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2 Mapping and Monitoring of the Land Use/Cover Changes in the Wider Area  
3 of Itanos, Crete, Using Very High Resolution EO Imagery With Specific  
4 Interest in Archaeological Sites

5  
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15  
16 **ABSTRACT**

17 Archaeological site mapping is important for both understanding the history as well as  
18 protecting them from excavation during the developmental activities. As archaeological  
19 sites generally spread over a large area, use of high spatial resolution remote sensing  
20 imagery is becoming increasingly applicable in the world. The main objective of this study  
21 was to map the land cover of the Itanos area of Crete and of its changes, with specific focus  
22 on the detection of the landscape's archaeological features. Six satellite images were  
23 acquired from the Pleiades and WorldView-2 satellites over a period of 3 years. In  
24 addition, digital photography of two known archaeological sites was used for validation.  
25 An Object Based Image Analysis (OBIA) classification was subsequently developed using  
26 the five acquired satellite images. Two rule-sets were created, one using the standard four  
27 bands which both satellites have and another for the two WorldView-2 images their four  
28 extra bands included. Validation of the thematic maps produced from the classification  
29 scenarios confirmed a difference in accuracy amongst the five images. Comparing the  
30 results of a 4-band rule-set versus the 8-band showed a slight increase in classification  
31 accuracy using extra bands. The resultant classifications showed a good level of accuracy  
32 exceeding 70%. Yet, separating the archaeological sites from the open spaces with little or  
33 no vegetation proved challenging. This was mainly due to the high spectral similarity  
34 between rocks and the archaeological ruins. The satellite data spatial resolution allowed  
35 for the accuracy in defining larger archaeological sites, but still was a difficulty in  
36 distinguishing smaller areas of interest. The digital photography data provided a very  
37 good 3D representation for the archaeological sites, assisting as well in validating the  
38 satellite-derived classification maps. All in all, our study provided further evidence that  
39 use of high resolution imagery may allow for archaeological sites to be located, but only  
40 where they are of a suitable size archaeological features.

41  
42 **Keywords:** 3D Modeling, Archaeology, Land Cover Mapping, OBIA, Remote Sensing, GIS

43 **1. Introduction**

44 Urban landscape planning has many benefits in terms of the environment. Urban landscape  
45 planning means making decisions about the future situation of urban land (Kaya et al., 2018). In  
46 this case, it is necessary to predict how the land has changed over time and the effects of natural  
47 factors and human activities on the land. In this way, successful and sustainable landscape  
48 planning studies can be achieved (Cetin et al., 2016). Determination of land cover and green area  
49 change related to urban area and its immediate surroundings: Land use change is due to human  
50 activities and natural factors. Land cover is one of the most important data used to demonstrate  
51 the effects of land use changes, especially human activities. By using land cover maps, the  
52 changes in urban development and green areas over time have been evaluated Cetin et al.,  
53 (2015).

54 Indeed, Earth Observation (EO) has seen an exponential growth in recent decades and its  
55 applications have also led to improvements in uses which were previously unavailable or not  
56 even thought of (Brown et al., 2018). Aerial platforms with sensors attached have a major  
57 advantage in being able to select the area covered and allowed for sensor choice, usually with  
58 high specifications and in short interval of time. The advantage of aerial imagery has lessened  
59 since the development of technology with the ability to launch satellites into orbit with  
60 capabilities to obtain imagery of the Earth (Markogianni et al., 2018). Similarly, it can be used for  
61 mapping of archaeological sites. Study of archaeological sites is important for historical research  
62 and has the potential to provide new information for understanding the human civilization  
63 (Banerjee and Srivastava 2013, 2014). Archaeology is similar to EO in the sense that it is  
64 multidisciplinary; it takes influence from history, geography, international politics and more  
65 (Brutto et al., 2012). The more techniques that have been invented and discovered, the more  
66 information has been able to be garnered from a site.

67 Parcak (2009) reviewed the use of EO in archaeology research. He concluded that, whilst  
68 progression has been occurring at an exponential rate, there are still a number of issues and  
69 unknowns. One of the main influences that EO has had in archaeology is the quick access to sites  
70 all around the world with many different sensor types which can be used in locating, analysing  
71 and monitoring areas (Fowler, 2004). The location of new archaeological sites may be speeded  
72 up using large swaths of EO data, as long as it is superficial and not sub-surface (Masini et al.,  
73 2009). There are advantages that EO methods have introduced into the study of archaeology:  
74 increasing spectral/spatial resolutions revealing more information of complex archaeological  
75 sites, the ability to alter angles and scales allows for greater understanding. Digital Elevation  
76 Model (DEM) creation has also been utilised to identify archaeological features, monitoring sites  
77 using time series data in case of hazards, both sub-surface and supra-surface analysis available  
78 (Lasaponara and Masini, 2012; Banerjee et al., 2018).

79 Archaeological sites can be found all around the globe, from many civilisations dating back  
80 centuries. Many of these sites have been investigated and analysed both in the field and using  
81 remote sensing datasets. One of the most common practical methods in archaeological study  
82 includes the use of field surveys and measurements, including excavation techniques. These  
83 were recognised as accurate techniques that became the staple methods for archaeological  
84 analysis. There are positives and negatives to this style of investigation. It allows for an in-depth  
85 analysis of a site, ensuring that nothing has been missed, and it acquires the most information  
86 available from the site (Barker, 1996). This does require a certain level of expertise in  
87 archaeological field practice and can require a significant length of time. It also introduces the  
88 possibility of damaging the site when using an invasive technique such as excavation (Tite,

89 2002) and raises questions about how proper it is to remove possible objects of significant  
90 cultural heritage (Schneider, 1982).

91 Numerous studies have now made use of satellites. These include the newest series, which are  
92 some of the highest resolution, for a range of different subjects. Archaeological studies have been  
93 utilising the high spatial capabilities to gain information (Laet et al. 2007; Lasaponara & Masini  
94 2006; Banerjee et al., 2018). With these newer satellites having a spatial resolution averaging  
95 less than two metres, this allows for accurate mapping of sites that previously may have  
96 appeared as amorphous blobs of pixels (Whyte et al., 2018; Markogianni et al., 2018). This can  
97 have major implications for small sites or those with high levels of details, where it makes a  
98 significant difference as to what may, or may not, be captured in the imagery. The orientation of  
99 the imagery can also be an issue; an archaeological site is likely to be a 3D subject whether that  
100 is upon the Earth's surface or embedded in it. Satellite systems, such as Pleiades, formed of a  
101 constellation of sensors can acquire multiple angles of the site and allow for DEM extraction  
102 (Bernard et al., 2012; Jacobsen & Topan, 2015). This requires more images to be captured  
103 (Lafarge et al. 2006; Lafarge et al. 2008) which, in turn, means higher expenses; the greater  
104 processing power required may also become a problem.

105 Even more recently, everything changed with developments in unmanned aerial vehicles  
106 (UAVs). The capture of images using aerial technology had been occurring long before satellites.  
107 However, satellites were introduced and this became an effective method of gaining data  
108 anywhere in the world without travel. Nowadays, just as newer satellites have developed, so too  
109 have aerial means and drones become a new economical option for acquiring images from a  
110 height. There is still an issue with having to perform the data collection in person, but more  
111 studies have started to take advantage of this form of data collection (Hong et al., 2008). Non-  
112 invasive techniques have been developed over time to gain knowledge of the sub- surface  
113 without causing damage (Lasaponara et al., 2013). Several methods fit into this category and  
114 have been utilised in archaeological study. The most widely-used method is ground penetrating  
115 radar (GPR) which uses the radar wavelength frequency of the electromagnetic section to  
116 visualise underground areas. Many studies have used it for the sole reason that they do not have  
117 to disturb the site to gain understanding of what is beneath them (Eppelbaum et al. 2010; Colis &  
118 Colis, 2013).

119 Studies have employed these methods in archaeological studies before and each has used a  
120 different classification system (Siart et al., 2008; Alexakis et al., 2009; Bassani et al., 2009). They  
121 employed a style of hybrid system which used both use and cover classes. There was also use of  
122 binary systems which classified archaeology versus everything else; this is a useful style for  
123 defining the sites but not which classes may be being mistaken for one another due to spectral  
124 homogeneity. Three-dimensional (3D) modeling uses reference images to produce, firstly, a  
125 point cloud and then a triangular mesh that a texture can be layered upon (Remondino & EI-  
126 Hakim, 2006). This is a process-heavy method though and has only become feasible within the  
127 last decade on cheaper and simpler computer systems. There have been cases of this method  
128 being applied to archaeological studies. It has mainly been employed at sites where there has  
129 already been thorough examination and it is used for documenting important aspects, as well as  
130 creating an accurate representation for those who may not have visited the site. There are a few  
131 examples of papers using 3D modeling to portray archaeological objects (Brutto & Meli, 2012;  
132 Kersten & Lindstaedt, 2012).

133 This study aim is to explore the changes in the land/use cover in a Mediterranean site using very  
134 high resolution EO datasets with specific focus on the detection of the landscape's archaeological  
135 features. As a study site is selected the area of Itanos, Crete, where several known archaeological  
136 sites and remains exist. To achieve the study aim, three objectives were created, as follows: 1) to  
137 obtain a thematic mapping of Itanos with interest in the archaeological sites, 2) to quantify land  
138 changes with focus on archaeological sites, and, 3) to develop a 3D modelling of the known  
139 archaeological sites of Itanos and explore its use in mapping archaeological features using EO  
140 data.

141

## 142 **2. Study Site**

143 Crete is generally a Mediterranean-arid environment and this applies equally to the wider Itanos  
144 area (Karydas et al. 2009). It is a coastal region which is largely rural; there are no major  
145 settlements. The history of Itanos is one of importance to Cretan history, having been a site  
146 where both the Minoans and the ancient Greeks settled (Pendlebury, 1969). A number of studies  
147 have investigated the archaeological area of Itanos, mostly for mapping the expanse both surface  
148 and sub-surface (Vafidis et al., 2003; 2005). These were generally investigating both the surface  
149 and sub-surface remnants of the past civilisations of the area, mapping their extent rather than  
150 linking them to the historical knowledge.

151 Itanos was chosen to be used in this study through a number of defining factors, including its  
152 cultural importance. In addition, the availability of the satellite imagery played an influence in  
153 the size of the study site, ensuring that there was equal comparison between images but a small  
154 enough area to decrease processing times. The final extent decided upon is shown by **Figure 1**  
155 together with the three known archaeological sites, where the sites are highlighted, with their  
156 approximate extents outlined by red boxes.

157 **[Figure 1. Extent of study site at Itanos, Crete, including the studied archeological sites in the**  
158 **area]**

159 The three known archaeological sites are all within a relatively short distance near the middle of  
160 the eastern coast for the study site. Site 1 is an excavated area and is clearly a well-managed  
161 area. Site 2 is a small paved area that has no clear signs of management. Site 3, the southernmost  
162 of the three, is the largest and is a known basilica.

163

## 164 **3. Datasets**

165 Pleiades is a European system launched in 2011 by Airbus made up of two separate satellites: 1A  
166 and 1B. Pleiades imagery is accessible straight from Airbus or through the European Space  
167 Agency (ESA). The two satellites simultaneously orbit the Earth along the same path but at a  
168 time delay. This allows for multiple overlapping images of sites to be obtained which can be used  
169 in conjunction as stereo or tri-stereo analysis. The latter is allowing generating accurate high  
170 resolution Digital Elevation Models (DEM). This layer can be used subsequently to reveal hidden  
171 objects or steep sided slopes that might be non-existent or hard to identify in normal 2D images.  
172 The Pleiades system is a 4-band multispectral system of 2 metres spatial resolution but with an  
173 extra panchromatic band which is sub-2 metres. The latter characteristic enables modern  
174 studies to work on highly detailed, complex sites. In this study, four images were acquired from

175 the Pleiades images archive (**Table 1**). These were the scenes with the least cloud cover, but the  
176 Pleiades scenes acquired ensured full coverage of the wider area of Itanos.

177 [**Table 1.** Pleiades scene information for the images used to study the wider Itanos area, Crete]

178 [**Table 2.** WorldView-2 scenes information for the images used in our study]

179 In addition, satellite imagery from the WorldView-2 (WV-2) was acquired to facilitate the study  
180 objectives. WorldView series represents one of the highest spatial resolution systems that they  
181 have developed so far. WV-2 is an 8-band multispectral system with a panchromatic band that  
182 has a spatial resolution of sub-2 metres. Two WV-2 images from the satellite archive were  
183 obtained, showing the Itanos area of Crete; these were cloud-free and dated approximately a  
184 year apart (**Table 2**). This meant that the resolution of the images was 0.41m panchromatic and  
185 1.84m multispectral with the four standard bands of blue, green, red and near infrared 1, and  
186 also an extra four bands of coastal, yellow, red-edge and near infrared 2. As a result of its high  
187 resolution and increased band selection, WV-2 data has been identified as applicable to many  
188 situations and study types, including archaeology (Ghosh & Joshi, 2013). In addition to the above  
189 datasets, digital photographs were acquired onsite at the three archaeological areas within the  
190 study site during a field visit that took place in the summer of 2016. They were obtained using a  
191 lumix FZ45 digital camera and were of the standard RGB colour composite using the highest  
192 resolution available with the camera (14 megapixels).

193

## 194 **4. Methodology**

### 195 **4.1. Pre-processing**

196 The acquired WV-2 data had already been corrected geometrically and radiometrically, so no  
197 pre-processing corrections had to be performed. On the other, Pleiades, had two different  
198 options when requesting the data: Primary and Ortho. Primary is as close to raw data as it is  
199 possible to get, with only simple radiometric corrections having been used to correct sensor  
200 errors; this may be useful for pure photogrammetric studies set up to test different correction  
201 methods or apply extra processes before correction has occurred. Ortho already has a number of  
202 pre-processing techniques applied and is more commonly utilised by those wanting to get  
203 straight to GIS analysis. Ortho imagery from Pleiades has been geometrically corrected,  
204 including the application of orthorectification with a relief model. Ortho has the simple  
205 radiometric corrections used for primary data, but with colour balancing and pixel sampling as  
206 well. For the purposes of our study, atmospheric correction was performed to the Pleiades  
207 images acquired using the Fast line-of-sight Atmospheric Analysis of Hypercubes (FLAASH),  
208 available in ENVI (v 5.1) software.

209 The next step involved ensuring that any cloud within the scene is masked out. This is to make  
210 certain that there is no interference when performing other techniques, such as thematic  
211 mapping. All the satellite images in this study bar one have no cloud, so this did not apply to  
212 them. The image with cloud, Pleiades 1B March 2014, contained 1.2% cloud cover but, when  
213 clipped to study site extent, the cloud all resides in one area. A decision was made to exclude this  
214 image because it would be biased when compared to the other scenes and one of the other  
215 Pleiades images was from May of the same year.

216 Panchromatic sharpening (PS) is the process of using a high spatial resolution image to increase  
217 the spatial resolution of a high spectral resolution image (Laben & Brower, 2000). This permits

218 more information to be garnered from the image, and from any resultant images, after other  
219 methods and techniques have been applied. The two satellite platforms being exploited, Pleiades  
220 and WV-2, both have a panchromatic band available and can, therefore, create a high spatial and  
221 spectral resolution product. The WV-2 data is provided as two separate scenes: a high spatial  
222 resolution single panchromatic band image and a low spatial resolution multispectral 8-band  
223 image. A decision was necessary as to which PS technique would be used. One of the newer PS  
224 techniques, 'Ehler's Fusion', has been gaining academic recognition for its ability to combine  
225 more than 3 spectral bands with the panchromatic band (Kionus & Ehlers, 2007; Ehlers et al.,  
226 2010), which, for imagery like the 8-band WV-2, can be very useful. This technique was  
227 implemented in our study using ERDAS IMAGINE software. An example of the Wolrdview image  
228 pansharpening effect is shown in **Figure 2** below:

229 **Figure 2.** Example of pan-sharpening a multispectral WorldView-2image (middle), using its  
230 panchromatic band (top) and using modified HIS method (bottom)  
231

232 Subsequently, the normalised difference vegetation index (NDVI) was computed in each scene.  
233 NDVI is a spectral index that focuses on photosynthesis and chlorophyll abundance (Tucker et  
234 al., 2005). NDVI is computed from the red (R) and near infrared (NIR) spectral bands of an image  
235 enabling easy identification of 'healthy' vegetation. The index was created using ENVI for all of  
236 the satellite imagery because both Pleiades and WV-2 have the necessary bands. Once the NDVI  
237 was created for all five scenes, they were added as an additional layer into e-Cognition  
238 Developer with their respective images.

239

## 240 **4.2. Classification**

241 The aim of this study was to map the Itanos area with a focus on the three known archaeological  
242 sites, yet, one of these sites was later discarded from the analysis because of cloud coverage. To  
243 ensure that the features of interest were defined, the properties of the site were considered. One  
244 of the key advantages of remote sensing is the spectral information available. For archaeological  
245 sites this would be important as a means of distinguishing the remains of buildings from other  
246 classes, such as water, trees and even bare rock. Another aspect which is commonly noted is the  
247 shape of objects. An archaeological site may be expected to have a uniform, building-related  
248 shape, and this element was also included in our hypothesis for the present study. This would  
249 help emphasise differences from classes such as bare rock or scrubland, likely to be an irregular  
250 shape and not consistent. In order to take advantage of the above features that we assumed  
251 archaeological sites should have, an object based image analysis (OBIA) method was selected.

252 OBIA is a process that divides an image into small areas pixels or 'objects' and then the objects  
253 can be classified (Blaschke, 2010; Whyte et al., 2018;; Petropoulos et al., 2013). OBIA is based on  
254 dividing an image into numerous objects. 'compactness' - which designates the overall shaping  
255 of the final object. The other preparation for this process was deciding which layers had the  
256 most influence on the process. For the 4-band method, there are five layers: Blue, Green, Red,  
257 NIR and NDVI. It was thought that NIR would have a more defined threshold between pixels and  
258 therefore be a greater influence, so its weighting was doubled. The 8-band classification had the  
259 four extra bands, for which a weighting also had to be designated. It was decided to leave all four  
260 as standard, in order to keep a close comparison to the 4-band method.

261 A second segmentation process was used after the multi-resolution technique as it was not easy  
262 to detect the land cover beneath the objects and test classification became difficult when nearly  
263 all the objects were hard to group under specific rules. The second method employed was the  
264 spectral difference segmentation; this was used on the results of the first process to merge  
265 objects with spectral homogeneity within a defined band, signified by 'maximum spectral  
266 difference'. Once the segmentation had taken place, classifying the objects was the next step.  
267 Two decisions had to be made which would direct the classification: the classification system to  
268 be used and the selection of the classification technique.

269 The system adapted for this study is the CORINE classification system. This is a three-tier  
270 hierarchical system which has features that could be construed as a hybrid class composition in  
271 the lower tiers. A class for 'archaeological site' was added to create the new system. The selected  
272 classes were chosen to represent known classes within the study site; in general, the second-tier  
273 choices were taken so as to produce a classification with an appropriate level of detail and the  
274 class 'Archaeological Site' was added.

275 Having decided on segmentation of the images and on which of the classes to use, the next step  
276 was to select an appropriate method to perform the classification. Standard methods include:  
277 unsupervised, supervised, random-tree, support machine vectors, rule-based. The choice made  
278 was to use rule-based because it integrates well with OBIA, allowing the user to include the  
279 geometric properties with ease. It also grants the greatest control to the user to ensure specific  
280 areas are mapped correctly. The first rule-set outline was for the 4-band classification method.  
281 This outlines the order in which the classes were created and which properties were applied to  
282 do so. There are no definitive numbers in any of the rules as tweaking had to be performed on  
283 the rule-set to fit each individual scene. The second rule-set outline created used the 4-band  
284 method as its basis but integrated the other available bands of the WV-2 sensor when necessary.  
285 It was found that either replacing rules with the new bands, or using them as well, produced  
286 better classification results.

### 287 **4.3. Accuracy Assessment**

288 Validating land use/cover classifications can be done in different ways, the most common being  
289 the production of an error matrix which can give an overall accuracy and kappa coefficient  
290 (Congalton, 1991). This was achieved by performing an accuracy assessment for each of the  
291 classification products. The classifications were exported from eCognition Developer into  
292 Arcmap 10.3 where 640 random points were formed using the tool 'Create Random Points';  
293 these were then equally distributed between the eight different classes to ensure equal  
294 assessment of each class. The points were then 'ground truthed' using Google Earth imagery of  
295 the same year to label each point with its real class. Since the images were from a specific period  
296 of time, the function to view old images was used in Google Earth, enabling the use of the closest  
297 image possible to the satellite capture times for a more accurate validation. These points were  
298 then overlaid on the classifications and their class as designated by each classification was  
299 noted. These were then formed into tables to compare the known class for each point with the  
300 classification representation for them. While many studies utilise the confusion matrix  
301 validation methods, an alternative is the McNemar test. This is a parametric method which is  
302 thought to be easy to implement (Manandhar et al., 2009; Petropoulos et al., 2012). Equation 1  
303 shows how the test is performed:

304 
$$x^2 = \frac{(f_{12} - f_{21})^2}{f_{12} + f_{21}} \quad (1)$$

305 *Where:  $x'$  (chi square value),  $f_u$  (cases both wrongly classified by map 1 but*  
306 *correctly by map 2),  $f_{12}$ , (cases correctly classified by map 1 but not map 2),  $f_u$*   
307 *(cases correctly classified by both maps).*  
308

309 Using the paired results from two different confusion matrices, it allows comparison of  
310 classifiers. This test was carried out to compare the different classifications produced from the  
311 different years as well as testing the 4-band against the 8-band methods.

312

#### 313 **4.4. Land Change Detection Mapping**

314 To assess whether the land cover maps showed change, specifically focusing on the  
315 archaeological sites, with the use of Arcmap, the five 4-band classification products were  
316 compared to see if there was any change in archaeological site according to the different maps.  
317 This was achieved by comparing only the same sensor results (so Pleiades versus Pleiades, WV-2  
318 versus WV-2) as there are differences in the sensors that cannot be accounted for in the study.  
319 The other test was to compare the 4-band and 8-band results of the WV-2 to get an idea of which  
320 classifications were improved by having four extra bands. Three more land cover changes were  
321 produced to compare the two 8-band products and then the 8-band results against their 4-band  
322 counterparts.

#### 323 **4.4. 3D Modelling**

324 One of the key study objectives was to see if 3D modelling of archaeological sites could be of use  
325 and, if so, how accurate it could be. This was achieved using the photographs obtained through  
326 fieldwork. The first option was to use a UAV to collect the imagery as this could then be used for  
327 thematic mapping data source as well to compare another sensor platform. This option proved  
328 unavailable though, as a result of restraints on work to be carried out over archaeological sites  
329 in Crete set in place by the country's Ministry of Culture and Sport. However, as an alternative,  
330 the decision was made to simulate the effects of a UAV by collecting the photographs using a  
331 camera monopod. Photographs were collected from chest height, head height and finally  
332 elevated approximately a metre above head height with the camera angle slightly down. The  
333 method was used systematically to photograph the three known archaeological sites, around the  
334 edges of each site pointing inwards and- in the case of Site 3- both around the edge and  
335 throughout as it was the largest and most complex area.

336 With the photographs collected, the next step of the process involved the production of a point  
337 cloud and then a 3D model representation for all 3 sites. The software used to do this was  
338 Agisoft PhotoScan Professional, and this was used to produce the three different models. The  
339 results of this were exported into Meshlab, allowing the final product to be exported in a manner  
340 that represented the sites most closely. Next, these models were used for validation of the  
341 archaeological sites; the site class was laid over the models to see how closely the two resembled  
342 each other and whether one had a distinct advantage.

343

344

345 **5. Results**

346 **5.1. Land Cover Classification using 4 Bands**

347 The 4-band classifications follow the method outlined above, but are slightly different for each  
348 image. In WV-2 2013 March image (the earliest acquisition date image available) the sea, which  
349 dominates the right-hand half of the image, has been classified as such. The two classes that are  
350 widespread are the open spaces and the vegetation classes; these are the dominant classes on  
351 land. A large arable field has been identified at the centre of the image with a number of industry  
352 buildings to the south of it. The urban fabric class is mainly identifying the roads but has  
353 struggled with the industrial class, intermingling with the previously mentioned industrial  
354 buildings. The other issue for the urban layer is the amorphous shape in the centre of the study  
355 site which looks neither road nor building shaped. It is actually a bare land area by the roadside  
356 that should have been classified as open space. There is difficulty in distinguishing urban and  
357 open space in coastal areas. In sea and ocean areas, beach is not uniformly classified.

358 The three known archaeological sites are then compared to the visible images of the PS resultant  
359 satellite images (**Figure 3**). This is to give a visual inspection of how accurately the known sites  
360 were defined. Site 1 is a rectangular shaped site focused in one spot, where the classification has  
361 roughly depicted its boundaries but the shaping is more irregular than it should be; there are  
362 also areas of 'archaeological site' classified around the known site which are likely to be bare  
363 rock or ground. The second site has been overclassified - it is a very small site (3 metres) and it  
364 was expected to be converted into three pixel-sized objects but has spread slightly southward,  
365 once again getting confused with bare land. The final known site, both the largest and most  
366 complex, has been represented well; the east-west running walls have been identified and it is  
367 the rough extent of the site as well. The issues arise within the site, where bare land gets  
368 confused with the site detail and the curved wall does not keep its shape during classification.  
369 The validation of the site follows the methodology using a confusion matrix to calculate the  
370 accuracy of classification of elements within the sites. The end result was not inaccurate (over  
371 70% correct), but there was a great division between the separate classes, some being much  
372 better than others (Overall accuracy: 73.44%, Archaeological Site Accuracy: 51.25%, Kappa  
373 Coefficient: 0.644, SE of kappa: 0.020).

374 **[Figure 3. 4-band rule-set OBIA classification of three known archaeological sites at Itanos**  
375 **using War/dView-2, March 2013]**

376 The Pleiades 2013 July image was classified using the 4-band rule-set guidelines. The  
377 archaeological sites (**Figure 4**) have changed from the first classification. Site 1 has become  
378 entrenched by urban classified areas, but the known section is still classified. Site 2 shows real  
379 improvement with the individual pixel being a good representation. The disappointment arises  
380 with Site 3; it has lost almost all of its outside walls and its north side has been classified as open  
381 space. Once again, the confusion matrix shows the validation and there has been a slight decline  
382 compared to the first image; slight improvement in the archaeological site classified as such  
383 being correct but now it has become under-represented in the scene. There has been a slight  
384 accuracy reduction for all the classes (Overall Accuracy: 71.17%, Archaeological Site Accuracy:  
385 62.50%, Kappa Coefficient: 0.682, SE of kappa: 0.020).

386 **[Figure 4. 4-band rule-set OBIA classification of three known archaeological sites at Itanos area**  
387 **using Pleiades, July 2013]**

388 In Pleiades 2014-May image Classification (a year on from the second image but in May, so mid-  
389 way between the seasons of the previous two images) there is a large improvement of  
390 archaeological Sites 1 and 3 (**Figure 5**); they have become more representative whilst limiting  
391 encroaching classes such as urban. Site 2 however has disappeared completely - small areas to  
392 the south of it have been classified but they are mostly bare ground and are too far from the  
393 coast to be Site 3. Accuracy has improved from both the previous images. Even though the  
394 known archaeological sites seem better represented than the 2013 Pleiades, there has been a  
395 decrease in the figures (Overall Accuracy: 76.88%, Archaeological Site Accuracy: 56.25%, Kappa  
396 Coefficient: 0.732, SE of kappa: 0.019).

397 [**Figure 5.** 4-band rule-set 08/A classification of three known archaeological sites at Itanas area  
398 using Pleiades, May 2014]

399 In WV-2 2014 August image Classification (4-band method still in use but back to the WV-2  
400 sensor, now in 2014 towards the end of summer) the archaeological sites have changed once  
401 more; now it is 1 and 2 that have been poorly defined due to interfering classes of urban, arable  
402 and industrial. Site 3 has been the most successful this time and looks very similar to the  
403 Pleiades 2014 image of it (**Figure 6**). Even though the classifications look poor in a number of  
404 areas, the accuracy is high in comparison to the previous images. The difference is that, while  
405 not many classes are outstanding, overall they are of a reasonable level of accuracy (Overall  
406 Accuracy: 77.19%, Archaeological Site Accuracy: 60.00%, Kappa Coefficient: 0.739, SE of kappa:  
407 0.019).

408 [**Figure 6.** 4-band rule-set 08/A classification of three known archaeological sites at Itanos area  
409 using Wor/dView-2, August 2014]

410 In Pleiades 2016-May image classification (the final 4-band based classification is much more  
411 modern, but is in the same month as the Pleiades image of 2014) the archaeological sites  
412 (**Figure 7**) can once again be compared visually. The issue that occurred with Site 1 previously  
413 has happened again, an urban object has been identified nearly overlapping the site. Site 3 which  
414 has been the most consistent in previous images, has been classified once again but it is less  
415 elegant than those classifications. Instead of individual segments comprising the structure, one  
416 larger object has formed of the eastern site of the site and, though it defines the outer  
417 boundaries, it loses a lot of information. Site 2 has been ephemeral; some have classified it,  
418 others have completely missed it. This time it had been classified; it may have been slightly to  
419 the west of the site but this is open to interpretation. The archaeological sites have once again  
420 been one of the least accurate classes. The overall accuracy for this classification is not the best  
421 but is ahead of some of the early images (Overall Accuracy: 76.09%, Archaeological Site  
422 Accuracy: 60.00%, Kappa Coefficient: 0.725, SE of kappa: 0.019).

423 [**Figure 7.** 4-band rule-set 08/A classification of three known archaea/agical sites at Itanas area  
424 using Pleiades, May 2016]

425

#### 426 **5.4 8 Land Cover Classification using the 8 Bands**

427 In WV-2 2013 -March image classification (the first image from the 8-band method but this time  
428 with an altered rule-set) the use of the 8-band method has also been tested on the available  
429 imagery. The result looks almost plain in comparison to the 4-band method results. There are  
430 similarities in the ocean appearing on the right side and the open space and vegetation classes

431 being widespread. The arable and industrial objects at the centre are once again classified but  
432 with precision, leading to no random offshoots. The main issue is with the urban fabric not being  
433 classified for the road leading north-west and classifying the southern coastal area instead.  
434 Archaeological sites have altered slightly as a result of the rule-set change. **Figure 8** shows them  
435 once again but there seems to be numerous smaller 'archaeological sites'. Site 1 demonstrates  
436 this very issue- while the site has been defined, so it has a lot of small random areas surrounding  
437 it. Site 2 is very similar to the 4-band version with some classification to the south of the site but  
438 not quite recognising the actual area. Site 3 looks very similar but has more breaks between the  
439 objects, possibly reflecting the likelihood of wear-and-tear expected in an archaeological site.  
440 The bare ground area to the west of the site has been wrongly included.

441 [**Figure 8.** 8-band rule-set 08/A classification of three known archaeological sites at Itanos area  
442 using WorldView-2, March 2013]

443 There is an improvement on the results of the 4-band methodology. It almost reaches 80%  
444 accuracy which for many studies is a reasonable statistical outcome. The archaeological site  
445 class has improved on the whole, as have vegetation and permanent crops. The main issue is  
446 with the urban fabric class (Overall Accuracy: 79.69%, Archaeological Site Accuracy: 61.25%,  
447 Kappa Coefficient: 0.768, SE of kappa: 0.018). In WV-2 2014-August image Classification (the 8-  
448 band version of the second WV-2 image in late summer) the final comparison to the RGB image  
449 can be made. The same issue has occurred with Site 1, on a smaller scale but an urban object  
450 appeared within the archaeological site. There are fewer false sites surrounding it though. Site 2  
451 is probably defined as well as it has been previously; there is a definite archaeological site  
452 presence and it would be evident to anyone looking. Finally, Site 3 has been defined well again,  
453 the thin objects running west to east have been classified and there are no errant objects  
454 interfering apart from that bare ground patch to the west (which has shrunk). The  
455 archaeological class accuracy is the highest it has been of all seven classifications. The overall  
456 accuracy has been able to exceed the 80% mark. All of the classes have improved apart from  
457 issues apparent in the arable class (Overall Accuracy: 83.44%, Archaeological Site Accuracy:  
458 76.25%, Kappa Coefficient: 0.811, SE of kappa: 0.017).

## 459 **5.5 McNemar Tests**

460 As explained in the methodology, the McNemar tests analyse data to see what the likelihood is  
461 that pixels were classified correctly by chance. The McNemar test results (**Table 3**) are  
462 formatted in chi-square, which are interpreted using a critical table of values. To do this a  
463 confidence level was chosen and the degree of freedom calculated. The degree of freedom for  
464 each test is 49 as there are 8 classes in both the row and the column of the confusion matrices,  
465 and the result is calculated by taking one away from each and multiplying them together (i.e.  
466 7x7). Therefore for a 90% (0.01) confidence that the classifications are definitively different the  
467 value must exceed 1.4267 (Gordon et al. 1952). The figures show that only one of the map pairs  
468 may be related (and not by chance); these are the Pleiades 2014 and Pleiades 2016.

469 [**Table 3.** Results of McNemar tests]

## 470 **5.6 Land/Use Change Detection**

471 The land change detection is focused on the area of the known archaeological sites. There were  
472 three Pleiades images each producing one classification, so three change detections were  
473 performed: from the earliest image to the next; the middle to the most recent; and the earliest to  
474 the most recent. In May 2014 – May 2016 land change detection there is little change in the

475 south. Change at archaeological Site 3 is apparent. Disappearance of a number of urban features  
476 is recognisable as well. The coast shows a small amount of shift on the horizontal plane. The  
477 archaeological class seems to decrease in the central northern zone around Site 1 and vegetation  
478 starts to dominate. In July 2013 - May 2016 land change detection (**Figure 9**) there is very little  
479 change within the south of the images; the main differences occur in the central and northern  
480 portions. The main archaeological change that can be seen is Site 3, the largest most southerly  
481 site which seems to have swelled over the three years. The coastline also seems to have moved  
482 on an east-west plane. Site 1 is the next site to highlight, as there are definite differences  
483 between the two, a decrease in urban interference. The urban difference is very noticeable  
484 between the two images.

485 [**Figure 9.** Land change detection of the wider area of Itanos, using Pleiades from 2013-2016]

486 The land change detection of WV-2 images in March 2013 (4-band)- August 2014 (4-band) the  
487 largest visible changes are the arable and urban classes, the first increasing from 2013 to 2014  
488 and the latter receding. The archaeological sites are relatively stable and there is not a  
489 significant amount of change, other than a slight shrinking at the known sites. In March 2013 (8-  
490 band) - August 2014 (8-band) the largest differences are in the urban areas; the road along the  
491 north thins out and the urban coastal zone in the south has disappeared. Vegetation distribution  
492 over the image changes especially in the south and the arable region appearing at the western  
493 edge. There is very little coastal shift and the archaeological sites do not change much. The main  
494 change in March 2013 (4-band) - March 2013 (8-band), is a decrease in urban area along the  
495 coast and from the western edge. Archaeological sites seem to shrink across the study region,  
496 especially site 3, but a few new areas appear near or on the road. There is little coastal change  
497 and a thinning of vegetation. In August 2014 (4-band) - August 2014 (8-band) the main changes  
498 are reductions in the number of errant arable and industrial patches across the image.  
499 Archaeological Sites 1 and 3 are relatively stable but Site 2 becomes visible thanks to the arable  
500 object being altered. It is mostly alterations of precision that occurred, such as objects slimming  
501 and errant zones becoming correct (**Figure 10**).

502 [**Figure 10.** Land change detection at the wider area at Itanos, using 4-band WV-2 tram 2013  
503 versus 8-band WV-2 tram 2014]

### 504 **5.7 3D Modelling Findings**

505 The 3D modelling performed for each of the archaeological sites produced a single model. The  
506 models are shown from an aerial perspective for greatest comparison to the satellite imagery.  
507 The first site 1 (**Figure 11**) that was visited allowed for easy access due to previous work done  
508 to excavate and record structures that identified the objects of importance. Site 2 (**Figure 12**)  
509 was the smallest and -perhaps- the least important for useful archaeology. It does contribute, in  
510 an academic sense, to thinking on site size and complexity and how these affect mapping and  
511 modelling. The edges of the site are quite blurred as the photos taken were limited by its  
512 placement on a cliff path and a large shrub obscuring one side from easy access. A portion of sky  
513 has been modelled along the edge of the bush, which may cause the transfer of erroneous  
514 information by those who did not conduct this study. Site 3 (**Figure 13**) is the most complicated  
515 and largest site. The structures which can be found show that intricate and thoughtful  
516 architecture was implemented. The curved sections along the central portion of the site can be  
517 easily recognised and seen in proportion and relevance to the rest of the site. Once again, the  
518 edges have blurred slightly, mainly around the large shrub-like vegetation.

519 [Figure 11. 3D model of archaeological Site 1 in Itanos, Crete.]

520 [Figure 12. 3D model of archaeological Site 2 in Itanos, Crete.]

521 [Figure 13. 3D model of archaeological Site 3 in Itanos, Crete.]

522

## 523 6. Discussion

524 Thematic mapping of Itanos, with interest in the archaeological sites was achieved by using data  
525 from two different sensors and across a period of three years. Other studies had carried out  
526 similar works in the area but they had focused on sub-surface methods and hyperspectral aerial  
527 platforms for data (Rowlands & Sarris, 2007). This study attempted to use high resolution  
528 multispectral to perform a comparable role. The methodology used was a rule-based OBIA  
529 classification. It was thought that it would be one rule-set that would be applicable to all the  
530 images and would allow for direct comparison. This was not the case because of the difference in  
531 band data between the separate images. Instead, the outline was created: the class creation  
532 order - which bands needed to be used and then it was tweaked for each image.

533 The use of OBIA was vindicated when it was a combination of spectral and spatial properties  
534 that allowed for the classifying of the archaeological sites to a decent degree. There is no agreed  
535 criterion of a minimum size that objects should be for OBIA, but it can alter the results  
536 significantly (Kim et al., 2008). So, the option to make the objects small enough so that a few of  
537 them comprise a feature of interest, but not so small that they are single pixels, was decided  
538 upon. This is what led to the use of two segmentations, the first to break the image up and the  
539 second to ensure that their size fitted the focus -that is, the archaeological sites. The class which  
540 seemed to be mistaken for archaeology (and vice versa) was mainly open spaces, but there were  
541 also issues with urban and vegetation. These are likely to have been due to the spectra being  
542 close to homogeneous. The bunching of the spectra towards the red and NIR wavelengths made  
543 it difficult to classify separately.

544 The overall accuracy of each classification was of a reasonable standard, being greater than 70%  
545 which is a recognised threshold of good classifications (Petropoulos et al., 2012; Markogianni et  
546 al., 2018). An example of this is Thomlinson et al. (1999) who suggest that an overall accuracy  
547 exceeding 80% and all class accuracies over 70% indicate a good classification. This is, at best, a  
548 guideline and it is wholly dependent on what need the classification is satisfying. One of the  
549 ways that this classification may have been improved for the purpose of it was to only use two  
550 classes - archaeology and everything else. This would allow for the rules to be biased towards  
551 the archaeological site class and the remainder can be grouped together. This might allow for  
552 more accurate mapping of the class but would decrease understanding of which classes are most  
553 similar and which needs ought to be balanced.

554 The archaeological accuracies were not so high; on all of the images, a number of objects which  
555 were not the known archaeological sites were classified as such. Some of these extraneous  
556 objects were confirmed as 'archaeological sites' through the validation but others were not. This  
557 reiterates the problem that Parcak (2009) raised when questioning what makes an  
558 archaeological site; not just its physical attributes (spectra, location, geometry etc.) but the non-  
559 physical links to the past and information that it may hold on the past. This causes an over  
560 classification of archaeology because what is selected based on its physical properties could be  
561 rejected on the significance of the information it holds. This was the case here with the class

562 accuracy being so low for all the images; this is an issue that will arise whenever a property like  
563 archaeology is being quantified by set rules or numbers. This is not purely negative either: it still  
564 has a use in identification, not just for definite sites but for those with high likelihoods of having  
565 matching characteristics.

566 The second purpose of this investigation (i.e. the land change detection with focus on  
567 archaeological sites.) was conducted multiple times to highlight the differences that such a wide  
568 variety of data could produce. Firstly, concentrating on the site itself by analysing the two  
569 different sensors along their time series, to see if there had been any change in the known  
570 archaeological sites. There were quite visible differences from 2013 to 2014 using both the  
571 Pleiades and the WV-2 and then to 2016 with just the Pleiades. The two Sites changing the most  
572 were 1 and 3, the third site enlarging reasonably significantly. This is of great interest as  
573 substantial change in an archaeological site is unexpected except for three reasons: excavation  
574 (Rinaudo et al., 2012), hazard (Grossiet al., 2007; Sdao & Simeone, 2007; Rodriguez-Pascua &  
575 Perez-Lopez, 2011) or vandalism (Matero et al., 2013). All three can be disastrous for a site if not  
576 prepared for or dealt with after the event. So the fact that the change detection revealed large  
577 differences between the years could indicate something further to investigate.

578 The land change detection became of most use when observing the differences between the two  
579 different rule-sets employed using the WV-2 data. The side-by-side comparison revealed a lot of  
580 information at a glance, where comparing confusion matrices would take time, effort and  
581 understanding. Once again, it is down to source material of the accuracies to be able to say  
582 definitively whether the results of this analysis were correct, but even so the use of this  
583 technique has developed from a simple time comparison. The land change detection would have  
584 been more effective on a higher temporal scale; the gap of a year is potentially too great to be of  
585 use for many cases as one event may have occurred and a second one changed it back.

586 The final objective which was a factor in the study (3D modeling of the known archaeological  
587 sites of Itanos') was achieved and was the greatest success of the three different objectives. It  
588 cannot be quantified in data, but a visual comparison of the satellite imagery with collecting the  
589 digital photographs in the field allows an insight into what each site looks like and the models do  
590 a very good job representing that. The use of the models was two-fold in this study. First, to  
591 assist validation of the classification, overlaying one onto the other allowed for the extent of the  
592 sites to be known, as well as the more central areas of the site - especially Site 3 which had many  
593 complexities. The second use of the models is to indicate how helpful this sort of methodology  
594 is; even if it is lacking the advantages of obtaining the image remotely, making field-work a  
595 necessity, it still achieves results that satellites so far have not yet achieved. If the 3D process  
596 was repeated on a regular basis, it could be viewed as a form of land change detection as well,  
597 but its use would be slowed by the time constraints that would be caused in the necessary data  
598 collection and heavy duty processing.

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## 606 **7. Conclusions**

607 The main objective of this study was to map the land cover of the Itanos area of Crete, with  
608 specific focus on the detection of the landscape's archaeological features. The following main  
609 conclusions can be drawn upon the present study:

- 610 • Our study showed that very high resolution EO sensors allow for archaeological sites to  
611 be located to a reasonable degree of accuracy, but the detection accuracy is dependent of  
612 the EO instrument spatial and spectral properties and the features of the archaeological  
613 site itself that we look to identify/map in each case. Separation of the archaeological  
614 features from a spectrally similar background (e.g. rock types, dense vegetation). The  
615 results showed that having the additional four bands of information was an asset in  
616 detection accuracy but this was counterbalanced by the added tweaking of the system  
617 necessary to fit each scene.
- 618 • The land change detection worked to identify differences between the classifications.  
619 The issue with this is that the technique is reliant on the source data so, if the accuracies  
620 of the input images are low, then the resulting land change detection may not be a good  
621 representation. The greatest benefits revealed were the difference between the two  
622 different rule-sets and the visual impact those four extra bands can produce.
- 623 • The 3D modelling in this study, it once again proven itself to be of great uses and has a  
624 definite application in both field archaeology and modelling archaeological finds. The use  
625 it has been with relevance to the other two objectives has been a revelation; its use of  
626 validation and the idea of a land change detection method being developed with it have  
627 exceeded expectation. The studied archaeological sites were all modelled and the  
628 method used was successful, especially given that the process developed as a result of  
629 the practical issues encountered with UAV use in the area.

630 Future studies should utilise satellite imagery from the same sensor or UAV data at similar times  
631 each year, to make monitoring as accurate as possible. Other considerations will be to use the  
632 ever- increasing resolutions of satellites and to try to incorporate more shape-based ruling  
633 into identifying archaeological remains and develop accurate and 3D modelling structures of  
634 such sites. The results from such efforts remain to be seen in the coming years.

635

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640

## 641 **Author Contributions**

642 ARB conducted the research described in this study under the supervision and guidance of GPP  
643 and GPP together with LT and PKS and prepared this manuscript for submission to the journal.

644

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