zisserman, 2015). VGG-Face was originally developed (or *trained*) using a sample of 2.6 million 248 images for the purpose of facial recognition (i.e., recognizing a given person across different 249 images). VGG-Face is similar to traditional scoring keys accompanying psychometric tests. A 250 traditional scoring key can be used to convert responses to test questions into one or more 251 psychometric scores, such as a single IQ score, or a set of five Big Five personality scores. VGG-252 Face translate a facial image into 4,096 scores subsuming its core features. Unfortunately, unlike 253 psychometric scores, VGG-Face scores are not easily interpretable. A single score might 254 subsume differences in multiple facial features typically considered to be distinct by humans 255 (e.g., nose shape, skin tone, or eye color).

256 VGG-Face offers two main advantages in the context of this study. First, successful facial 257 recognition depends on the DNN's ability to detect facial features that are unlikely to vary across 258 images. Thus, VGG-Face aims at representing a given face as a vector of scores that are as 259 unaffected as possible by facial expression, background, lighting, head orientation, image 260 properties such as brightness or contrast, and other factors that can vary across different images 261 of the same person. Consequently, employing VGG-Face scores enabled us to minimize the role 262 of such transient features when distinguishing between gay and heterosexual faces. Second, 263 employing a DNN trained on a different sample and for a different purpose, reduces the risk of 264 overfitting (i.e., discovering differences between gay and heterosexual faces that are specific to

265 our sample rather than universal). We also tried training a custom DNN directly on the images in 266 our sample; its accuracy was somewhat higher, but it exposed us to the risk of overfitting. 267 **Training classifiers.** We used a simple prediction model, logistic regression, combined 268 with a standard dimensionality-reduction approach: singular value decomposition (SVD). SVD is 269 similar to principal component analysis (PCA), a dimensionality-reduction approach widely used 270 by social scientists. The models were trained separately for each gender. 271 Self-reported sexual orientation (gay/heterosexual) was used as a dependent variable; 272 4,096 scores, extracted using VGG-Face, were used as independent variables. To prevent 273 overfitting, we used a 20-fold cross-validation when estimating the predictions. The sample was 274 split into 20 subsamples; one of the subsamples (test set) was put aside, while the remaining 19 275 subsamples (training sets) were used to train the prediction model. As the number of independent 276 variables was relatively large (4,096) when compared with the number of number of cases (7,083 in the smallest training set), we used SVD to extract n=500 dimensions⁴ from the independent 277 278 variables. This helped to reduce the number of independent variables and eliminate redundant 279 information. A logistic regression model was trained to classify sexual orientation (a dependent 280 281 variable) using 500 singular values extracted from VGG-Face scores (independent variables). 282 Least absolute shrinkage and selection operator (LASSO; Hastie, Tibshirani, & Friedman, 2009)

283 was used for variable selection and regularization when training the regression model. The

⁴ Dimensions extracted by SVD are referred to as singular values; they are an equivalent of principal components in the context of PCA.

284 LASSO penalty parameter α was set to 1; the regularization parameter λ was automatically 285 estimated using 10-fold cross-validation.

Finally, the model built on the training set, combining the SVD dimensionality reduction and logistic regression, was used to predict the sexual orientation of the participants in the test set. This procedure was repeated 20 times to assign a probability (ranging from 0 to 1) of being gay to all images in the sample.

For many users, more than one facial image was available. This enabled us to examine how the accuracy changes with the number of facial images available. To produce an aggregate probability of being gay based on *n* images, the probabilities associated with a randomly selected set of *n* images (ranging from 1 to 5) of a given participant were averaged.⁵ Thus, a participant with three facial images was described by three probabilities of being gay: one based on a single randomly selected image, one based on two randomly selected images, and one based on all three images.

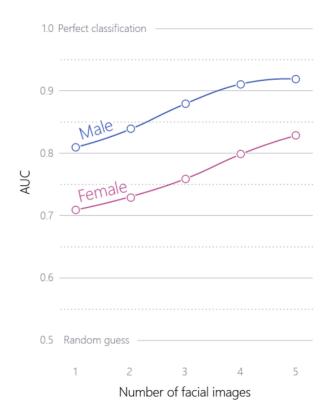
297 Results

The accuracy of predicting sexual orientation from facial images is presented in Figure 2. Across this paper, the accuracy is expressed using the area under receiver operating characteristic curve (AUC) coefficient. AUC represents the likelihood of a classifier being correct when presented with the faces of two randomly selected participants—one gay and one heterosexual.

⁵ Logit transformation is used whenever the probabilities are averaged in this work. This means that the probabilities are logit transformed and averaged, and the resulting values are converted back into probabilities using an inverse-logit transformation.

The AUC = .50 (or 50%) indicates that the classifier is correct only half of the time, which is no better than a random draw. The AUC = 1.00 (or 100%) indicates that the classifier is always correct. AUC is an equivalent of the Wilcoxon signed-rank test coefficient, used more widely in social sciences.

Among men, the classification accuracy equaled AUC = .81 when provided with one image per person. This means that in 81% of randomly selected pairs—composed of one gay and one heterosexual man—gay men were correctly ranked as more likely to be gay. The accuracy grew significantly with the number of images available per person, reaching 91% for five images. The accuracy was somewhat lower for women, ranging from 71% (one image) to 83% (five images per person).



312

313 Figure 2. The accuracy of the DNN-based sexual orientation classifier against the number of

314 images used in the classification.

316	Study 1b: Elements of the Facial Image Employed by the Classifier
317	The high accuracy of the classifier developed in Study 1a indicates that facial images
318	contained much information related to sexual orientation, and that much of this was captured by
319	the facial features extracted using the VGG-Face. This section examines which parts of the facial
320	image enabled the classification. We address this question by masking parts of a facial image and
321	measuring the degree to which the prediction has changed. If a given area of the image is
322	important to the classifier, masking it is likely to significantly alter the prediction (and vice
323	versa).
324	Methods
325	Facial images. The results were produced separately for each gender. Facial images of
326	100 male and 100 female users were randomly drawn from the sample used in Study 1a. The
327	faces were adjusted to ascertain that a given facial feature (e.g., the mouth) was in exactly the
328	same place in all of the images. This was achieved by warping images (using piecewise linear 2D
329	transformation) to align them along nine landmarks (the left and right eye corners, left and right
330	mouth corners, nose tip, and left and right nose corners).
331	Sexual orientation classifier. We used the remaining images from Study 1a to train the
332	sexual orientation classifiers (separately for men and women) following the procedure described
333	in Study 1a.
334	Analysis. We used the sexual orientation classifiers to estimate the probability of being
335	gay for the faces in the samples used here. Next, an area of 7 x 7 pixels in the top-left corner was
336	masked in all 100 images and the probability of being gay was estimated again. The procedure
337	was repeated 1,024 times while sliding the mask across the grid covering the entire image,

338 composed of 32 x 32 squares (each sized at 7 x 7 pixels). The average absolute change in the

probability of being gay, resulting from masking a given area of the image, was used as a proxyfor the importance of a given area to the prediction of sexual orientation.

341 Results

342 The results are presented in Figure 3 as heat maps showing the degree to which masking 343 a given part of an image changes the classification outcome. The color scale ranges from blue 344 (no change) to red (substantial change). Heat maps reveal that, for both genders, classification 345 mainly relied on the facial area and ignored the background. The most informative facial areas 346 among men included the nose, eyes, eyebrows, cheeks, hairline, and chin; informative areas 347 among women included the nose, mouth corners, hair, and neckline. The heat maps are not 348 symmetrical because duplicated facial features, such as eyes, may prompt the classifier to focus 349 on only one of them and ignore the other as redundant.

350 The results presented here confirm that the VGG-Face scores extracted here focus on the facial

351 features rather than on other parts of the image.

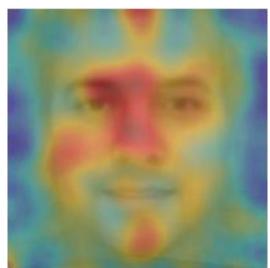




Figure 3. Heat maps showing the degree to which masking a given part of an image changes the
(absolute) classification outcome, which is a proxy for the importance of that region in

354	classification. The color scale ranges from blue (no change) to red (substantial change). The
355	color-coded squares were smoothed using 2D Gaussian filtering.
356	Study 1c: Facial Features Predictive of Sexual Orientation
357	Having established that the classification is based on facial features (as opposed to other
358	elements of the image), we turn our attention to the differences between gay and heterosexual
359	faces that enabled the classification. We examine this question by aggregating images classified
360	as most and least likely to be gay in Study 1a.
361	Methods
362	Facial images. The results were produced separately for each gender. We used facial
363	images and accompanying probabilities of being gay from Study 1a and retained those
364	containing faces facing the camera directly (the head's pitch and yaw, as estimated by Face++,
365	was lower than two degrees). Next, we selected a subset of images classified as most likely to be
366	gay and a subset of images classified as least likely to be gay. We used subsets of 500 images per
367	set to generate average landmarks' locations and 100 images per set to generate composite faces.
368	Average landmarks' location. The distances between facial landmarks, extracted using
369	Face++ (see Figure 1), were normalized by setting the distance between the pupils to 1. The
370	faces were centered and rotated to align the eyes horizontally, and the landmark coordinates were
371	averaged.
372	Composite face. To obtain clearer composite faces, the images were warped using a
373	piecewise linear 2D transformation along the average location of Face++ landmarks (the pixels
374	of each image were transformed using bi-cubic interpolation). The values of corresponding
375	pixels were averaged across images to produce composite faces.

376 Results

Figure 4 shows the average landmark locations and aggregate appearance of the faces classified as most and least likely to be gay. Consistent with the PHT, gay faces tended to be gender atypical. Average landmark locations revealed that gay men had narrower jaws and longer noses, while lesbians had larger jaws. Composite faces suggest that gay men had larger foreheads than heterosexual men, while lesbians had smaller foreheads than heterosexual women. The differences between the outlines of faces and facial features of gay and heterosexual individuals are further explored in Study 3.

384 The gender atypicality of gay faces extended beyond morphology. Gay men had less 385 facial hair, suggesting differences in androgenic hair growth, grooming style, or both. They also 386 had lighter skin, suggesting potential differences in grooming, sun exposure, and/or testosterone levels.⁶ Lesbians tended to use less eve makeup, had darker hair, and wore less revealing clothes 387 388 (note the higher neckline), indicating less gender-typical grooming and style. Furthermore, 389 although women tend to smile more in general (Halberstadt, Hayes, & Pike, 1988), lesbians 390 smiled less than their heterosexual counterparts. Additionally, consistent with the association 391 between baseball caps and masculinity in American culture (Skerski, 2011), heterosexual men

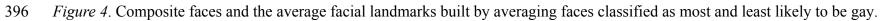
⁶ Male facial image brightness correlates 0.19 with the probability of being gay, as estimated by the DNN-based classifier. While the brightness of the facial image might be driven by many factors, previous research found that testosterone stimulates melanocyte structure and function leading to a darker skin. (This is also why males tend to have darker skin than females in a given population; Glimcher, Garcia, & Szabó, 1978; Jablonski & Chaplin, 2000).

- 392 and lesbians tended to wear baseball caps (see the shadow on their foreheads; this was also
- 393 confirmed by a manual inspection of individual images). The gender atypicality of the faces of
- 394 gay men and lesbians is further explored in Study 2.

Composite heterosexual faces Composite gay faces Average facial landmarks • gay • straight

Male

Female



397	23 Study 2: Gender Atypicality of Gay People's Faces
398	The qualitative analysis of the composite faces and average landmarks' locations for gay
399	and heterosexual faces presented in Study 1c suggest that the faces of gay men and lesbians tend
400	to be gender atypical. We test this hypothesis by using a data-driven measure of facial
401	femininity: the DNN-based gender classifier.
402	Methods
403	Facial images. We used facial images and accompanying probabilities of being gay
404	estimated in Study 1a.
405	Facial femininity. We measured facial femininity by using a gender classifier that
406	assigns a probability of being female to each facial image. This gender classifier was developed
407	on an independent sample of 2,891,355 facial images of Facebook users obtained from the
408	myPersonality.org project (Kosinski, Matz, Gosling, Popov, & Stillwell, 2015). We used the
409	same approach to preprocess facial images and train the classifier, as described in Study 1a. This
410	time, however, we used gender as the dependent variable. This gender classifier was applied to
411	all facial images in the sample used in Study 1a. The accuracy of this classifier, when predicting
412	gender, equaled AUC = $.98$.
413	Results
414	The results show that the faces of gay men were more feminine and the faces of lesbians
415	were more masculine than those of their respective heterosexual counterparts. Among men, the
416	data-driven measure of facial femininity positively correlated with the probability of being gay (r

417	= 0.20; p<.001; 95% CI [0.19, 0.21]). ⁷ The opposite was true for women (r = -0.21; p<.001; 95%
418	CI [-0.21, -0.20]).
419	Facial femininity alone allowed for classifying gay and heterosexual faces with some
420	accuracy: $AUC = .57$ for men and $AUC = .58$ for women (based on one facial image).
421	Study 3: Morphology-Based Classifier
422	Study 1c shows the differences between the outlines of faces and facial features of gay
423	and heterosexual individuals. The current study shows that such basic non-transient
424	morphological features, such as the outline of the nose or facial contour, provide enough
425	information to accurately classify sexual orientation.
426	Methods
427	Facial images. We used the same sample as in Study 1a.
428	Extracting morphological features. We extracted morphological features from the
429	coordinates of the 83 landmarks outlining important facial features provided by Face++ (see
430	Figure 1). To subsume the shape of a given facial feature, such as the nose, we computed
431	Euclidean distances between the landmarks belonging to that feature. For example, as there are
432	10 landmarks outlining the nose (see Figure 1), its morphology was subsumed by a vector of 10
433	x 9 = 90 Euclidean distances. To account for the differing sizes of the faces in facial images, the
434	distances were normalized by dividing them by the distance between the pupils.

⁷ Pearson product-moment correlation was used. Probabilities were logit transformed.

This approach was applied to the following facial elements: nose, eyes, eyebrows, mouth, contour of the face, and entire face (see Figure 1 for the mapping between landmarks and facial elements).

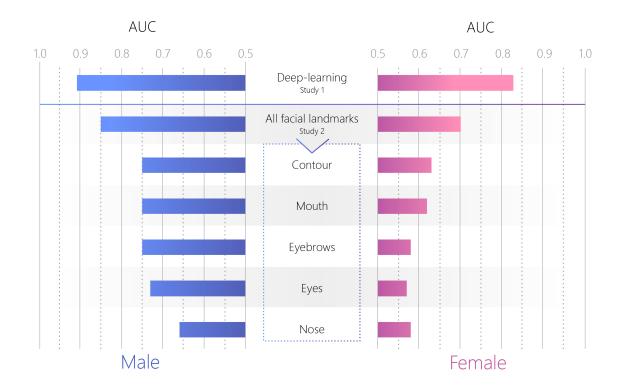
Training classifiers. The classifiers were trained, separately for each facial element and for all facial landmarks combined, following a procedure similar to the one used in Study 1a. Here, however, we used Euclidean distances instead of the VGG-Face scores as independent variables. If the number of distances describing a given facial element was higher than 500, we used SVD to reduce their number to 500 (in the same way as SVD was used to reduce the number of VGG-Face scores in Study 1a).

444 **Results**

445 The accuracies of the landmark-based classifiers based on five images per person are 446 presented in Figure 5. The results show that the shape of individual facial elements enabled high 447 classification accuracy for both genders. A notably high accuracy was provided by facial contour 448 alone (red landmarks in Figure 1): 75% for men and 63% for women. This provides additional 449 support for the link between jaw shape between gay and heterosexual faces observed in Study 1c 450 (see Figure 4). While the outline of the eyes, eyebrows, and mouth is—to some extent—affected 451 by facial expression and grooming, facial contour is relatively inflexible, emphasizing the 452 predictive power of fixed morphological traits.

The high performance of the contour-based classifiers, and fair performance of the nosebased ones, suggest that the shape of these (relatively fixed) facial elements is sufficient to detect sexual orientation. Overall, the performance of the landmark-based classifiers is remarkable given how little information from the original image is retained in the landmarks' locations.

457



458

Figure 5. The accuracy of the landmark-based classifiers, when provided with five images per
person. The accuracy of the DNN-based classifier trained in Study 1a is displayed on top of the
figure for comparison.

462

Study 4: Human Judges

463 Study 1a shows that sexual orientation can be accurately determined from non464 standardized facial images using a DNN. Study 3 shows that even the most basic non-transient
465 morphological features, such as the shape of the contour of the face, provide sufficient
466 information to predict sexual orientation. It is possible, however, that facial images posted on a
467 dating website are particularly revealing of sexual orientation. Perhaps the users selected the
468 photos that their desired partners might find the most appealing.

469	We tested this hypothesis by employing a sexual orientation classifier of known accuracy:
470	human judges. ⁸ We show that the accuracy of the human judges, who were presented with the
471	facial images employed in Study 1a, does not differ from the human judges' accuracy reported in
472	the previous studies employing both: standardized images taken in the lab and dating website
473	profile pictures.
474	Methods
475	Facial images. The 35,326 faces from Study 1a were randomly paired, resulting in
476	50,000 pairs for each gender (each face could be assigned to multiple pairs).
477	Human judges. We employed AMT workers from the U.S., who had previously
478	completed at least 1,000 tasks and obtained an approval rate of at least 98%. They were asked to
479	select the facial image more likely to represent a gay (or, in half of the cases, heterosexual)
480	person from two, randomly ordered, facial images (one belonging to a gay and one to a
481	heterosexual individual). Note that the accuracy of human judges on a task designed in this way
482	is an equivalent of the AUC coefficient used to express the algorithms' accuracy. The instructions
483	presented to the workers are shown in Figure S2.
484	Results
485	Human judges achieved an accuracy of AUC=.61 for male images and AUC=.54 for
486	female images. This is comparable with the accuracy obtained in the previous studies, which
487	ranged from approximately 55 to 65% (Ambady et al., 1999; Lyons et al., 2014; Rule et al.,

⁸ We also considered applying the DNN-based classifier to the samples used in previous studies. We could not, however, convince their authors to share their samples with us.

2009). It is also compatible with the findings of Study 1a, which show that female faces are less
revealing of sexual orientation. Finally, it demonstrates that the facial images used in our study
were not unusually revealing of sexual orientation (at least to humans).
Study 5: Beyond Dating Website Facial Images
This study shows that the accuracy of the DNN-based classifier trained in Study 1a is not
limited to facial images collected on a dating website, but could also correctly classify facial
images recorded in a different environment: Facebook.
Methods
Facial images. We obtained a sample of 14,438 facial images of 6,075 openly gay men
from the myPersonality database (Kosinski et al., 2015). Gay males were identified using two
variables. First, we used the Facebook Audience Insights platform ⁹ to identify 50 Facebook
Pages most popular among gay men, including Pages such as: "I love being Gay," "Manhunt,"
"Gay and Fabulous," and "Gay Times Magazine." Second, we used the "interested in" field of
users' Facebook profiles, which reveals the gender of the people that a given user is interested in.
Males that indicated an interest in other males, and that liked at least two out of the
predominantly gay Facebook Pages, were labeled as gay. Among the gay men identified in this
way, and for whom relationship data was available, 96% reported that their significant other was
male. Unfortunately, we were not able to reliably identify heterosexual Facebook users.
Those images were preprocessed and their VGG-Face scores extracted using the
procedure described in Study 1a. The final sample contained n=918 facial images of unique
users, characterized by an average age of 30 and interquartile range of [27-34]. This sample was

⁹ https://www.facebook.com/ads/audience-insights

509 matched with two subsamples (of gay and heterosexual males) of facial images used in Study 1a.

510 Those subsamples matched the Facebook sample in both size and age distribution.

511 Results

512 We applied the classifier trained in Study 1a (employing the VGG-Face scores as an 513 independent variable) to distinguish between the faces of male gay Facebook users, male 514 heterosexual dating-website users, and male gay dating-website users. The classifier could 515 accurately distinguish between gay Facebook users and heterosexual dating-website users in 516 74% of cases, but was virtually unable to distinguish between gay Facebook users and gay 517 dating-website users (53%). This demonstrates that the classifier trained in Study 1a can 518 correctly identify facial images of gay men obtained in a different environment. It also shows 519 that this classifier is largely insensitive to the origin of the image, as it was unable to distinguish 520 between gay Facebook users and gay dating website users.

521

General Discussion

522 The findings reported in this work show that our faces contain more information about 523 sexual orientation than can be perceived or interpreted by the human brain. Study 1a showed that 524 facial features extracted by a DNN can be used to accurately identify the sexual orientation of 525 both men and women. Study 1b showed that the predictions are based on the facial area and not 526 the background. Study 1c revealed that the faces of gay men and lesbians had gender-atypical 527 features, as predicted by the PHT. This was corroborated by the results of Study 2 showing that 528 the probability of being gay was positively correlated with facial femininity among males and 529 negatively correlated with female facial femininity. The high accuracy of the classifier based on 530 the shape of facial elements, presented in Study 3, confirmed that much of the information about 531 sexual orientation is retained in fixed facial features, such as the facial contour or shape of the

nose. Study 4 revealed that the non-standardized facial images used in Study 1a were not especially revealing of sexual orientation—at least to human judges, whose accuracy was the same as in previous studies, some of which employed images of neutral faces taken in a carefully controlled environment. Study 5 further corroborated these results by showing that the DNNbased classifier developed in Study 1a performs similarly when presented with facial images of gay men collected in a different environment.

538 Our results provide strong support for the PHT, which argues that same-gender sexual 539 orientation stems from the underexposure of male fetuses and overexposure of female fetuses to 540 prenatal androgens responsible for the sexual differentiation of faces, preferences, and behavior 541 (Allen & Gorski, 1992; Jannini et al., 2010; Udry & Chantala, 2006). Consistent with the 542 predictions of the PHT, gay men's and gay women's faces were gender atypical—in terms of 543 both fixed (e.g., nose shape) and transient facial features (e.g., grooming style). Some of the 544 differences between gay and heterosexual individuals, such as the shape of the nose or jaw, are 545 most likely driven by developmental factors. In other cases, nature and nurture are likely to be as 546 intertwined as in many other contexts. For example, it is unclear whether gay men were less 547 likely to wear a beard because of nature (sparser facial hair) or nurture (fashion). If it is, in fact, 548 fashion (nurture), to what extent is such a norm driven by the tendency of gay men to have 549 sparser facial hair (nature)? Alternatively, could sparser facial hair (nature) stem from potential 550 differences in diet, lifestyle, or environment (nurture)? Interestingly, female faces seem to be less 551 revealing of sexual orientation, suggesting a weaker link between sexual orientation and prenatal 552 androgen levels among females, or larger fluidity of their sexual orientation.

Identifying links between facial features and psychological traits by employing
methodology similar to the one used here could boost our understanding of the origins and nature

555 of a broad range of psychological traits, preferences, and psychological processes. Many of the 556 factors that can be approximated from human faces, such as pre- and post-natal hormonal levels 557 (Jones et al., 2015; Lefevre et al., 2013; Whitehouse et al., 2015), developmental history (Astley 558 et al., 2002), environmental factors, and genes (Ferry et al., 2014), are otherwise difficult to 559 measure. Identifying links between facial features with known links to such factors and 560 psychological traits or behaviors could provide a convenient avenue to generate hypotheses that 561 could be later verified in experimental studies. We hope that future research will explore the links 562 between facial features and other phenomena, such as personality, political views, or 563 psychological conditions.

564 Importantly, we would like to warn our readers against misinterpreting or 565 overinterpreting this study's findings. First, the fact that the faces of gay men and lesbians are, on 566 average gender atypical, does not imply that all gay men are more feminine than all heterosexual 567 men, or that there are no gay men with extremely masculine facial features (and vice versa in the 568 case of lesbians). The differences in femininity observed in this study were subtle, spread across 569 many facial features, and apparent only when examining averaged images of many faces. 570 Second, our results in no way indicate that sexual orientation can be determined from faces by 571 humans. In fact, Study 4 confirms that humans are rather inaccurate when distinguishing 572 between facial images of gay and homosexual individuals. Finally, interpreting classification 573 accuracy is not trivial and is often counterintuitive. The AUC = .91 does not imply that 91% of 574 gay men in a given population can be identified, or that the classification results are correct 91% 575 of the time. The performance of the classifier depends on the desired trade-off between precision 576 (e.g., the fraction of gay people among those classified as gay) and recall (e.g., the fraction of

577 gay people in the population correctly identified as gay). Aiming for high precision reduces578 recall, and vice versa.

579 Let us illustrate this trade-off in a simulated scenario based on the results presented in this 580 work. We simulated a sample of 1,000 men by randomly drawing participants, and their 581 respective probabilities of being gay, from the sample used in Study 1a. As the prevalence of 582 same-gender sexual orientation among men in the U.S. is about 6–7% (Sell, Wells, & Wypij, 583 1995), we drew 70 probabilities from the gay participants, and 930 from the heterosexual 584 participants. We only considered participants for whom at least 5 facial images were available; 585 note that the accuracy of the classifier in their case reached an AUC = .91. 586 Setting the threshold above which a given case should be labeled as being gay depends 587 on a desired trade-off between precision and recall. To maximize precision (while sacrificing 588 recall), one should select a high threshold or select only a few cases with the highest probability 589 of being gay. Among 1% (i.e., 10) of individuals with the highest probability of being gay in our 590 simulated sample, 9 were indeed gay and 1 was heterosexual, leading to the precision of 90% 591 (9/10 = 90%). This means, however, that only 9 out of 70 gay men were identified, leading to a 592 low recall of 13% (9/70 = 13%). To boost recall, one needs to sacrifice some of the precision. 593 Among 30 individuals with the highest probability of being gay, 23 were gay and 7 were 594 heterosexual (precision = 23/30 = 77%; recall = 23/70 = 33%). Among the top 100 males most 595 likely to be gay, 47 were gay (precision = 47%; recall = 68%). 596 This study has a number of limitations. We used nonstandardized images characterized by 597 varying quality, head orientation, or facial expression. This provides for higher ecological 598 validity and a larger, more representative sample, but also introduces confounders (as discussed

in Study 1a). Additionally, as the images were obtained from a dating website, they might have

600 been especially revealing of sexual orientation. We believe that we sufficiently addressed this 601 problem by employing a model specifically trained to focus on non-transient facial features 602 (Study 1a), by showing that facial features enabling the prediction were consistent with the 603 theory (PHT; Studies 1c and 2), and by making sure that the images used here were not 604 substantially more revealing of sexual orientation than images of neutral faces taken in a 605 controlled setting (Study 4) or images obtained from Facebook (Study 5). Another issue pertains 606 to the quality of the ground truth: it is possible that some of the users categorized as heterosexual 607 were, in fact, gay or bisexual (or vice versa). However, we believe that people voluntarily 608 seeking partners on the dating website have little incentive to misrepresent their sexual 609 orientation. Furthermore, if some of the users were, in fact, wrongly labelled, correcting such 610 errors would likely boost the accuracy of the classifiers examined here. Additionally, despite our 611 attempts to obtain a more diverse sample, we were limited to studying white participants from 612 the U.S. As the prejudice against gay people and the adoption of online dating websites is 613 unevenly distributed across groups characterized by different ethnicities, we could not find 614 sufficient numbers of non-white gay participants. We believe, however, that our results will 615 likely generalize beyond the population studied here. They are consistent with the PHT of sexual 616 orientation, which was supported by variety of studies of humans and other mammals (Hines, 617 2010). As the exposure to gender-atypical androgen levels is likely to affect the faces of people 618 of different races to a similar degree, it is likely that their facial features are equally revealing of 619 sexual orientation. Finally, it is possible that individuals with more discernibly gay faces are 620 more likely to "come out." If true, a classifier trained on the faces of openly gay users would be 621 less accurate when detecting non-openly gay individuals. While we do not have data to test this 622 hypothesis, it must be noted that coming out depends on many social, cultural, and legal factors.

Users who came out in our sample may wish or need to maintain their privacy in many contexts
and places. Thus, while some faces might be less revealing, many others may prevent their
owners from controlling their privacy of sexual orientation.

626 This brings us to perhaps the most critical nontheoretical ramification of these findings: 627 privacy. Previous studies found that sexual orientation can be detected from an individual's 628 digital footprints, such as social network structure (Jernigan & Mistree, 2009) or Facebook Likes 629 (Kosinski, Stillwell, & Graepel, 2013). Such digital footprints, however, can be hidden, 630 anonymized, or distorted. One's face, on the other hand, cannot be easily concealed. A facial 631 image can be easily taken and analyzed (e.g., with a smartphone or through CCTV). Facial 632 images of billions of people are also stockpiled in digital and traditional archives, including 633 dating platforms, photo-sharing websites, and government databases. Such pictures are often 634 easily accessible; Facebook, LinkedIn, and Google Plus profile pictures, for instance, are public 635 by default and can be accessed by anyone on the Internet. Our findings suggest that such publicly 636 available data and conventional machine learning tools could be employed to build accurate 637 sexual orientation classifiers. As much of the signal seems to be provided by fixed morphological 638 features, such methods could be deployed to detect sexual orientation without a person's consent 639 or knowledge. Moreover, the accuracies reported here are unlikely to constitute an upper limit of 640 what is possible. Employing images of a higher resolution, larger numbers of images per person, 641 larger training set, and more powerful DNN algorithms (e.g., He, Zhang, Ren, & Sun, 2015) 642 could further boost accuracy.

643 Some people may wonder if such findings should be made public lest they inspire the 644 very application that we are warning against. We share this concern. However, as the 645 governments and companies seem to be already deploying face-based classifiers aimed at

detecting intimate traits (Chin & Lin, 2017; Lubin, 2016), there is an urgent need for making 646 647 policymakers, the general public, and gay communities aware of the risks that they might be 648 facing already. Delaying or abandoning the publication of these findings could deprive 649 individuals of the chance to take preventive measures and policymakers the ability to introduce 650 legislation to protect people. Moreover, this work does not offer any advantage to those who may 651 be developing or deploying classification algorithms, apart from emphasizing the ethical 652 implications of their work. We used widely available off-the-shelf tools, publicly available data, 653 and methods well known to computer vision practitioners. We did not create a privacy-invading 654 tool, but rather showed that basic and widely used methods pose serious privacy threats. We hope 655 that our findings will inform the public and policymakers, and inspire them to design 656 technologies and write policies that reduce the risks faced by homosexual communities across the world.¹⁰ 657

The growing digitalization of our lives and rapid progress in AI continues to erode the privacy of sexual orientation and other intimate traits. Policymakers and technology companies seem to believe that legislation and new technologies offering individuals more control over their digital footprints can reverse this trend. However, the digital environment is very difficult to police. Data can be easily moved across borders, stolen, or recorded without users' consent. Furthermore, even if users were given full control over their data, it is hard to imagine that they would not share anything publicly. Most people want some of their social media posts, blogs, or

¹⁰ The results reported in this paper were shared, in advance, with several leading international LGBTQ organizations.

profiles to be public. Few would be willing to cover their faces while in the public. As this and other studies show (e.g., Kosinski et al., 2013), such willingly shared digital footprints can be used to reveal intimate traits. Consequently, we believe that further erosion of privacy is inevitable, and the safety of gay and other minorities who may be ostracized in some cultures hinges on the tolerance of societies and governments. The postprivacy world will be a much safer and hospitable place if inhabited by well-educated, tolerant people who are dedicated to equal rights.

672

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Supplementary Materials

Identify Adult Caucasian Males

Instructions

You will see 50 sets of 4 faces. Your job is to select **complete** faces belonging to **adult Caucasians males**. Any given set can contain between 0 to 4 adult male Caucasian faces.

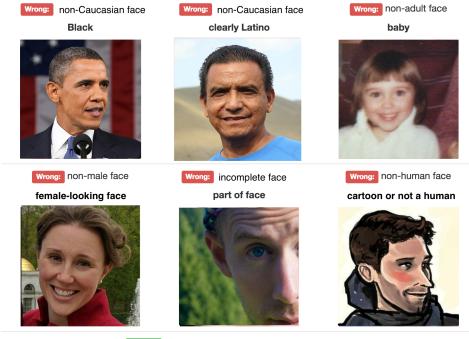
You can use Back and Next button to navigate through different sets. Please use the best of your intuition. We will carefully review the results to identify spammers.

We welcome your feedback! There are going to be more HITs like these!

Details

- 1. Some images might contain a grey space on the side. It's normal and shouldn't affect your selections.
- 2. Some faces might be blurry. As long as you can recognize that the image represents an adult Caucasian male, the face should be accepted.
- 3. Faces partially covered by hats, sunglasses and hair are considered complete as long as you can recognize an adult Caucasian male.

Examples



Correct: Caucasian, adult, male and complete face



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867 Figure S1. Instructions given to AMT workers employed to remove incomplete, non-Caucasian,

868 nonadult, and nonhuman male faces. We used similar instructions for female faces.

Which one is more likely to be **straight** (heterosexual)?

Instructions:

You will see 20 pairs of faces. Your job is to select the person that is more likely to be **straight** (heterosexual) by clicking on the corresponding image.

You can use **Back** and **Next** button to navigate through different pairs. **Please use** the best of your intuition. We will carefully review the results to identify spammers.

We welcome your feedback! There are going to be more HITs like these!

We want to have a larger pool of workers in these tasks. Please don't do more than 5 HITs. Thanks!





Next

869

870 *Figure S2*. Instructions given to AMT workers employed to classify heterosexual and gay faces.